

Persistent Pulmonary Hypertension in Newborn

Recent Advances in Management

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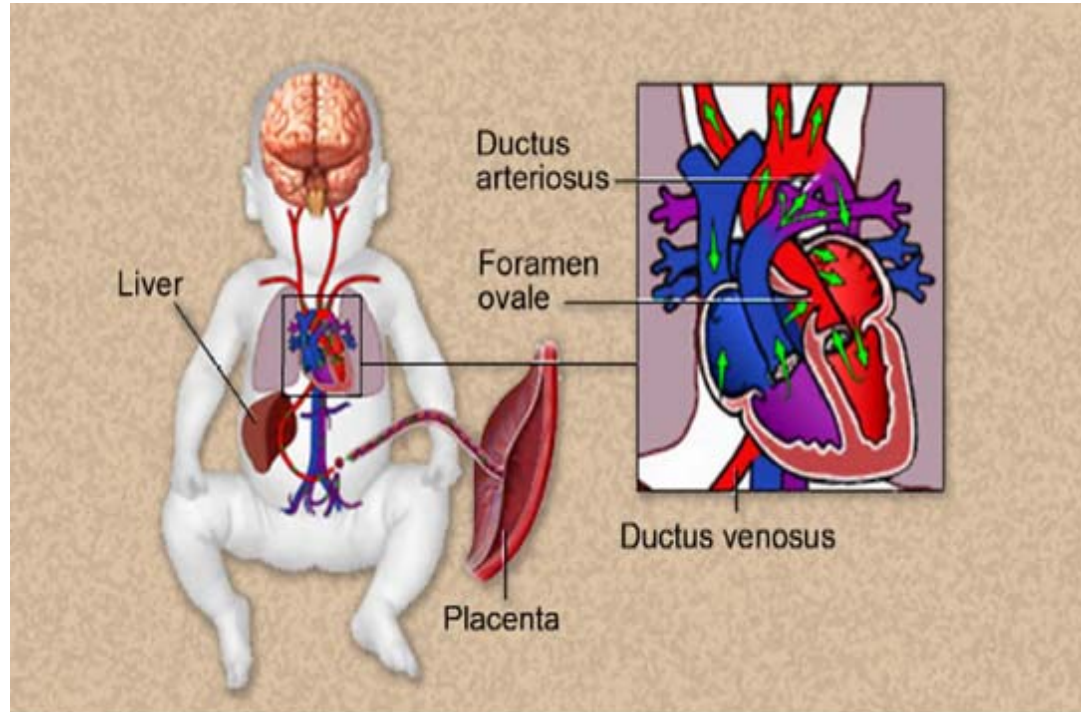
Cochin Kerala



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PPHN



PPHN is defined as the failure of normal circulatory transition, that occurs after birth. It is a syndrome characterised by marked pulmonary hypertension that causes hypoxemia and right to left shunting of blood. The clinical clue is the labile hypoxemia out of proportion to the disease process.

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PPHN



- Moderate/Severe PPHN

- 2-6/1000 Live births
- 10% of all infants admitted to NICU

- Mortality 10-35%
- Adverse neurological sequelae 19-46%
- Re-hospitalization rates 22%

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DIAGNOSIS OF PPHN

PATHOGENESIS

MANAGEMENT POST INO ERA



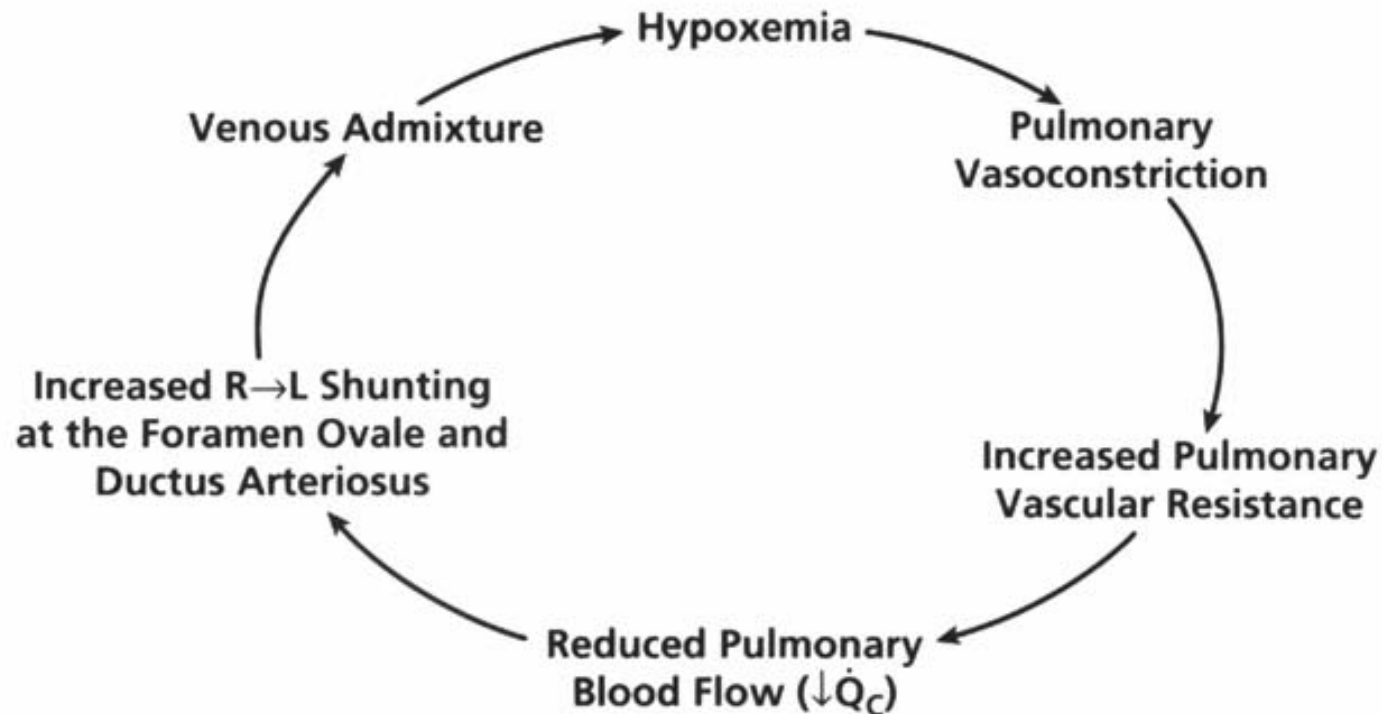
Persistent Pulmonary Hypertension

- Clinical syndrome of persistent or refractory hypoxemia
- Increased PVR  extrapulmonary right-to-left shunting across the foramen ovale and/or patent ductus arteriosus.
- Prevalence: 2 per 1,000 live births (occurs principally in term & late preterm infants)
- ~ 10% of infants with respiratory failure
- Mortality (ECMO & Nitric oxide ~ 15%)

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Basics Final common pathway of both hypoventilation and hypoperfusion.

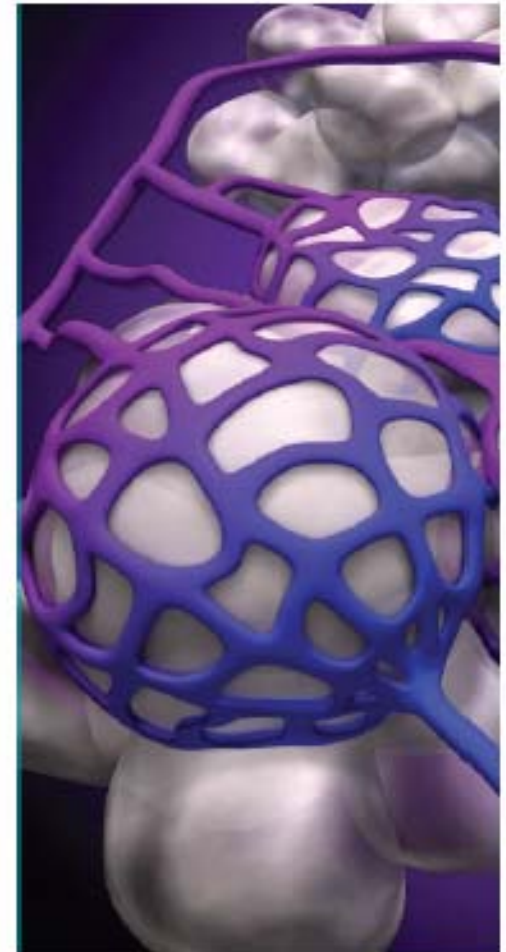


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Pulmonary Hypertension Outline

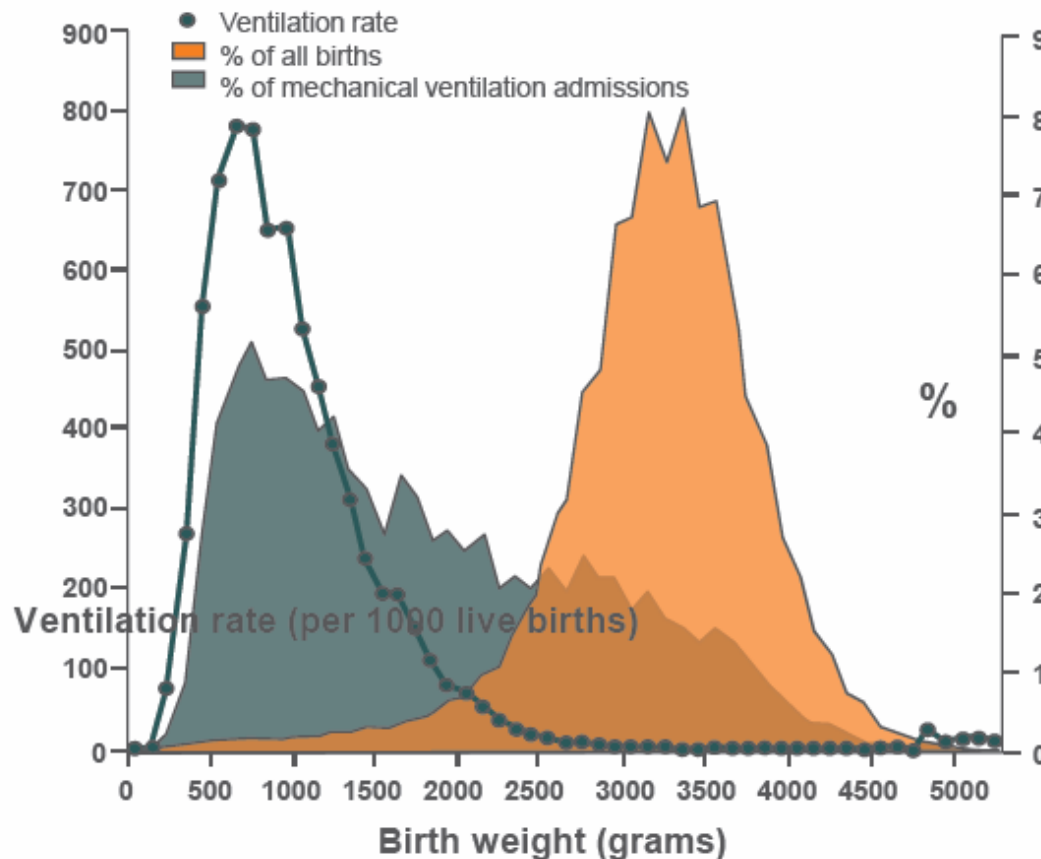
- Epidemiology
- Pathophysiology
- Diagnostic Aspects
- Treatment
- New Therapeutic Options



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Neonatal Respiratory Failure



Observational (n = 15,006)

Approximately 2% of all live births will require ventilator support (18/1000 live births)

Highest rate in 700-800gm groups

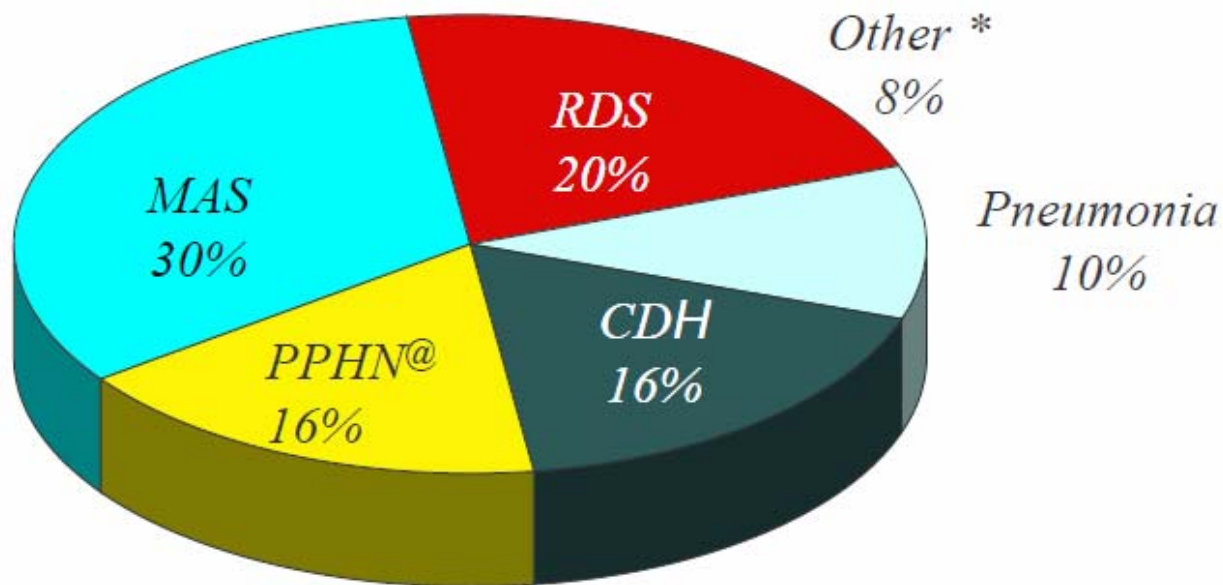
~1/3 of ventilated babies are term or late preterm

Angus et al AJRCCM 164: 1154, 2001

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PPHN: A Clinical Syndrome



@ = idiopathic

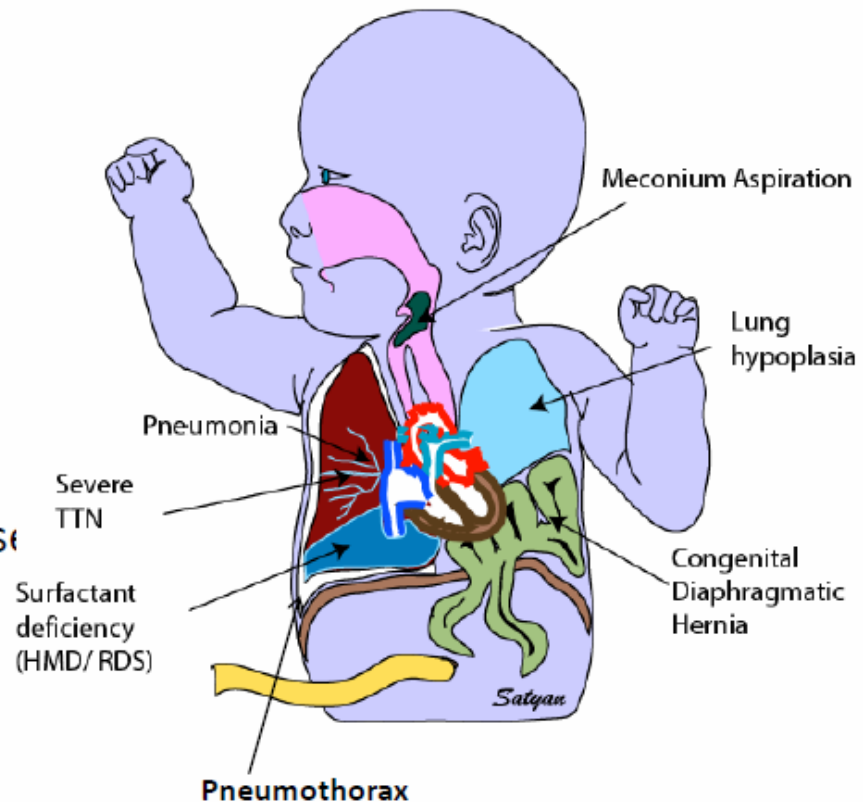
* Other = CHD, Congenital lung anomalies, BPD

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Etiology of HRF

- **T**ransient tachypnea of newborn (TTN)
- **A**spiration syndromes - meconium or blood
- **C**ongenital Diaphragmatic Hernia (CDH)
- **HY**aline membrane disease (RDS)
- **PNE**umonia / Sepsis
- **A**ir leaks



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Not Enough Oxygen In

- Apnea
 - neurologic and pharmacologic causes
- Diffusion barrier
 - RDS, aspiration, pneumonia
- Obstruction
 - pneumothorax, head position

Oxygen “mal-absorption”

- Shunting lesions
 - cardiac
 - non-cardiac (like PPHN)
- Hematologic
 - methemoglobinemia
 - carboxyhemoglobinemia

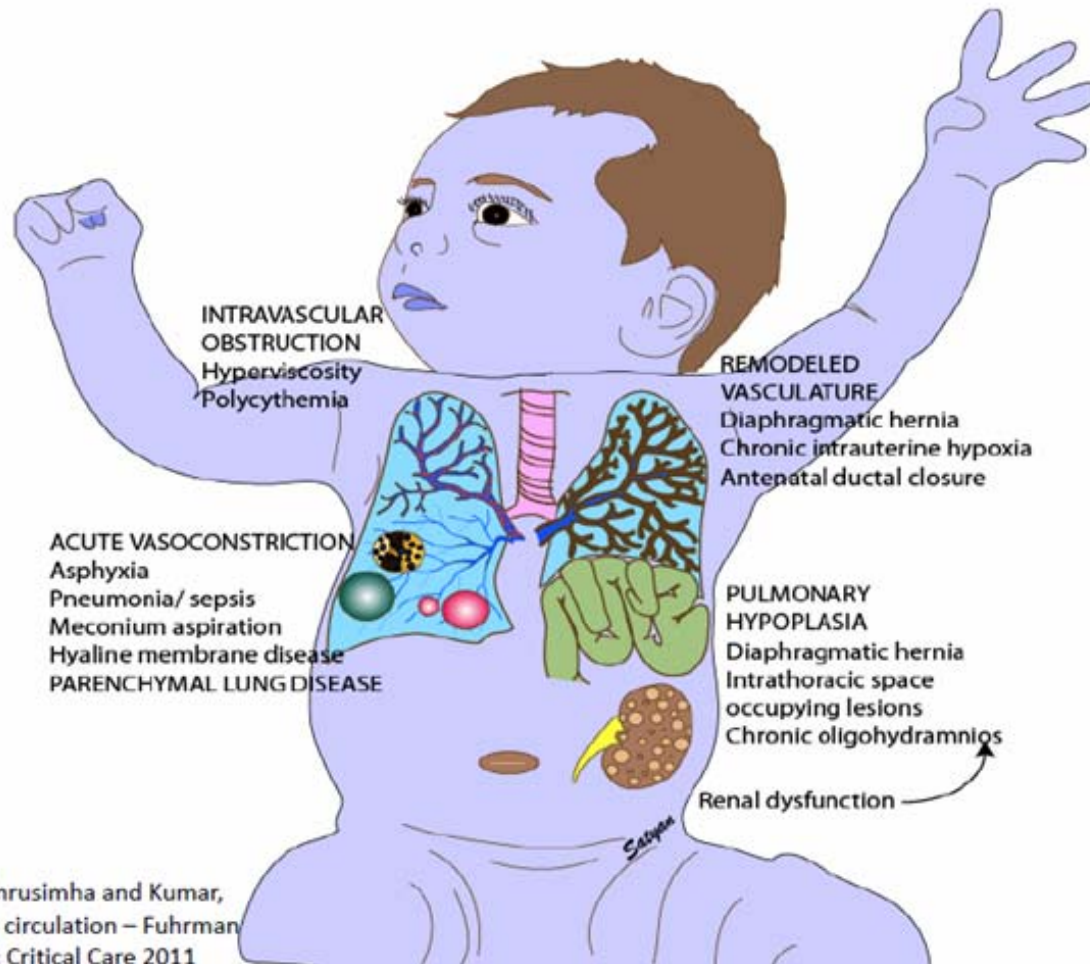
Too Much Oxygen Out

- High oxygen consumption
 - Sepsis
 - Low flow, high extraction
 - acrocyanosis
 - hyperviscosity/polycythemia
 - extravasated (ie bruising)

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Vascular Pathogenesis of HRF

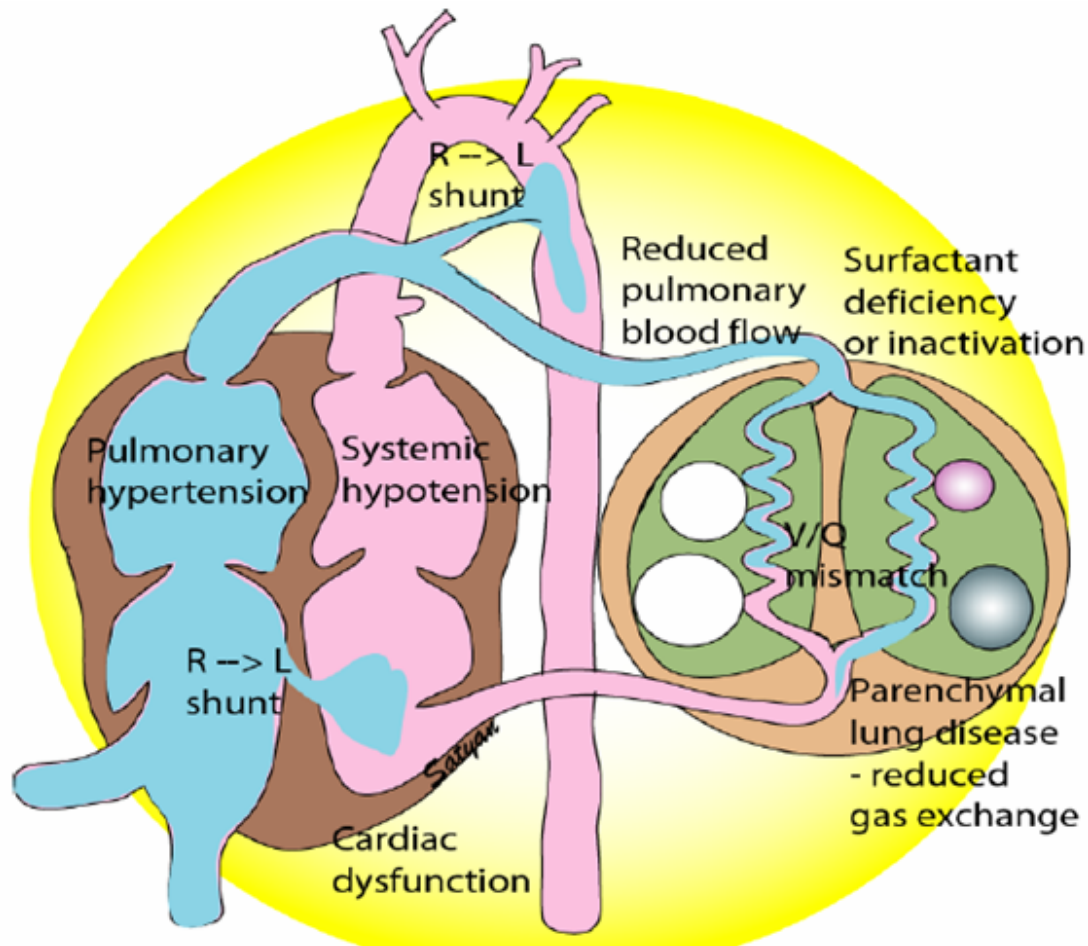


Modified from Lakshminrusimha and Kumar,
Disorders of pulmonary circulation – Fuhrman
& Zimmerman Pediatric Critical Care 2011

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Hemodynamic Changes in HRF



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Common Associations with PPHN

- ✧ Perinatal association: *gestational age* (late preterm or post-dates gestation), *ethnicity* (black or Asian ethnicity), *maternal conditions* (higher pre-pregnancy weight and diabetes, smoking and maternal asthma)
- ✧ Maternal use of *NSAIDS* and **Selective Serotonin Uptake Inhibitors (*SSRIs*)**
- ✧ Sepsis/Pneumonia
- ✧ Meconium Aspiration Syndrome
- ✧ Perinatal Hypoxia-Ischemia

✧ Hernandez-Diaz et al Pediatric 120: e272, 2007

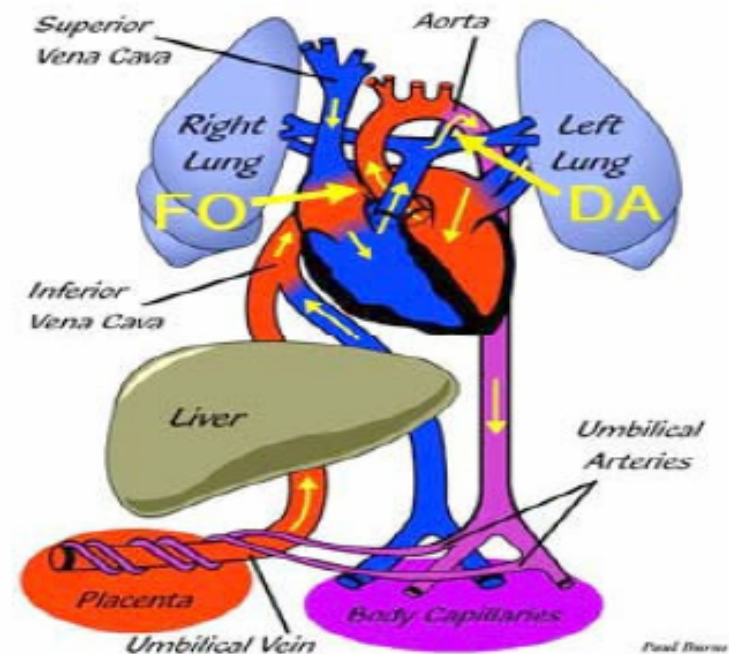
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Pulmonary Vascular Resistance is Increased in Fetal Life

❖ Most of the venous return is shunted across the foramen ovale or ductus arteriosus, because of the increased pulmonary vascular resistance.

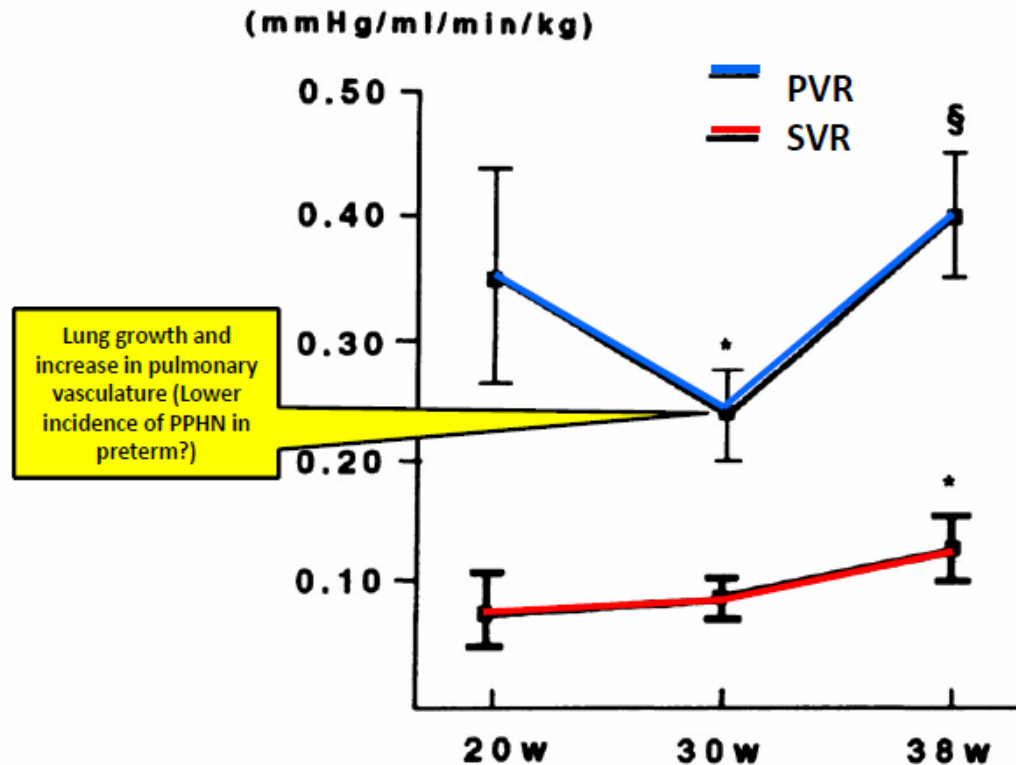
❖ Lung receives 3-8% of the cardiac output



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Variations in PVR and SVR During Gestation Human Fetus



Rasanen, J. et al. Circulation 1996;94:1068-1073

Circulation

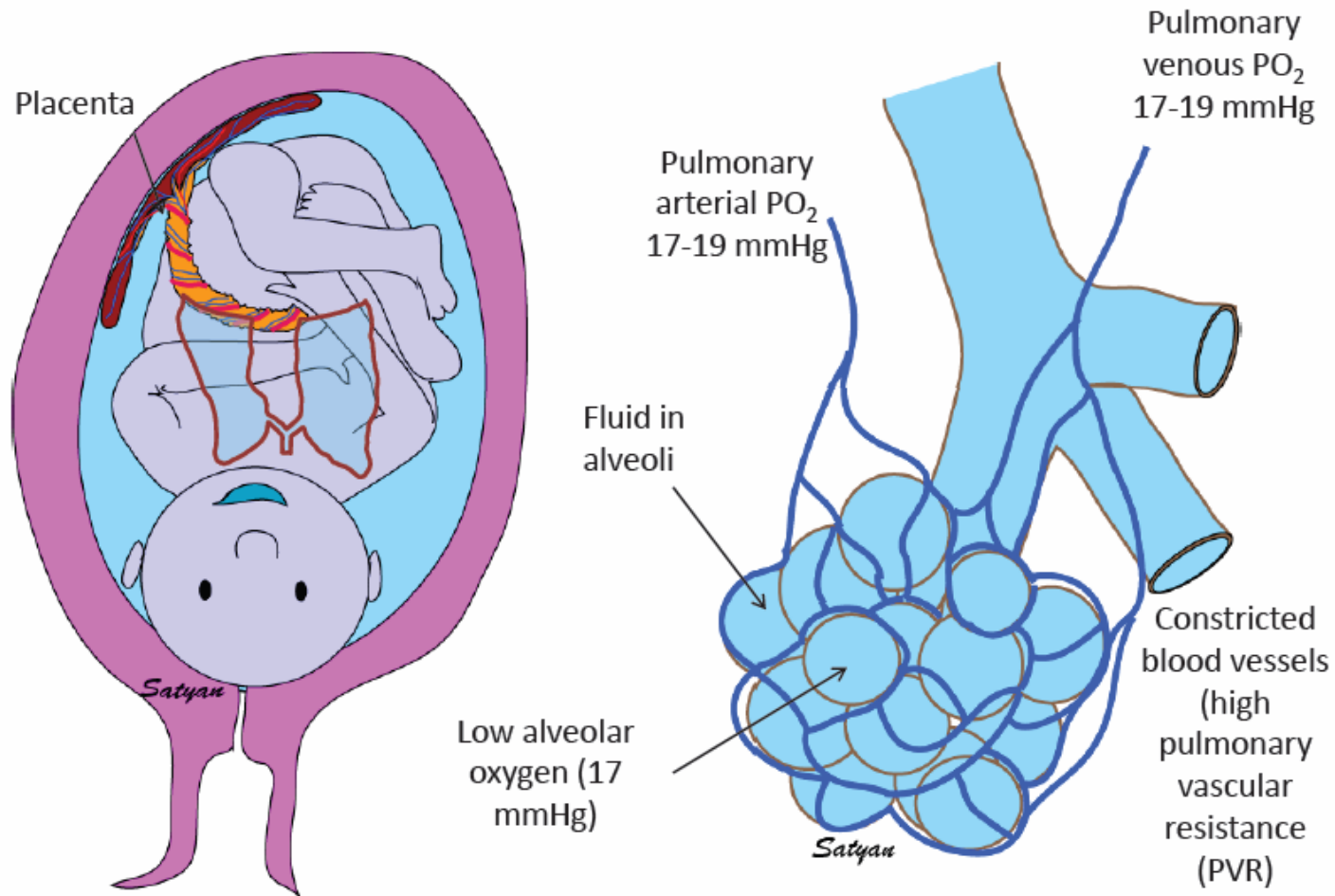
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Learn and Live

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Normal Fetus



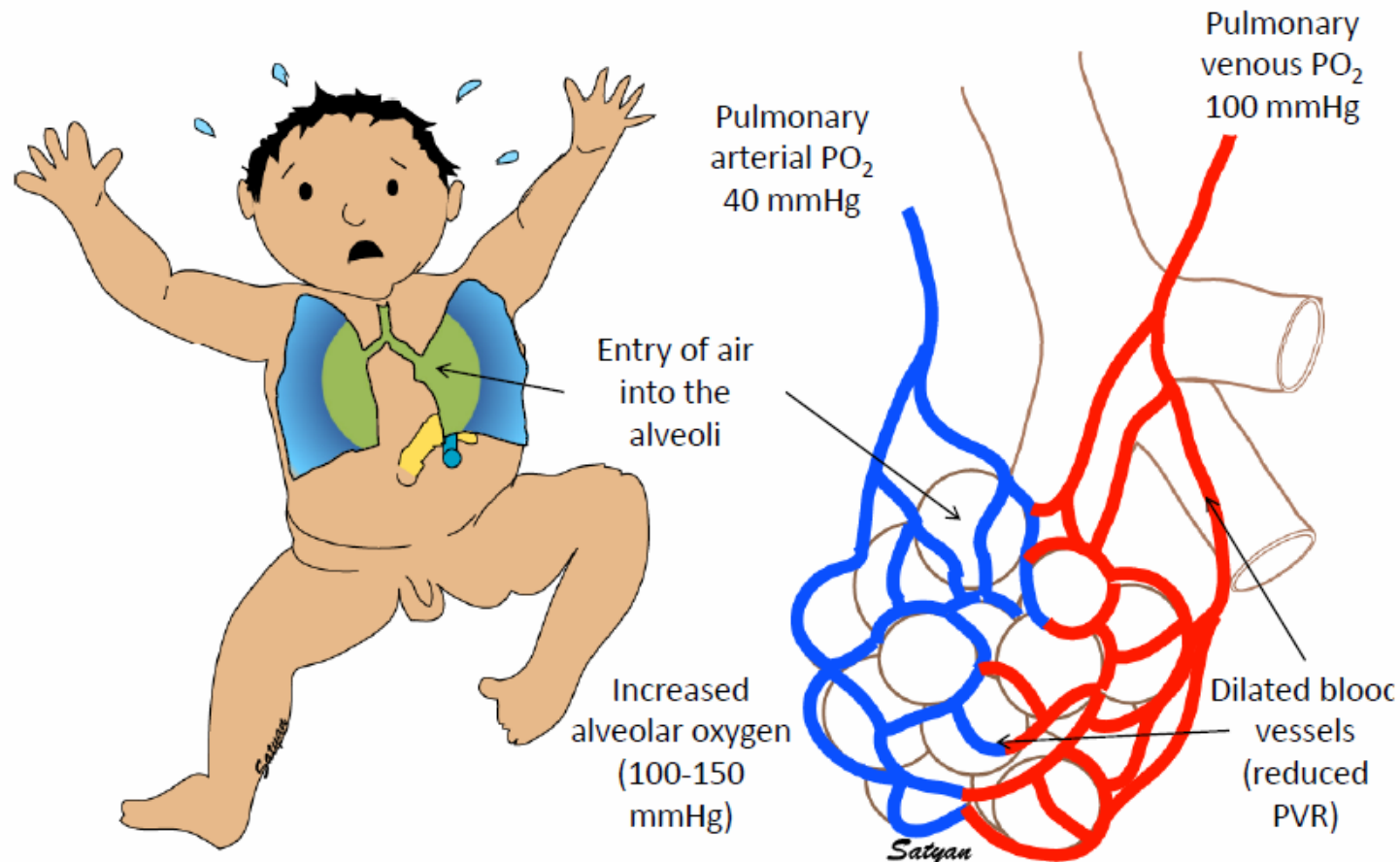
Mechanisms of Increased Pulmonary Vascular Resistance in Fetal Life

- ✧ Low oxygen tension in fetal life.
- ✧ Altered smooth muscle reactivity (enhanced myogenic tone) and increased muscle mass.
- ✧ Alveolar fluid pressure (and lack of rhythmic distention of the lung)
- ✧ Low basal production of vasodilator products (e.g., PGI₂ and nitric oxide)
- ✧ Vasoconstricting effects of leukotrienes (and endothelin-1 *mild*).

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Dilation of Pulmonary Blood Vessels at Birth

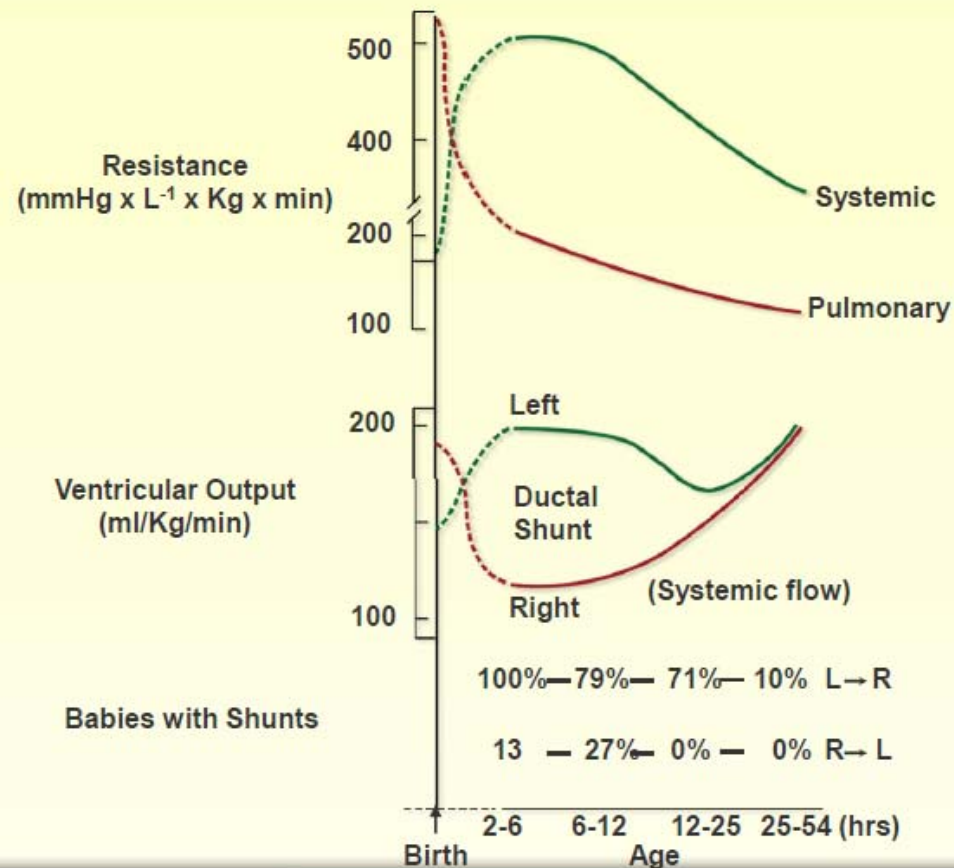


Pulmonary Vascular Resistance Falls at the Time of Birth

- * Lungs are inflated with air (reabsorption of fluid)
- * PaO_2 increases, pH increases and PaCO_2 falls
- * Activity of *vasoconstrictors decreases*.
- * Increased pulmonary blood flow increases *shear stress* and distends the vasculature → *flattening of the endothelium*, thinning of the smooth muscle cells and matrix)
- * *Endogenous dilators* (bradykinin, nitric oxide (NO), prostacyclin PGI_2 , PGD_2 and histamine) *are released* secondary to shear stress and hyperoxia

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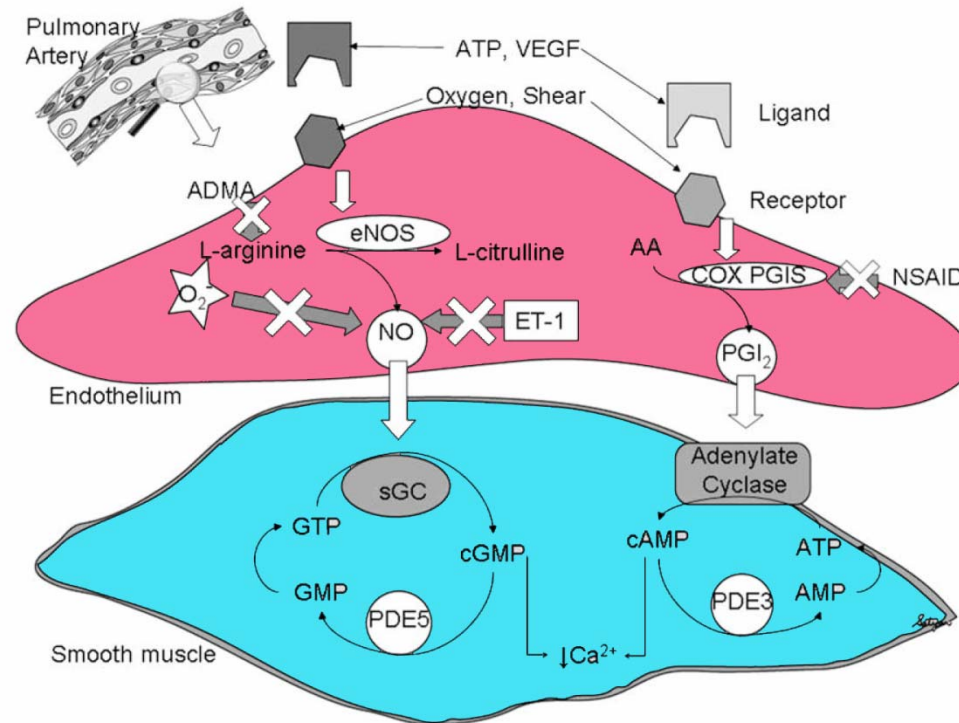
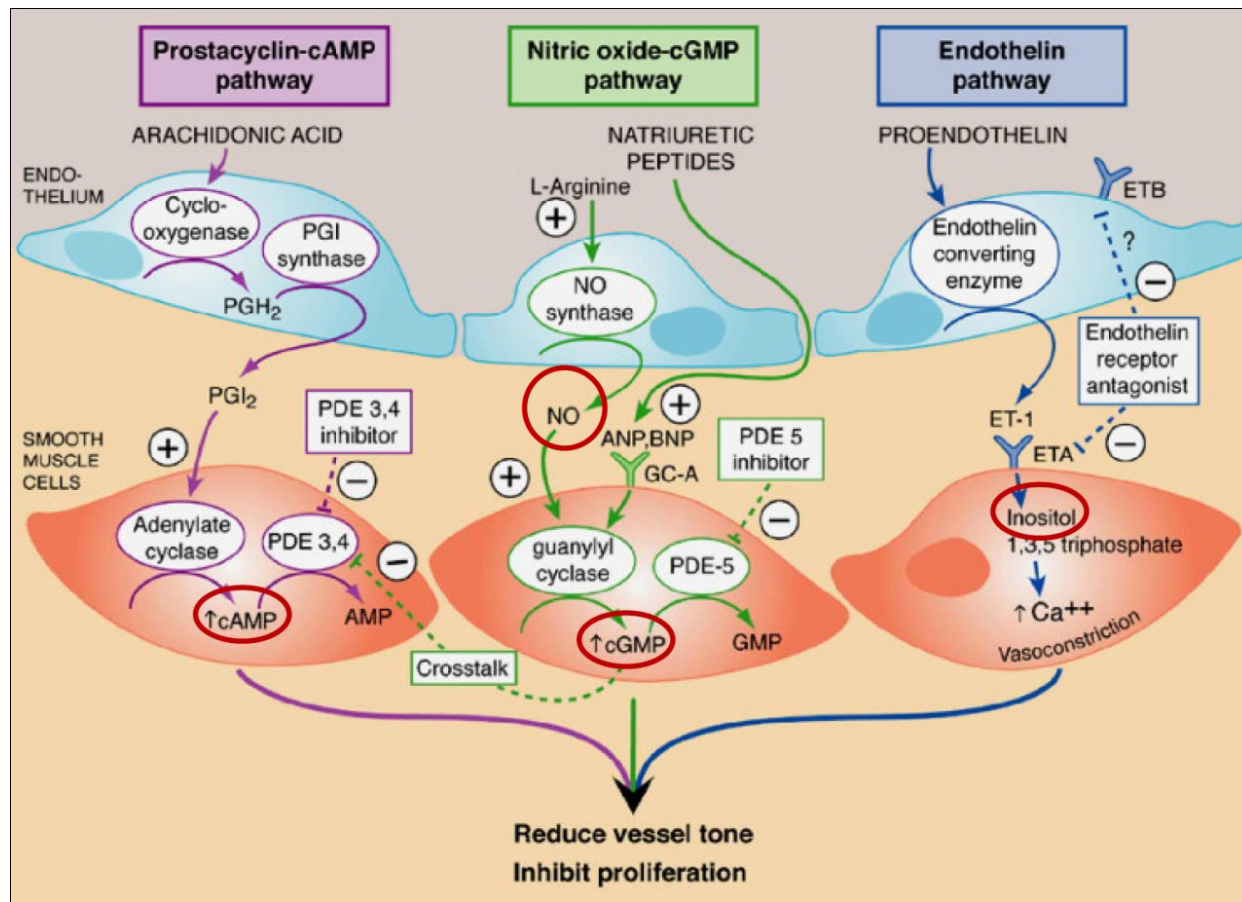


Fig.1. Mechanism of endothelium-dependent pulmonary vasodilation at birth. NO and prostacyclin (PGI₂) are released in response to birth-related stimuli. NO and PGI₂ increase the cyclic guanosine monophosphate (cGMP) and cyclic adenosine monophosphate (cAMP) levels in the smooth muscle cell. Type 5 and type 3 phosphodiesterases (PDEs) degrade these cyclic nucleotides. A decrease in intracellular Ca²⁺ levels leads to relaxation of vascular smooth muscle. NO levels are decreased by asymmetric dimethyl arginine (ADMA), superoxide (O₂⁻), and endothelin (ET-1). Nonsteroidal anti-inflammatory drugs (NSAIDs) inhibit cyclooxygenase (COX). AA, arachidonic acid; eNOS, endothelial nitric oxide synthase; GMP, guanosine monophosphate; GTP, guanosine triphosphate; PGIS, PGI₂ synthase; sGC, soluble guanylate cyclase; VEGF, vascular endothelial growth factor. (Adapted from Berger S, Konduri GG. Pulmonary hypertension in children. *Pediatr Clin North Am* 2006;53:966; with permission).

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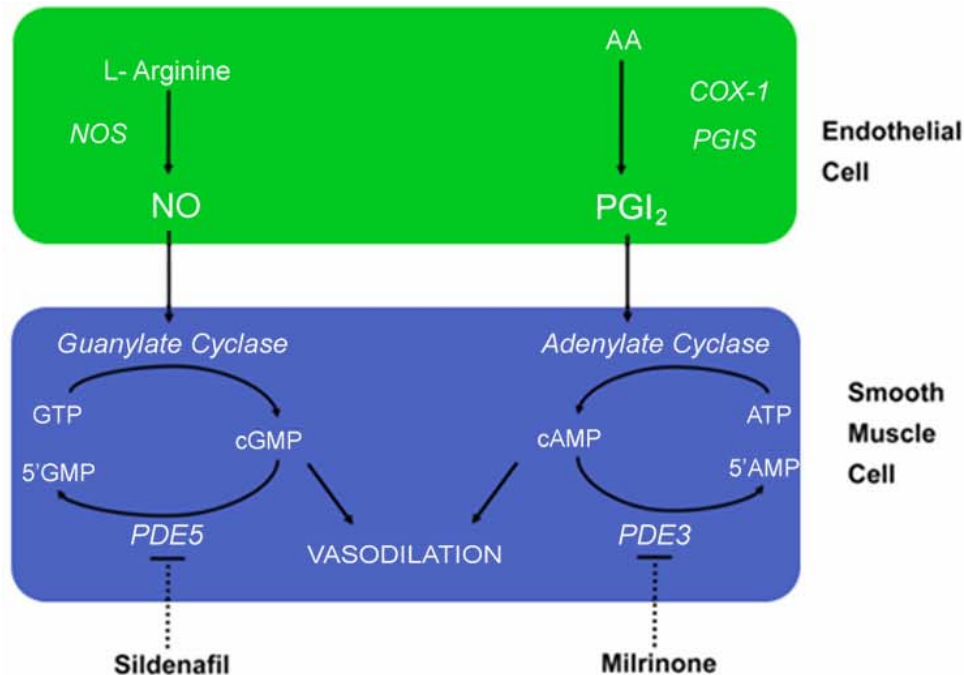
Regulation of Pulmonary Vascular Tone



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PPHN new modalities of treatment



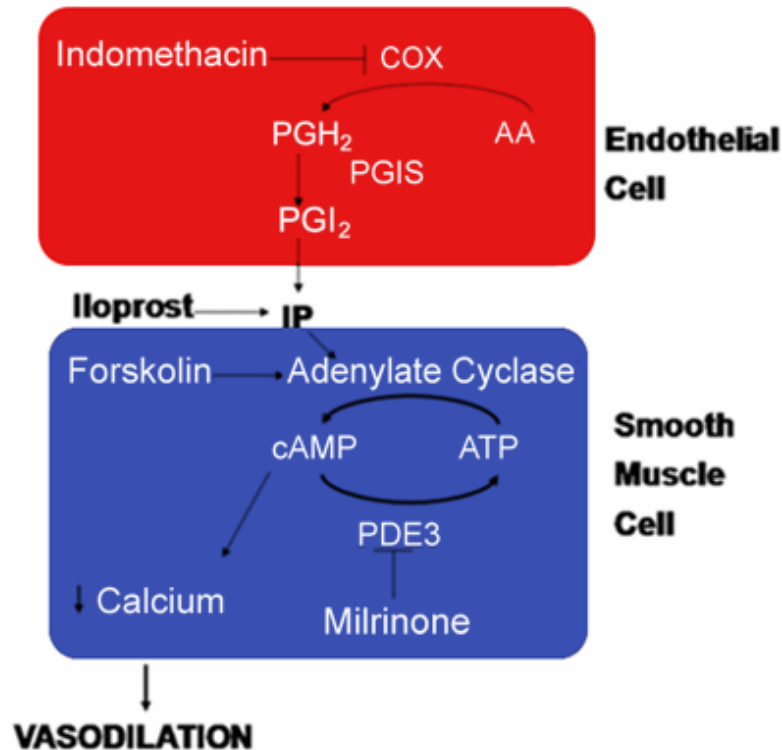
Nitric oxide (NO) and prostacyclin (PGI₂) signaling pathways in the regulation of pulmonary vascular tone. NO is synthesized by nitric oxide synthase (NOS) from the terminal nitrogen of L-arginine. NO stimulates soluble guanylate cyclase (sGC) to increase intracellular cGMP. PGI₂ is an arachidonic acid (AA) metabolite formed by cyclooxygenase (COX-1) and prostacyclin synthase (PGIS) in the vascular endothelium. PGI₂ stimulates adenylate cyclase in vascular smooth muscle cells, which increases intracellular cAMP. Both cGMP and cAMP indirectly decrease free cytosolic calcium, resulting in smooth muscle relaxation. Specific phosphodiesterases hydrolyze cGMP and cAMP, thus regulating the intensity and duration of their vascular effects. Inhibition of these phosphodiesterases with agents such as sildenafil and milrinone may enhance pulmonary vasodilation.

Steinhorn *Pediatr Crit Care Med*. 2011 March 1.

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PPHN new modalities of treatment



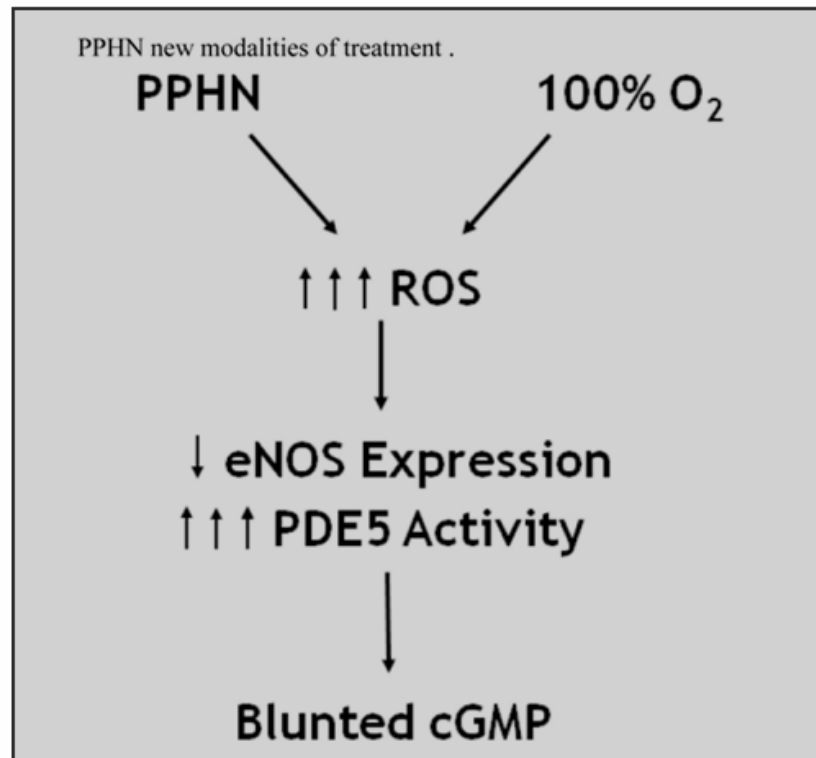
Schematic showing the pathway for synthesis and mode of action of prostacyclin (PGI_2). Various agents used in this study are also shown in the figure. *COX*, cyclo-oxygenase; *AA*, arachidonic acid; PGH_2 , prostaglandin H_2 ; *PGIS*, prostacyclin synthase; *IP*, prostacyclin receptor; *PDE3*, phosphodiesterase 3.

Lakshminrusimha et al. *Pediatr Crit Care Med*. 2009 September 10.

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PPHN new modalities of treatment



Increased reactive oxygen species (ROS) such as superoxide and hydrogen peroxide are produced in the vascular wall of pulmonary vessels affected by persistent pulmonary hypertension of the newborn (PPHN). In addition, even brief exposures to hyperoxia elevate cellular levels of ROS in the neonatal pulmonary vasculature. Increased ROS diminish nitric oxide synthase (NOS) activity and increase type 5 phosphodiesterase (PDE5) activity, both of which blunt the normal production of cGMP.

Steinhorn *Pediatr Crit Care Med*. 2011 March 1.

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Nitric oxide

- ✧ *NO* is not essential for the initial vasodilatation at birth.
- ✧ *NO* mediates: 1) basal vascular tone in the fetal pulmonary vasculature (by opposing myogenic tone) and 2) physiologic response to pharmacologic and physiologic stimuli.
- ✧ Disturbances in the *NO-cGMP system* are important in the pathogenesis of PPHN
- ✧ *NO* enhances lung and vascular growth

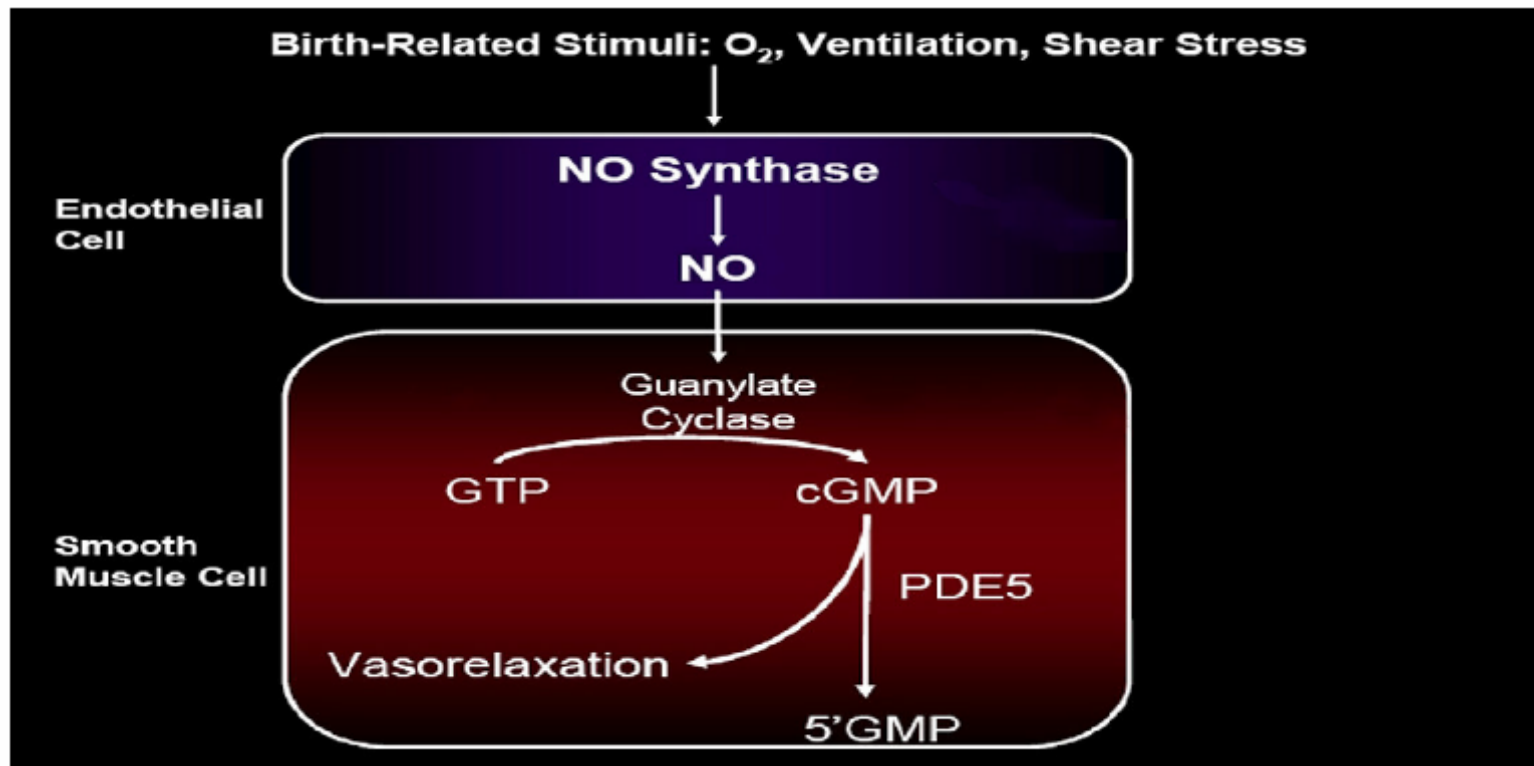
Maturation of the NO-c GMP System

- ✳ Lung eNOS (nitric oxide synthase) mRNA and protein are *present in the early fetus* and increase with advancing gestational age and in the postnatal period.
- ✳ eNOS expression and activity are affected by *oxygen tension*, *hemodynamic forces* (sheer stress), *hormonal stimuli* (estradiol, vascular endothelial growth factor (VEGF) and *superoxide production* (which inactivates NO).

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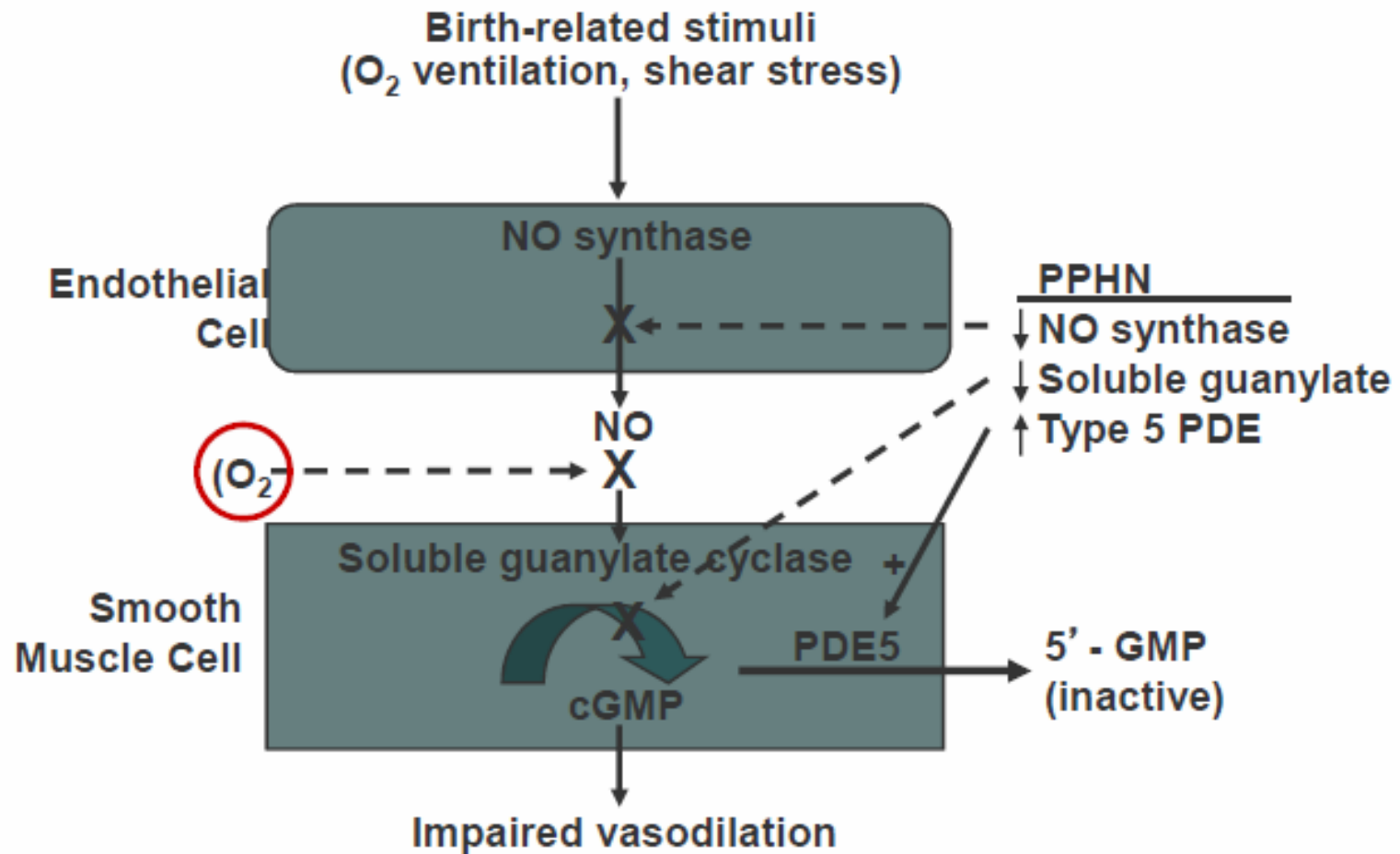
Nitric Oxide is a Byproduct of the Conversion of Arginine to Citrulline



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Pathogenesis of PPHN



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eNOS: A Double Edged Sword



NO and vasodilation



*Free Radicals and
Vasoconstriction*

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eNOS, Heat Shock Protein 90 & Superoxide radical(O₂⁻)

- ✳ In the generation of NO, eNOS must interact with *heat shock protein 90 (Hsp90)* (a chaperone protein).
- ✳ L arginine and a metabolite of folic acid (tetrahydrobiopterin- *BH4*) promote the coupling of eNOS with HSp90.
- ✳ Decreased interaction of eNOS with Hsp90 leads to formation of superoxide radical.

(L-arginine + BH₄) + [Hsp90 + eNOS] \longrightarrow Nitric oxide

L-~~arg~~inine + ~~BH~~4 + eNOS \longrightarrow Superoxide radical $\xrightarrow{+NO}$ ONOO⁻ \longrightarrow constriction & hypertrophy of muscle

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Pathology of PPHN

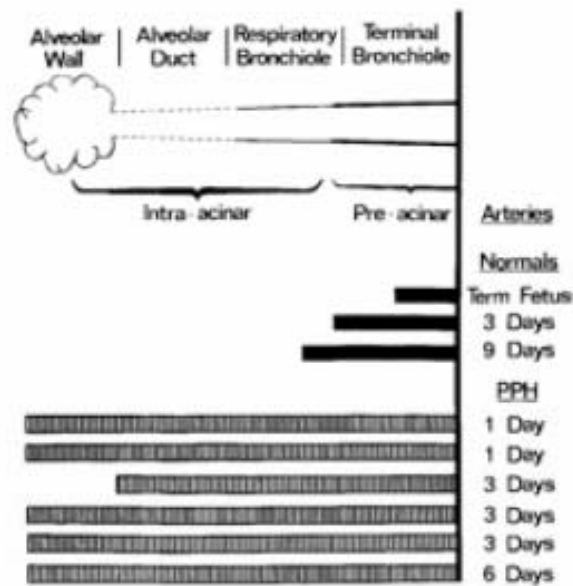
- ❄ Maladaptation (vasoconstriction of a normal vessel)*
- ❄ The abnormally remodeled vessel (increased musculature)

** Largest category of infants with PPHN; associated with asphyxia, sepsis, MAS or acidosis*

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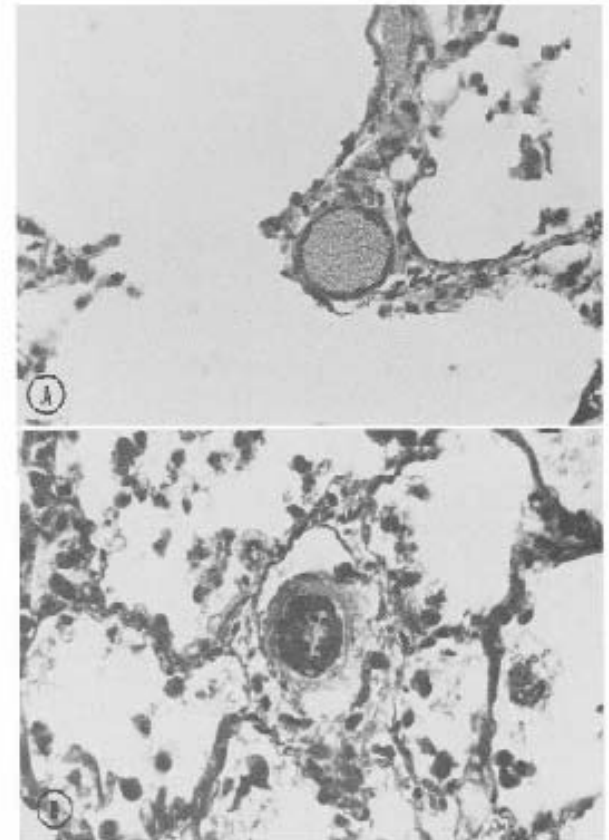
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PPHN & Distribution of Muscle



Distribution of muscle

May be secondary to chronic intrauterine hypoxemia

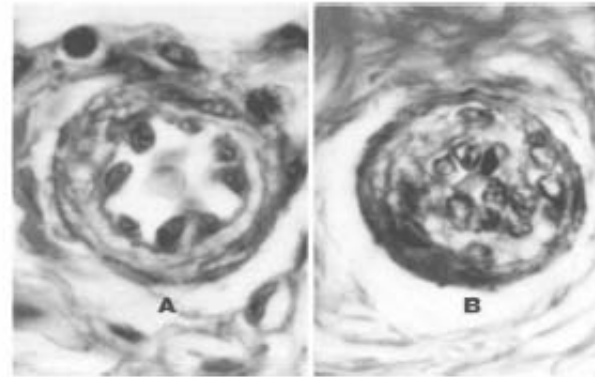


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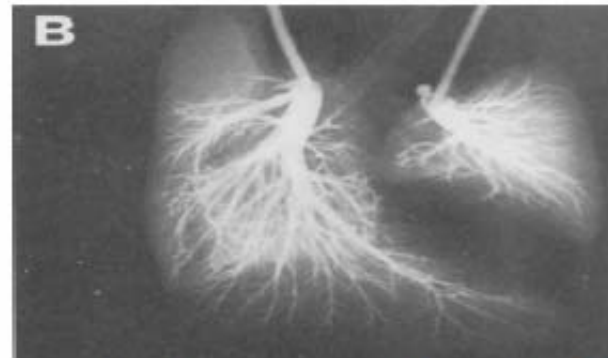
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Pathophysiology of Pulmonary Hypertension

- ❖ Hypoplastic vasculature, altered vascular reactivity & increased muscle mass in CDH
- ❖ Postnatal remodeling (secondary to injury)



Increased muscle mass in CDH



Hypoplastic vasculature in CDH

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Diagnosis of PPHN

- ✳ Suspected with hypoxemia out of proportion to the severity of parenchymal disease.
- ✳ Pre and post ductal saturation monitoring (a difference > 20 mm Hg is significant)- a negative test does not exclude PPHN
- ✳ Alveolar-Arterial Oxygen Differences ($AaDO_2$) and Oxygenation Index ($OI = 100 \times MAP / PaO_2 \times FiO_2$)

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Cyanosis

- ❖ Cyanosis require more than 3g/dL of deoxyhemoglobin
- ❖ Low flow areas (tips of extremities) with increased oxygen extraction have more deoxyhemoglobin
- ❖ High flow areas with less extraction should not have enough deoxyhemoglobin to appear cyanotic
- ❖ Hyperoxia test used to distinguish PPHN from cyanotic congenital heart disease (but is not perfect)

Hyperoxia Test

- Infant on Room Air, get ABG
- Infant on 100% oxygen, get ABG
- PaO_2 unchanged = fixed shunt = CCHD
- $\text{Max PaO}_2 < 100$ = CCHD
- $\text{Max PaO}_2 > 200$ = No CCHD

Hyperoxia Test

- Jones: 1976
 - 8/109 with CCHD had $\text{PaO}_2 > 100\text{mmHg}$
 - 7/23 without CCHD (bad RDS etc) had $\text{PaO}_2 < 150\text{mmHg}$
- Hypoplastic Left Heart Syndrome $> 300\text{mmHg}$
- TGA, TAPVR $> 200\text{mmHg}$
- Don't be fooled by early high PaO_2s

Hyperoxia Test

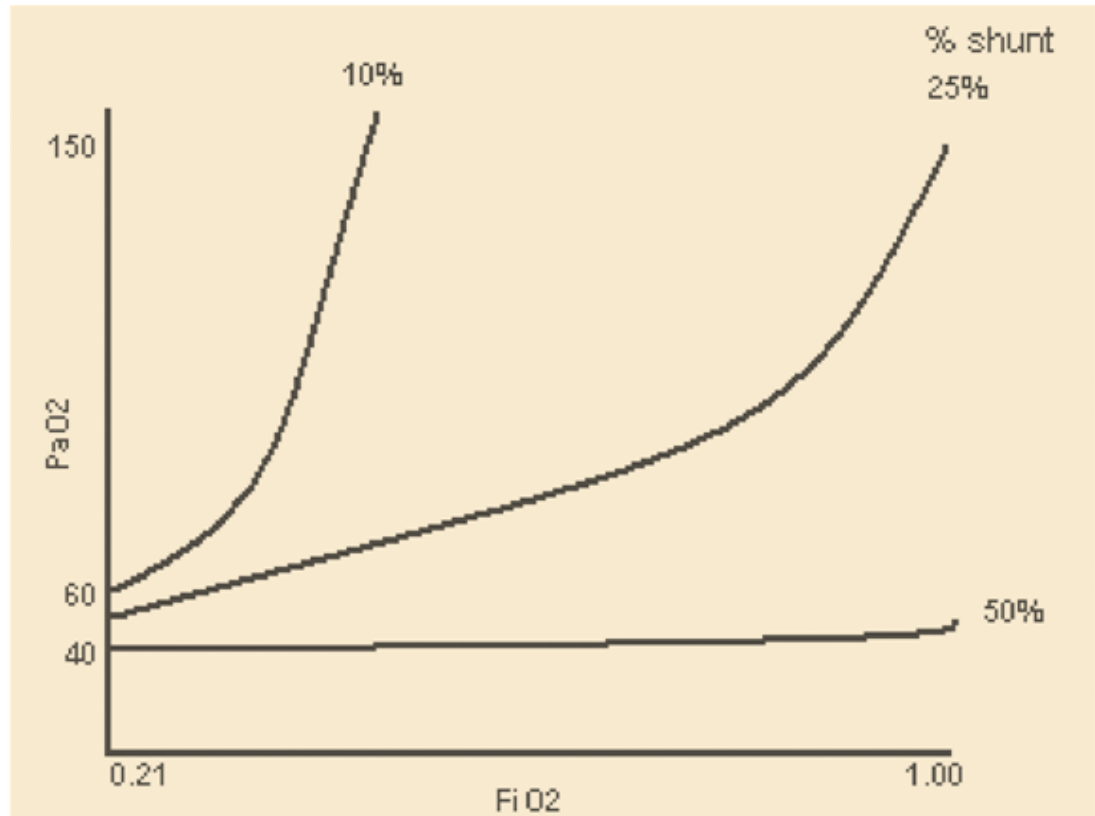
- Don't do the room air part
 - Looking for minimal PaO_2 change from 21% to 100% fiO_2
 - Hyperoxia test developed pre pulse-ox
 - With pulse-ox you can tell when PaO_2 s are not changing despite big changes in fiO_2 (for sats that are between 70 and 95%)
 - Probably the norm to have some degree of lung disease at the time of the test anyway

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Shunt Curves

- Hyperoxia Proper
- Hyperoxia CPAP
- Hyperoxia hyper-ventilation



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Thumb Rule to Assess Shunt / PPHN

- $F_{iO_2}(\%) \times 4$ optimum p_{aO_2}
- $F_{iO_2}(\%) \times 3$ acceptable p_{aO_2} with shunt
- Any value of p_{aO_2} exceeding 15 to 20 % of this value is a significant shunt

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Information Needed

- Clinical appearance
 - “comfortably tachypneic and blue”
- Pulses/perfusion
 - differential, delayed
- Pulse-Ox/ABG
 - pre and post ductal, max PaO₂
- Auscultation
 - S2, Murmur

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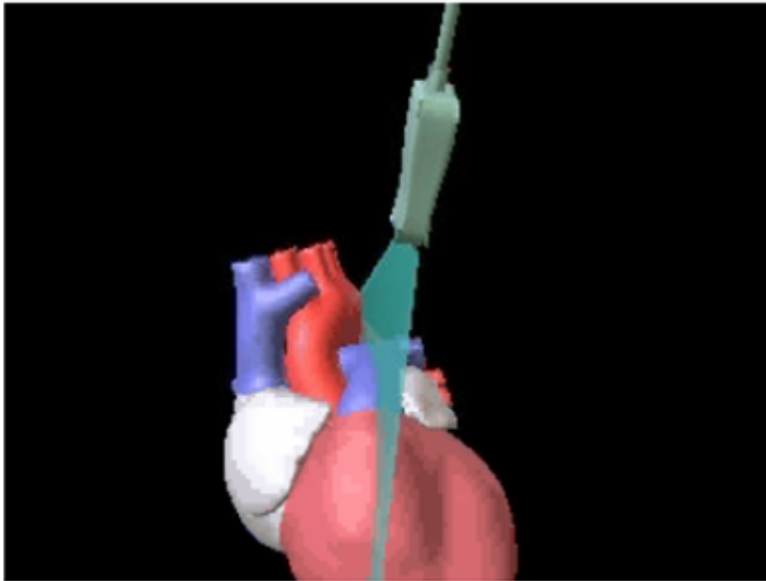
Information Needed

- CXR
 - heart shapes
 - snowman = TAPVR₁
 - boot = pulm atresia, TOF, tricuspid atresia
 - egg on string = TGA
 - +/- pulmonary vascularity
- EKG
 - axis
 - increased or decreased forces
- ECHO
 - the most important test in PPHN

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Echocardiographic Diagnosis of PPHN



✳Pulsed color Doppler: (qualitative and quantitative - velocity of the regurgitant jet at the tricuspid or pulmonary valve, bowing of the atrial septum)

Images from CDROM "Practical Echocardiography for the Neonatologist"

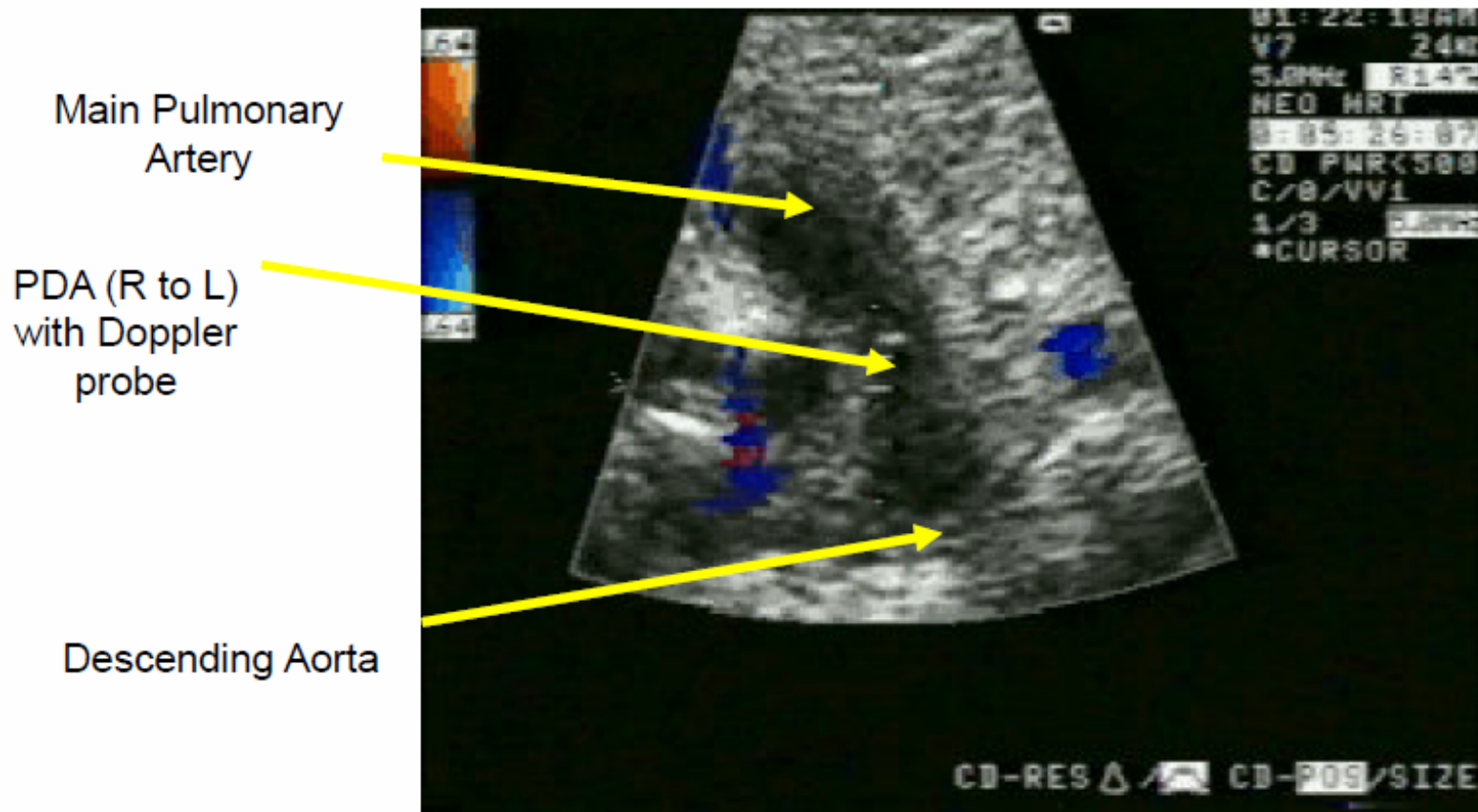
Dr Nick Evans & Dr Girvan Malcolm See: www.cs.nsw.gov.au/rpa/neonatal

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PDA with Right to Left Shunt

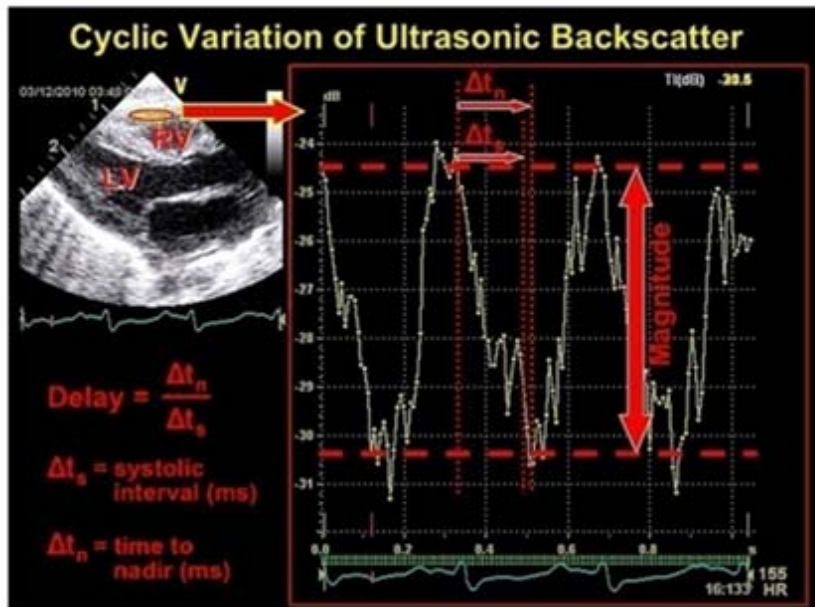
Suprasternal notch - transducer



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Novel Methods for Assessment of Right heart Structure and Function in Pulmonary Hypertension



- Conventional methods of assessment of RV structure and function are often qualitative and do not provide sensitive markers of RV remodeling for prognostic information.
- Advances in cardiac imaging of the RV, including ultrasonic tissue characterization by integrated backscatter imaging, tissue Doppler imaging, speckle tracking echocardiography, and flow dynamics, have provided the capability to obtain quantitative information that often precedes the qualitative information provided by conventional methods.

Fig. 1. Cyclic variation of ultrasonic backscatter expressed in magnitude and normalized time delay of the backscatter energy. The magnitude of cyclic variation is defined as the difference in backscatter between the average peak and average nadir values. The normalized time delay of cyclic variation is expressed in terms of a dimensionless ratio, obtained by dividing the time interval from end-diastole to the nadir of the mean backscatter trace (Δt_n) by the systolic interval (Δt_s).

Gautam K. Singh, MD^{a,*}, Philip T. Levy, MD^a,
Mark R. Holland, PhD^b, Aaron Hamvas, MD^a
Clin Perinatol 39 (2012) 685–701

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Novel Methods for Assessment of Right heart Structure and Function in Pulmonary Hypertension

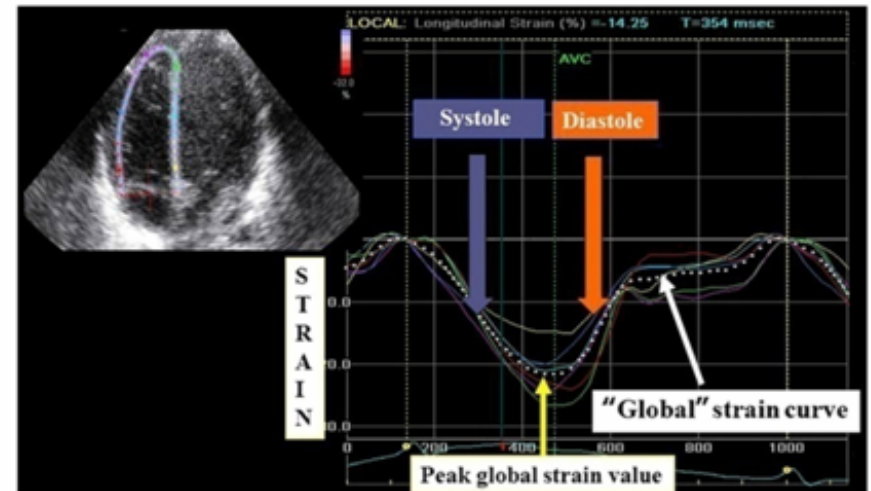
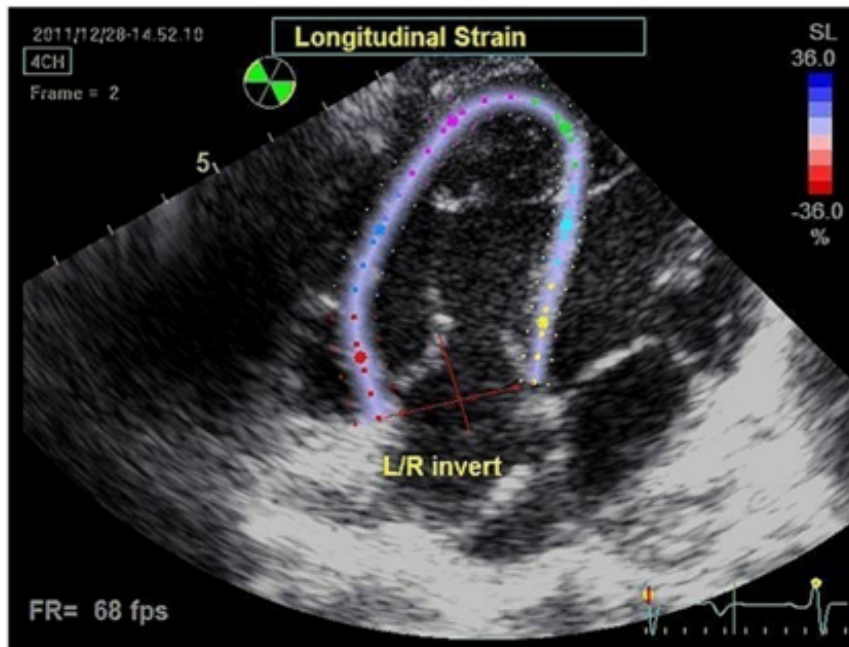


Fig. 2. Strain imaging of the RV in an extremely low gestational age neonate with bronchopulmonary dysplasia and increased pulmonary pressure using speckle tracking echocardiography (A). The segmental strain is graphically presented by different color codes and curves and global longitudinal strain by dotted curve with its peak as peak systolic longitudinal strain (B). The segmental strains are not synchronous and peak global longitudinal strain is decreased (-21% , normal $>-23\%$). AVC, aortic valve closure; FR, frame rate.

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Novel Methods for Assessment of Right heart Structure and Function in Pulmonary Hypertension

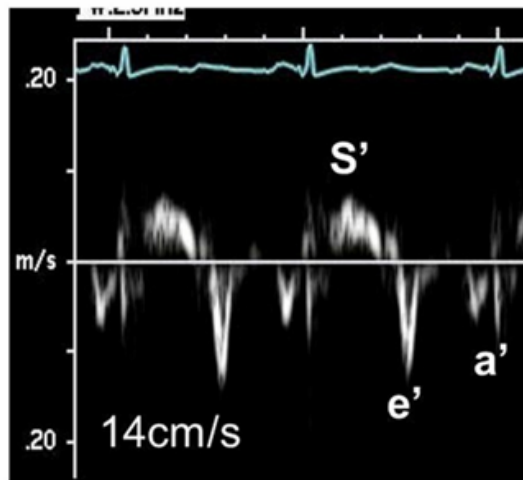


Fig. 4. TDI of myocardial velocity at the tricuspid level of the right ventricular free wall in a normal neonate.

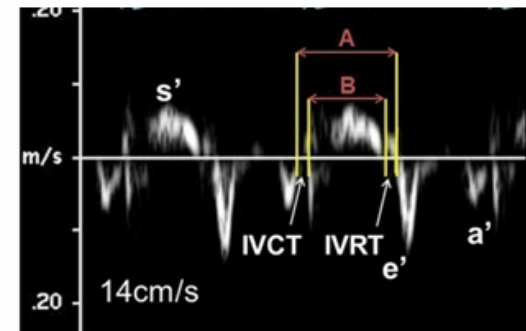


Fig. 5. TDI of myocardial performance index (MPI) using time interval of different phases of the cardiac cycle. Myocardial velocities were measured at the tricuspid level of the right ventricular free wall in a normal neonate. ET, ejection time; IVCT, isovolumic contraction time; IVRT, isovolumic relaxation time. MPI is the ratio $(IVCT + IVRT)/ET$. A, time interval includes sum of IVCT, IVRT, and ET; B, ejection time.

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Accuracy of clinical diagnosis and decision to commence intravenous prostaglandin E1 in neonates presenting with hypoxemia in a transport setting

Use of intravenous PGE1 in neonates presenting with hypoxemia

Clinical characteristics of neonates with suspected CHD (group 1), suspected PPHN (group 2), or suspected CHD and/or persistent pulmonary hypertension (group 3)				
Characteristic	Group 1 (n = 76)	Group 2 (n = 22)	Group 3 (n = 17)	P
Gestation at birth (wk)	37.9 ± 2.5	39.1 ± 1.5	38.0 ± 3.0	NS
Birth weight (g)	3081 ± 667	3342 ± 675	3390 ± 877	NS
Age at admission (d)	2.5 ± 3.7	1.7 ± 1.0	1.8 ± 0.9	NS
Stabilization time (min)	208 ± 113	225 ± 89	248 ± 109	NS
Apgar <5 at 5 min	5 (6.6)	1 (4.5)	2 (11.7)	NS
Active resuscitation	19 (25)	9 (41)	7 (41.2)	NS
Hypotension requiring fluid bolus	29 (38)	12 (55)	9 (53)	NS
Hypotension requiring inotropes	18 (24)	16 (73)**	7 (41)	.001
Murmur	32 (42)	4 (18)	3 (18)	.03
Upper-lower SBP gradient of ≥10 mm Hg	14 (18)	0 (0)	3 (18)	.09
Preductal-postductal Spo ₂ difference >10 mm Hg	10 (13)	0 (0)	1 (6)	NS
PaO ₂ <50 mm Hg (hyperoxia test)	22 [38] (58)	5 [19] (26)**	5 [10] (50)	.07
Arterial pH <7.25 and base deficit >-5	6 [52] (12)	3 [16] (19)	0 [8]	NS
Cardiomegaly/abnormal heart shape on CXR	45 [73] (62)	4 [20] (20)*	9 [16] (56)	.004
Abnormal lung parenchyma on CXR	16 [73] (21)	10 [20] (50)**	3 [16] (19)	.03

Data are presented as mean ± SD or frequency (%) where relevant. Figures in brackets indicate number of neonates in which the data were available.

SBP indicates systolic blood pressure; CXR, chest radiograph; NS, nonsignificant.

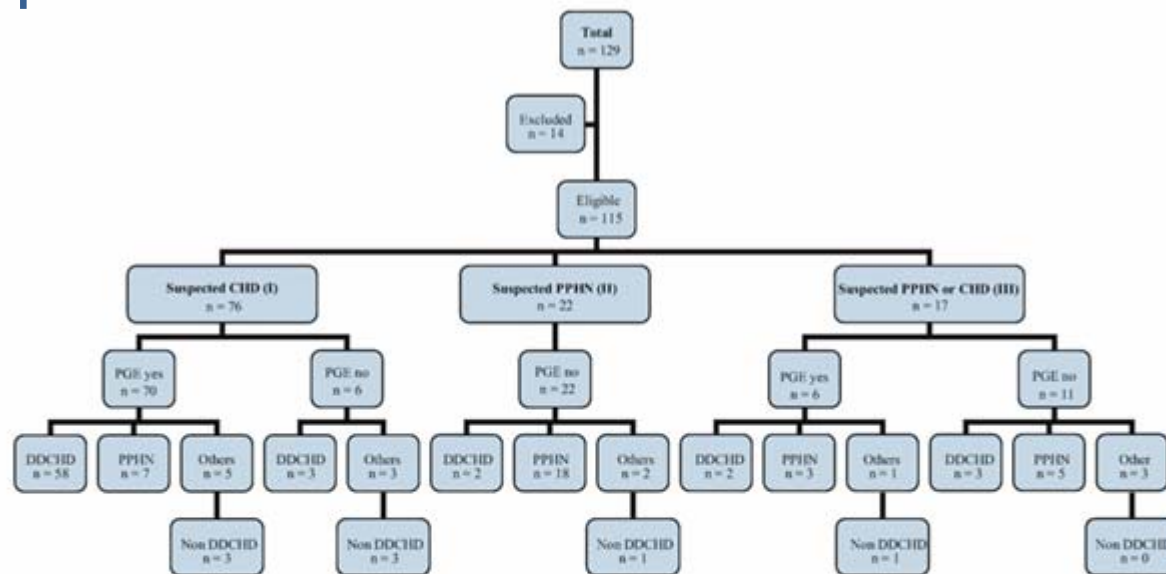
* $P < .05$ vs group 1.

** $P < .01$ vs group 1.

Persistent Pulmonary Hypertension in Newborn

Recent Advances in Management

Use of Intravenous PGE 1 in Neonates Presenting with Hypoxemia



Algorithm showing the proportion of neonates in each group with a diagnosis of CHD or PPHN treated with intravenous PGE₁ or not. DDCHD indicates duct-dependent CHD; other, non-DDCHD or normal anatomical 2-dimensional echocardiography.

The accuracy of a provisional diagnosis of CHD by transport team was 87.7% and the positive predictive value was 88.1%. Sixty neonates (88%) received PGE₁ appropriately. Eight neonates (12%) with duct-dependent CHD (n = 68) did not receive PGE₁ and were considered as missed opportunities. Ventilated neonates in groups 1 and 3 were identified as the groups that can potentially benefit from more liberal use of PGE₁ and without any adverse effects.

Journal of Critical Care (2010) 25, 174.e1–174.e9

Persistent Pulmonary Hypertension in Newborn

Recent Advances in Management

Management of Infants with Pulmonary Hypertension



Persistent Pulmonary Hypertension in Newborn

Recent Advances in Management

Control of Blood Pressure



DOPAMINE 10
DOBUTAMINE 10
MILRINONE

Feel posterior tibial pulsation well

Persistent Pulmonary Hypertension in Newborn

Recent Advances in Management

Control of FRC

CPAP / PEEP



XRAY aim for about 8.5 to 9 ribs expansion clearance of haziness

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DONT BASH THE LUNG



Ph . > 7.25

Co2 < 60 mmhg

O2 50 - 70 mmhg

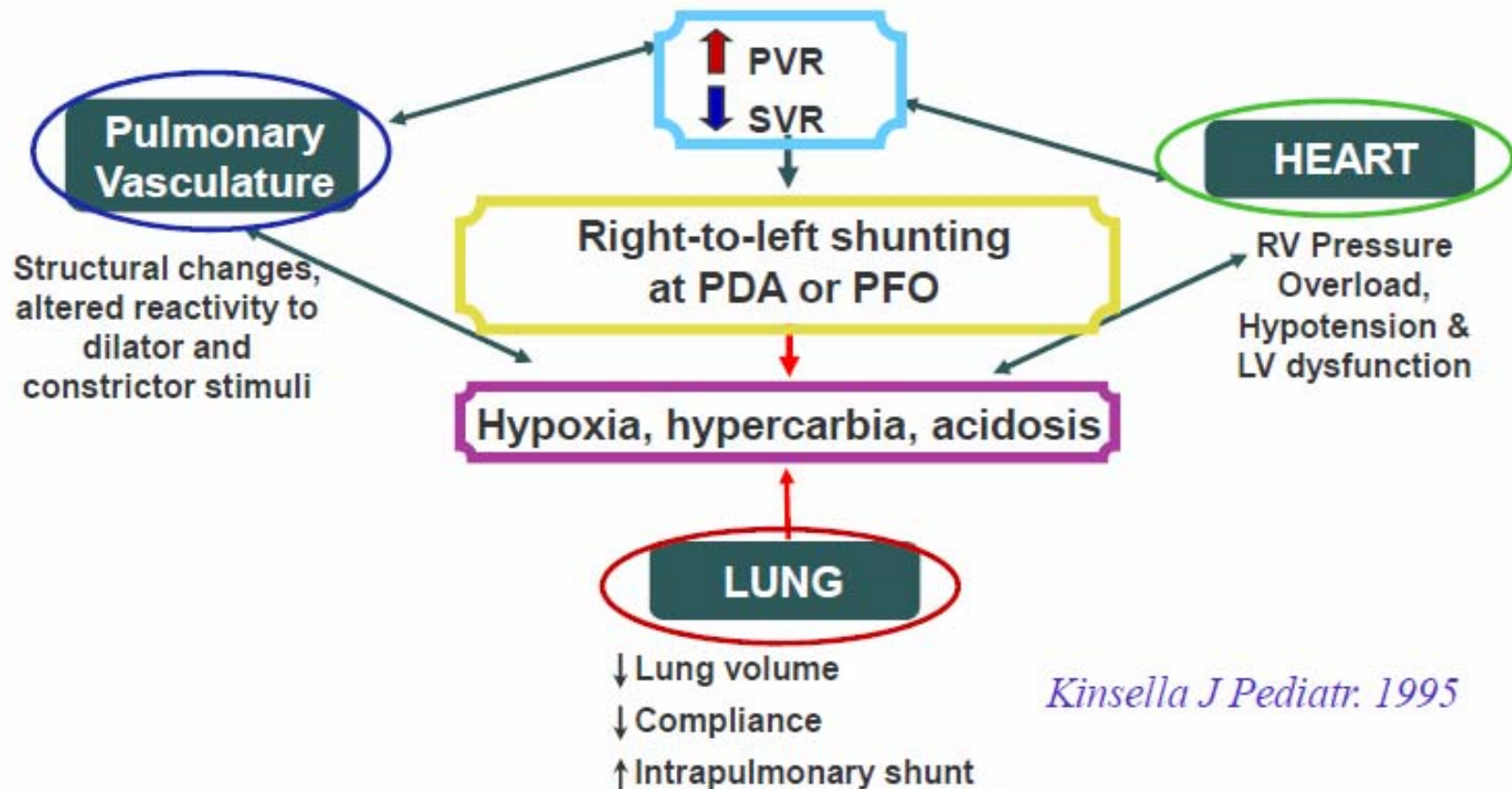
Pediatrics oct 1985 76 (4) 488 -94 Wung JT

**DO SO ONLY IF THE END EXPIRATORY PRESSURE OR CPAP IS
RIGHT**

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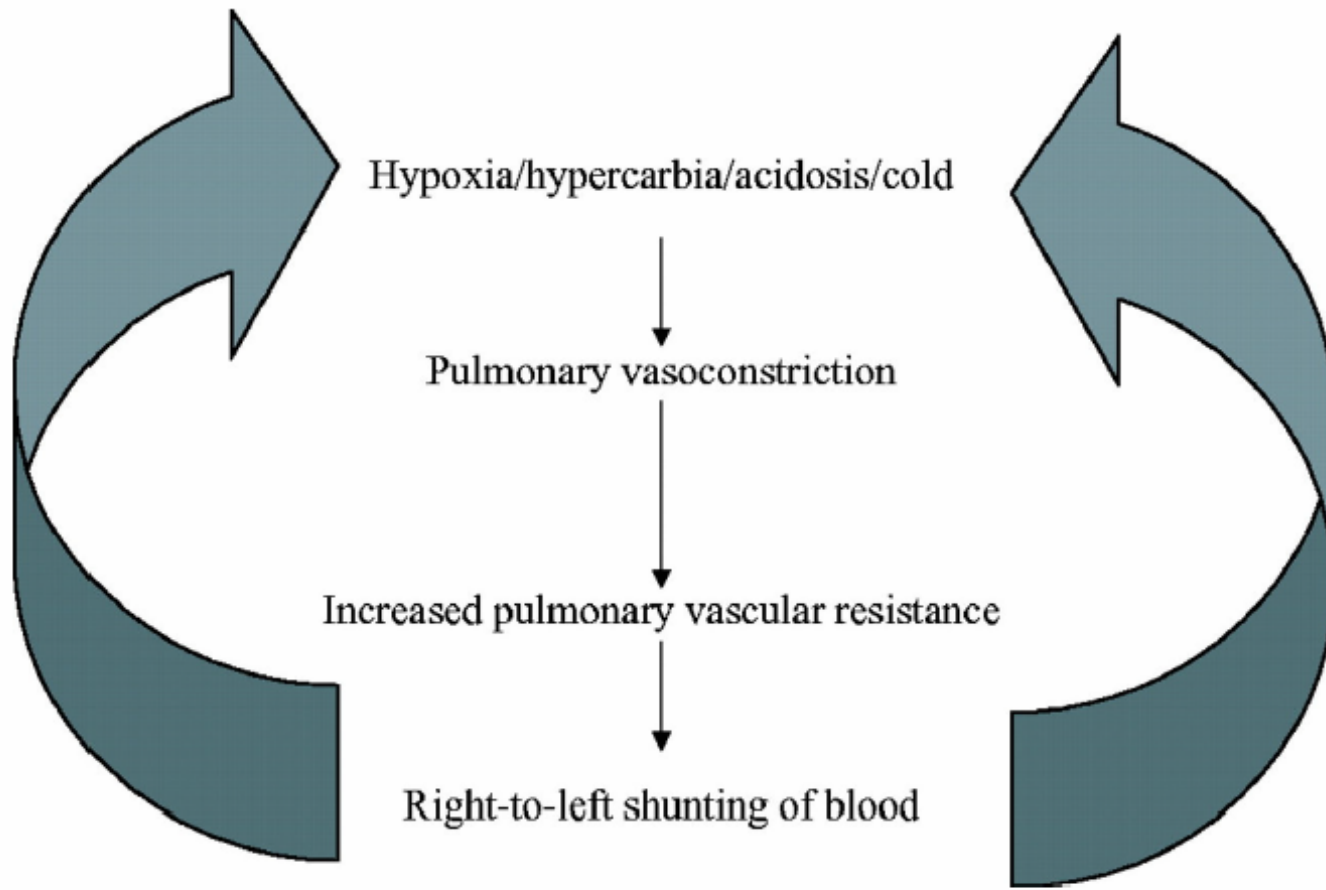
Cardiopulmonary Interactions in PPHN



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Recent Advances in Management

The Vicious Cycle of PPHN



Murphy, P. J. Contin Educ Anaesth Crit Care Pain 2005 5:107-112; doi:10.1093/bjaceaccp/mki030

Unproven Therapeutic Strategies in PPHN

- ❖ Hyperventilation
- ❖ Gentle ventilation
- ❖ Alkali infusions
- ❖ Intravenous vasodilators (tolazoline)
- ❖ Sedation and paralysis

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Proven Therapeutic Strategies in PPHN

- ❖ Oxygen
- ❖ Nitric oxide
- ❖ ECMO
- ❖ Aggressive management of hemodynamics to enhance cardiac output and oxygen delivery (and decrease right to left shunting.
- ❖ Management of the underlying pulmonary disease ventilation and surfactant)

Use of Surfactant in PPHN

- ❖ No benefit for CDH
- ❖ Surfactant use in MAS decreased the severity of pulmonary morbidity, air leaks and length of hospital stay (*Pediatrics* 97: 48, 1996)
- ❖ Surfactant use is associated with a significant decrease in mortality in infants with respiratory failure (*J Pediatr*:122: 261, 1993 & *J Pediatr*: 148: 595, 2006).

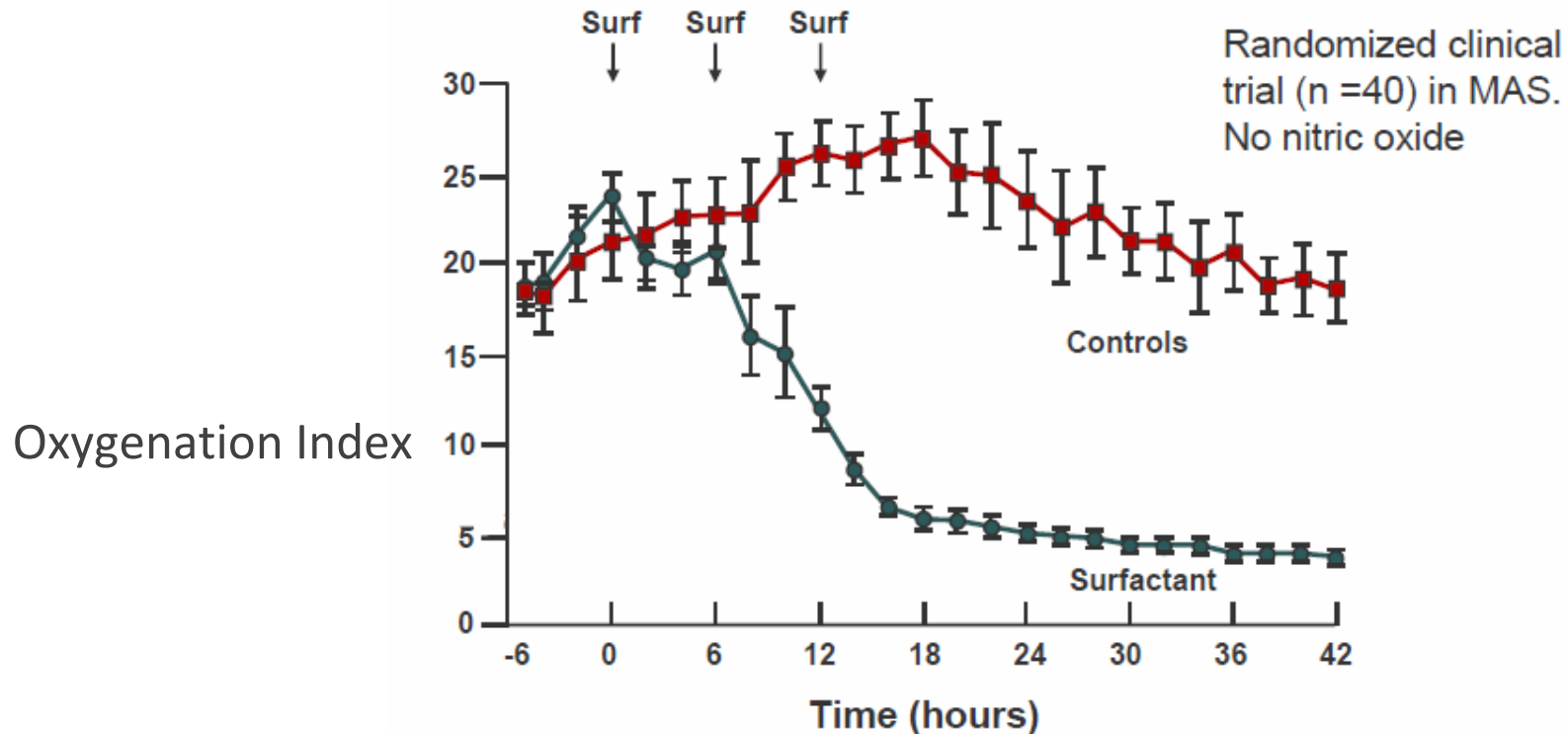
Surfactant and Meconium Aspiration Syndrome: Mechanisms of Action

- ✧ Replaces deficient or inactivated surfactant caused by protein leak into alveolar spaces
- ✧ Decreases barotrauma and oxygen toxicity via a reduced need for mechanical ventilation and oxygen
- ✧ Modulates the proinflammatory response by down regulating IL-1, IL-6, IL-8, TNF- α and NF κ β

Persistent Pulmonary Hypertension in Newborn

Recent Advances in Management

Use of Surfactant in PPHN



Findlay et al Pediatrics 97: 48. 1996

Surfactant Replacement in the Term Newborn

* ... Surfactant treatment improves oxygenation and reduces the need for ECMO without an increase in morbidity in neonates with meconium aspiration syndrome and sepsis/pneumonia. Surfactant treatment may also reduce morbidity and mortality for infants with pulmonary hemorrhage... Rescue surfactant may be considered for infants with hypoxic respiratory failure attributable to secondary surfactant deficiency.

Engel et al Fetus and Newborn Committee 2008

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Recent Advances in Management

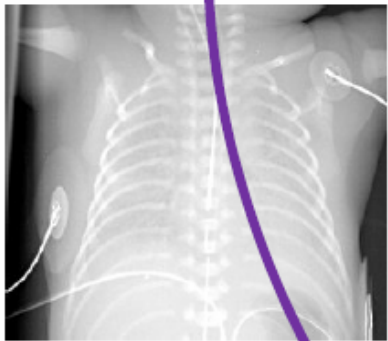
Guidelines for Mechanical Ventilation in PPHN

- ✱ Most infants with pulmonary hypertension do not need nitric oxide or ECMO; PPHN physiology will resolve with treatment of the underlying disease process.
- ✱ When using NO in infants with underlying parenchymal disease, adequate lung inflation is important with; some infants do better with HFOV.
- ✱ Overinflation may increase PVR and worsen pulmonary hypertension

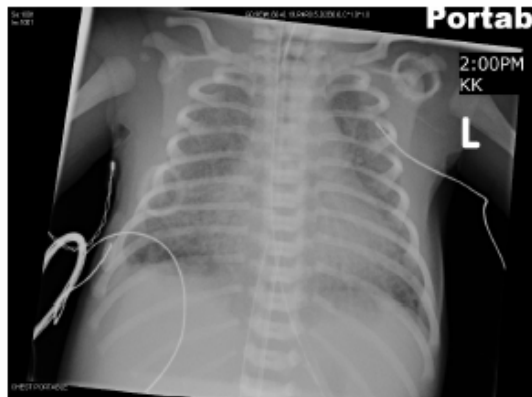
Persistent Pulmonary Hypertension in Newborn

Recent Advances in Management

Effect of Ventilation – Pulmonary Vascular Resistance (PVR) is Minimal at FRC



Low lung volume



Optimal lung volume at FRC



High lung volume

Simmons DH et al, Circ. Res. 1961; 9, 465-471

Keszler & Abubakar – Physiologic principles in Goldsmith & Karotkin – Assisted ventilation of the neonate

Persistent Pulmonary Hypertension in Newborn

Recent Advances in Management

The million dollar question of optimisation of PEEP



Persistent Pulmonary Hypertension in Newborn

Recent Advances in Management

Randomized Multicenter Trial of Inhaled NO and High Frequency Oscillatory Ventilation in Severe PPHN

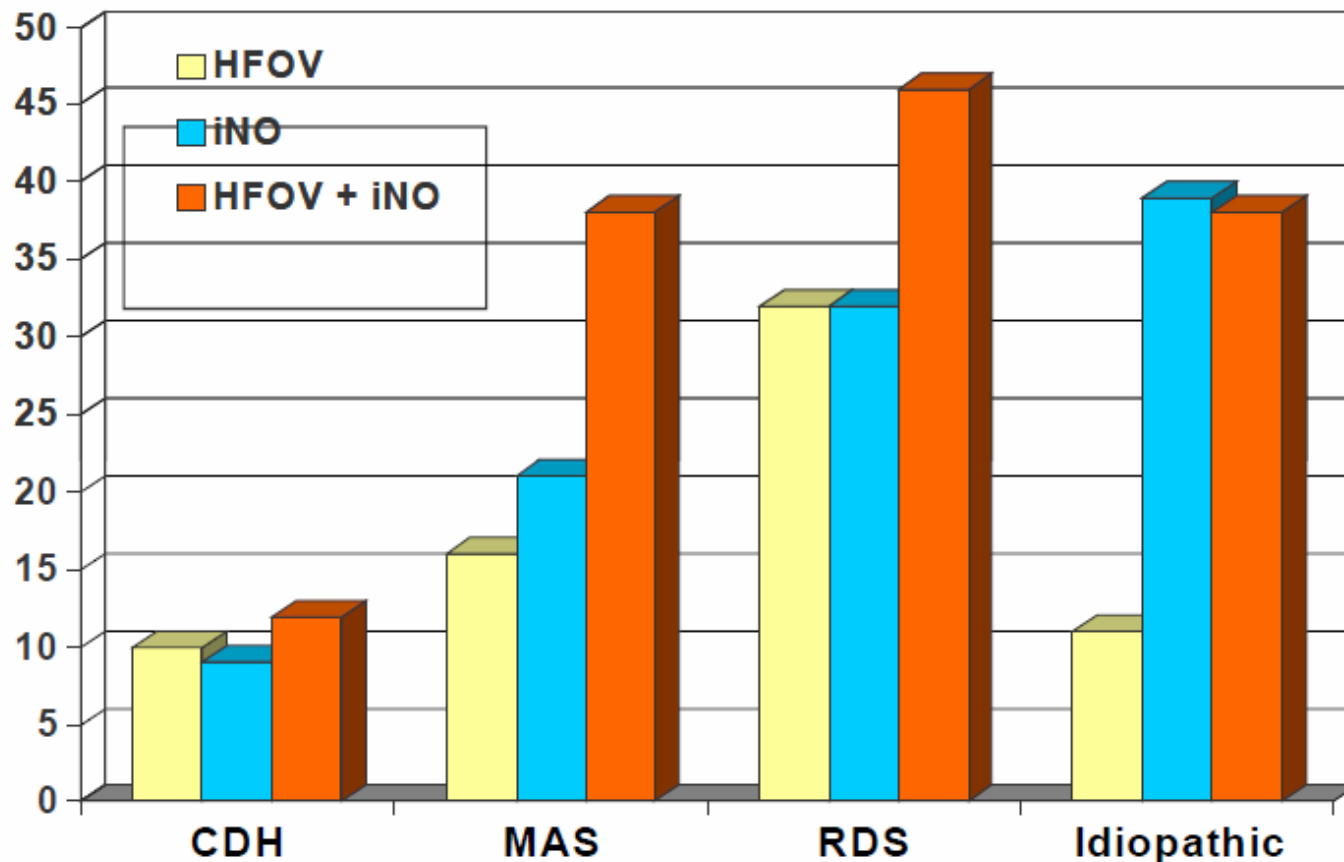
- ❖ 205 infants with severe hypoxemia and echocardiographic evidence of PPHN randomized to HFOV or NO (20 ppm).
- ❖ Four groups of infants studied: 1) infants with diffuse lung disease (RDS or pneumonia), 2) meconium aspiration syndrome, 3) idiopathic PPHN and 4) congenital diaphragmatic hernia
- ❖ Infants failing HFOV crossed over to inhaled NO and if they still remained hypoxemic they received both NO and HFOV
- ❖ Infants failing inhaled nitric oxide crossed over to HFOV and if they still remained hypoxemic they received both NO and HFOV

Kinsella JP et al J Pediatr. 131: 55, 1997

Persistent Pulmonary Hypertension in Newborn

Recent Advances in Management

Randomized Multicenter Trial of Inhaled NO and High Frequency Oscillatory Ventilation in Severe PPHN



Persistent Pulmonary Hypertension in Newborn

Recent Advances in Management

Guidelines for Arterial Blood Gases in PPHN

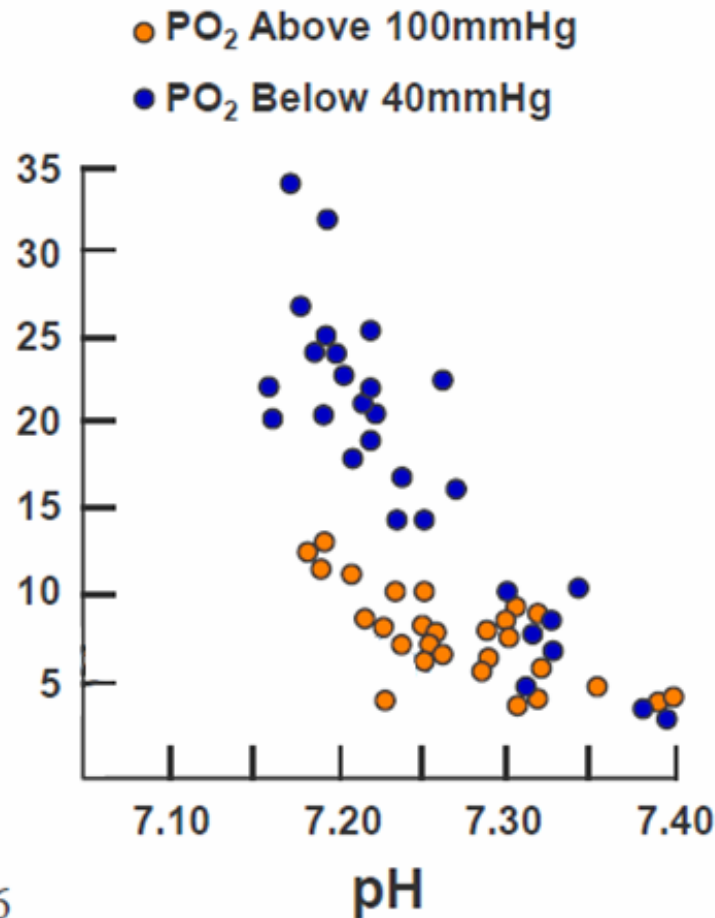
❄ Maintain arterial:

$\text{pH} \geq 7.30$, PaCO_2 40-45 mm Hg & PaO_2 50-80 mm Hg

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Pulmonary Vascular Resistance & pH

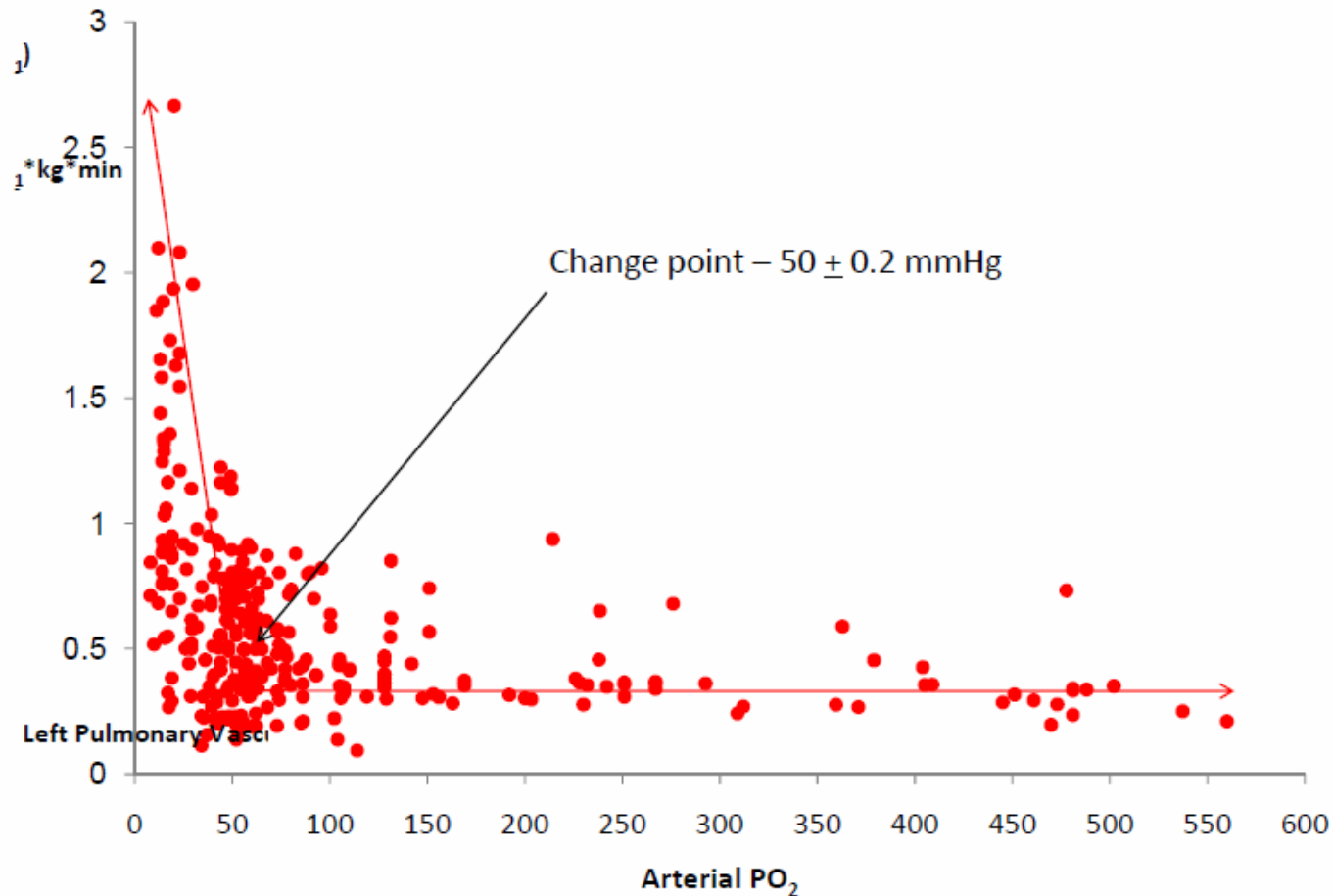


Rudolph and Yuan JCI 1966

Persistent Pulmonary Hypertension in Newborn

Recent Advances in Management

Neonatal Lambs



Lakshminrusimha et al, Pediatric Research 2009

Persistent Pulmonary Hypertension in Newborn

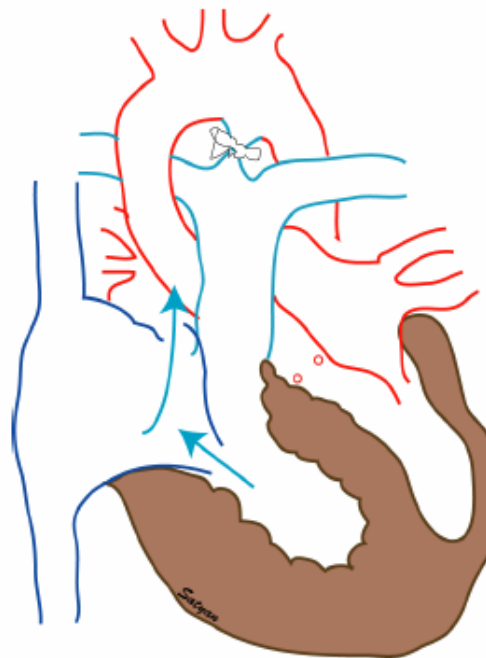
Recent Advances in Management

Model – PPHN with Remodeled Pulmonary Vasculature

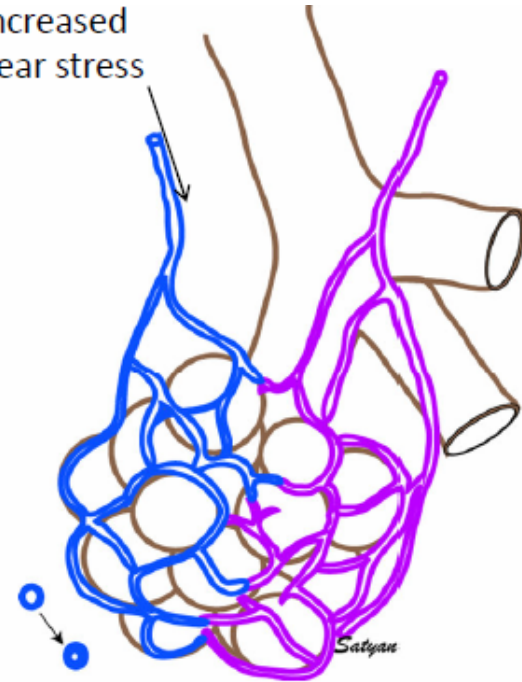
Hysterotomy and fetal
ductal ligation at 126 d
gestation



Delivery 9 days later by
C-section



Increased
shear stress



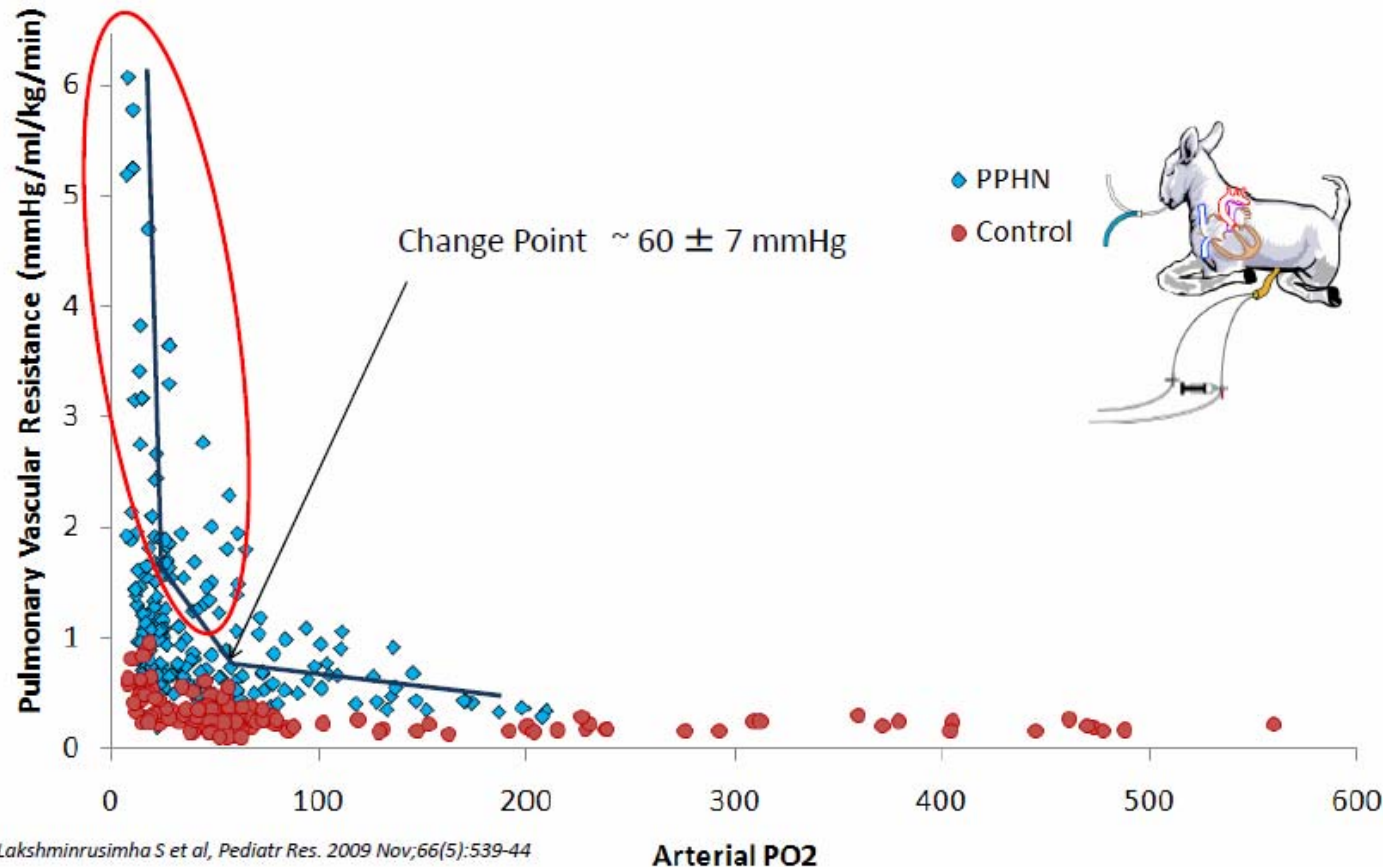
Vascular remodeling with
smooth muscle
hypertrophy

Term ~ 145 days

Persistent Pulmonary Hypertension in Newborn

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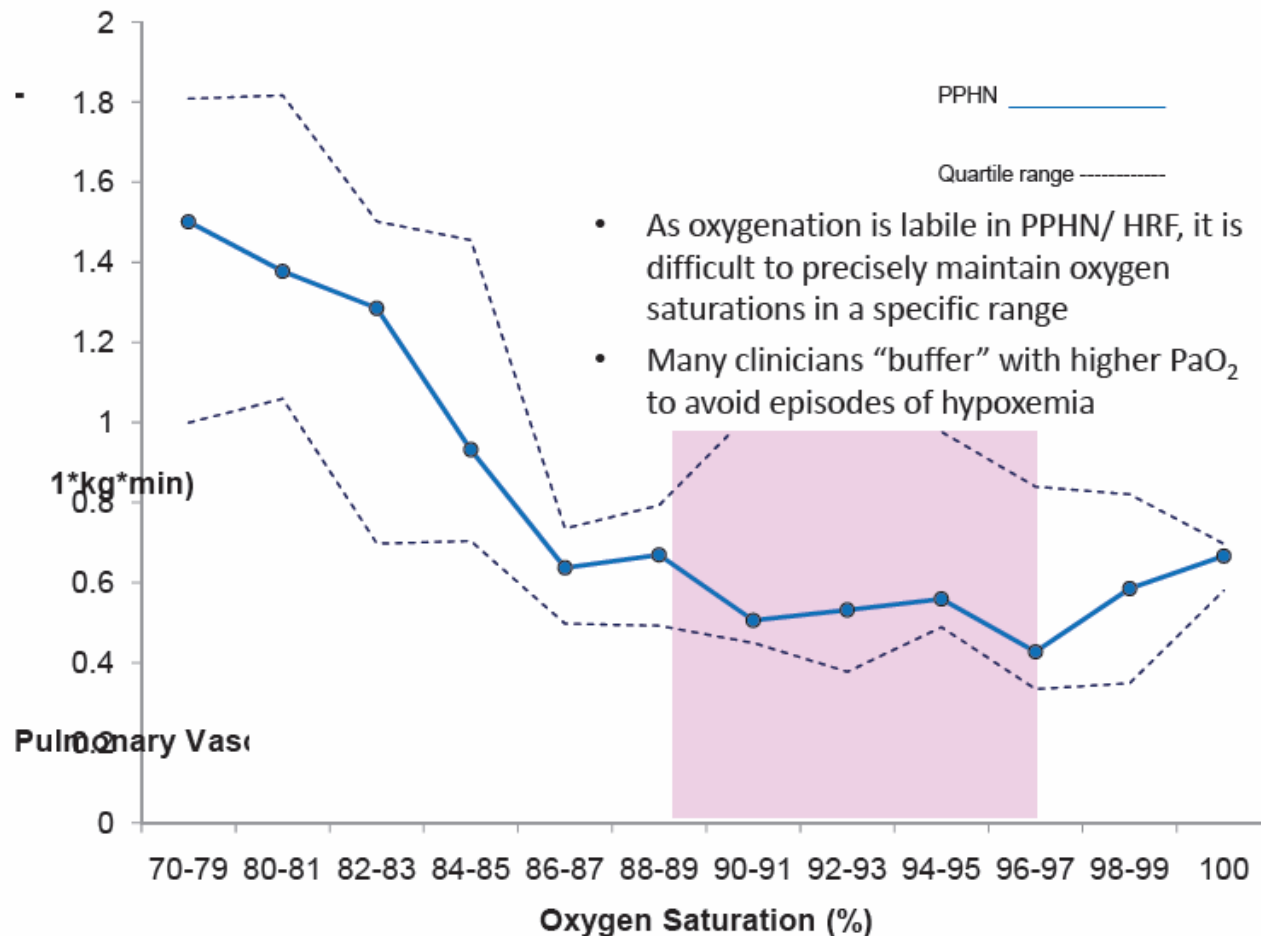
Severe Hypoxic Pulmonary Vasoconstriction in Lambs with PPHN; Change Point – Similar to Control Lambs



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Oxygen Saturation and PVR

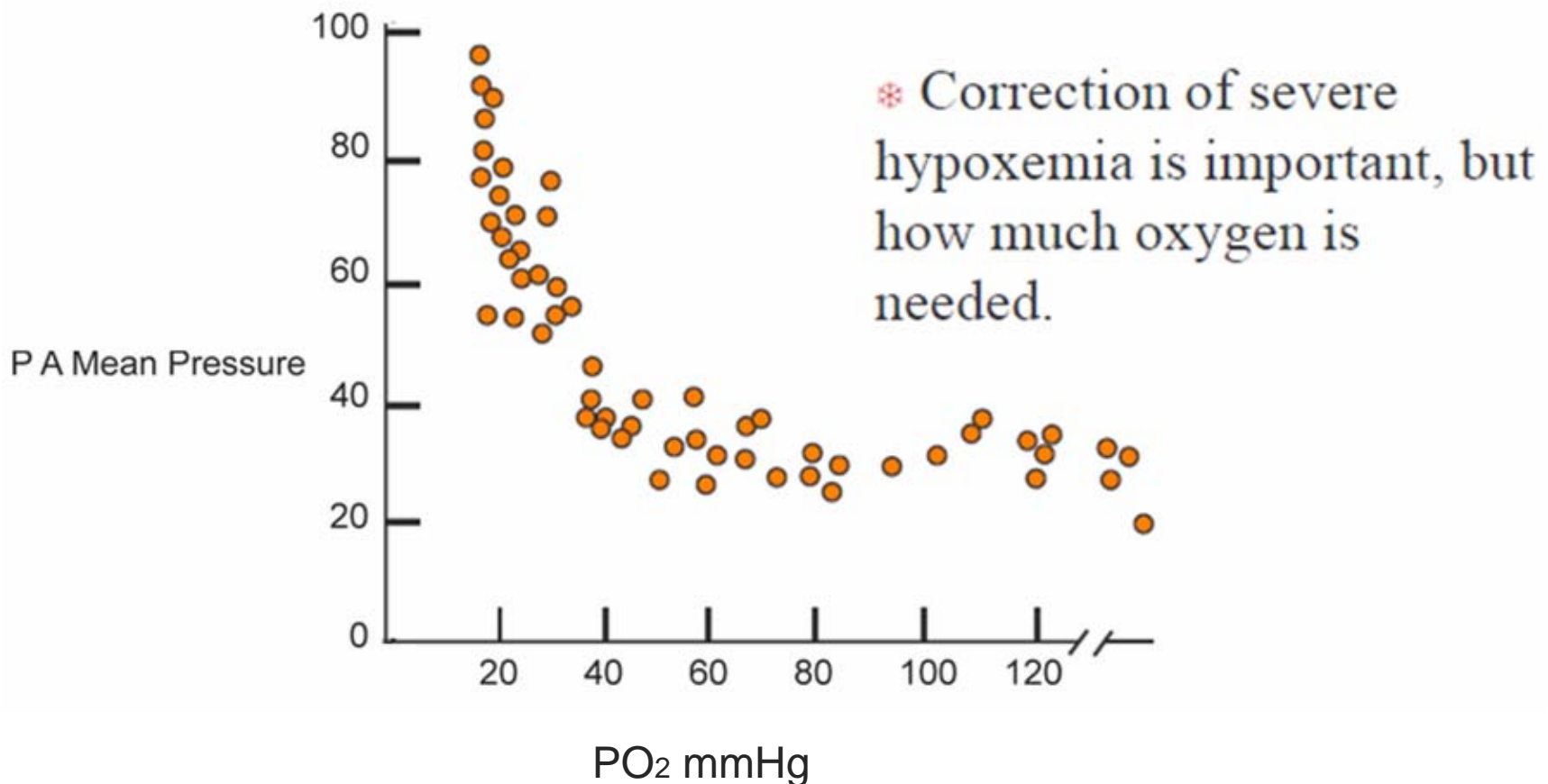


Lakshminrusimha S et al, *Pediatr Res.* 2009 Nov;66(5):539-44

Persistent Pulmonary Hypertension in Newborn

Recent Advances in Management

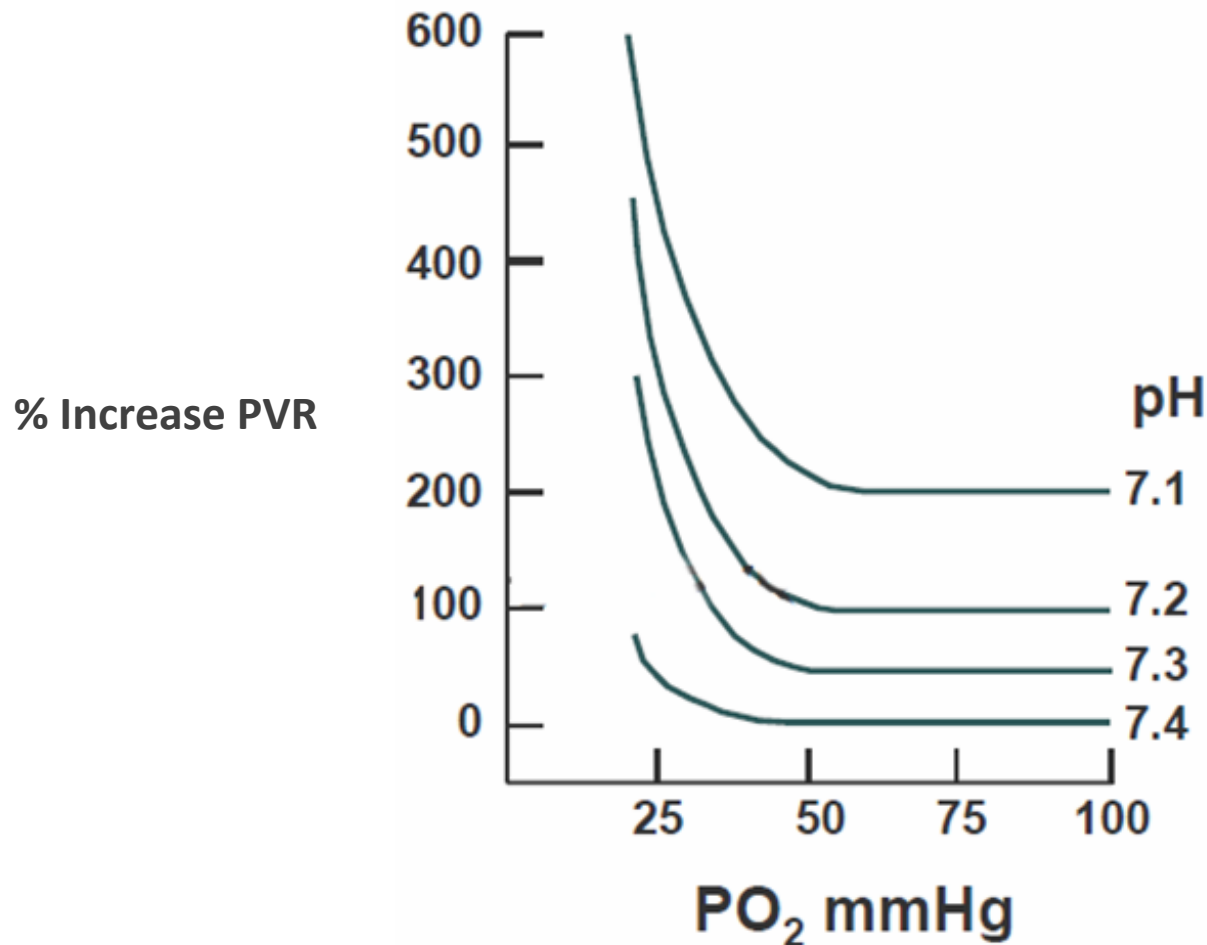
Use of Supplemental Oxygen in PPHN



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Recent Advances in Management

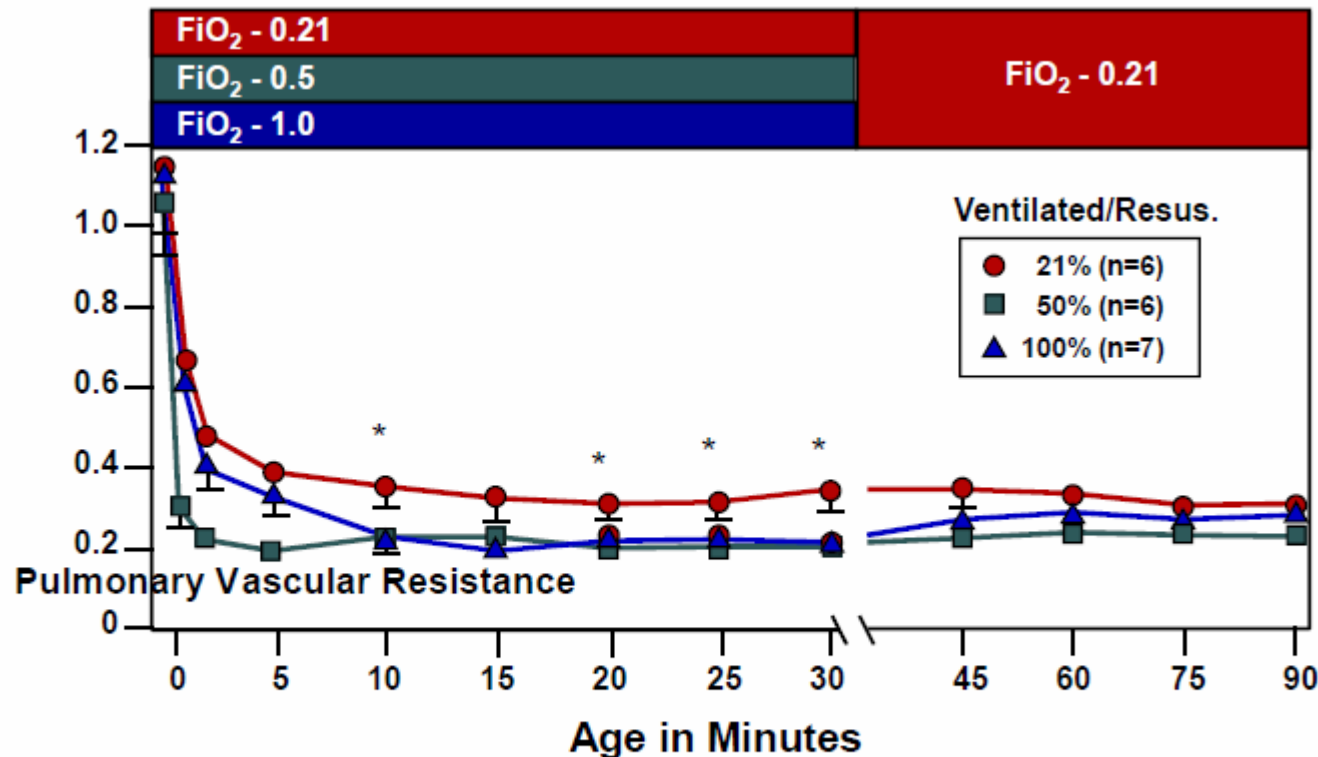
Pulmonary Vascular Resistance



Persistent Pulmonary Hypertension in Newborn

Recent Advances in Management

Changes in Pulmonary Vascular Resistance in Lambs Ventilated with 21% or 100% O₂

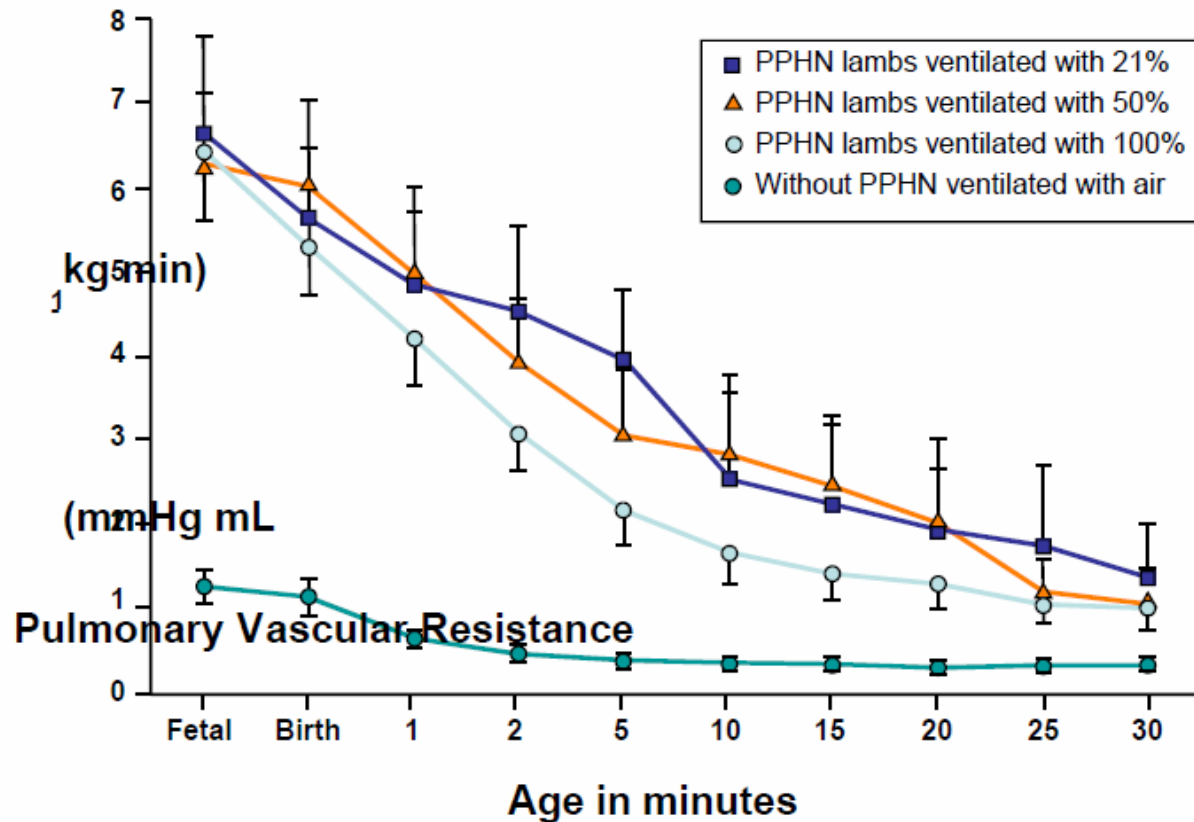


Lakshminrusimha et al. *Pediatr. Res.* 62: 313-318, 2007

Persistent Pulmonary Hypertension in Newborn

Recent Advances in Management

Changes in Pulmonary Vascular Resistance in Lambs PPHN Ventilated with 21%, 50% or 100% O₂

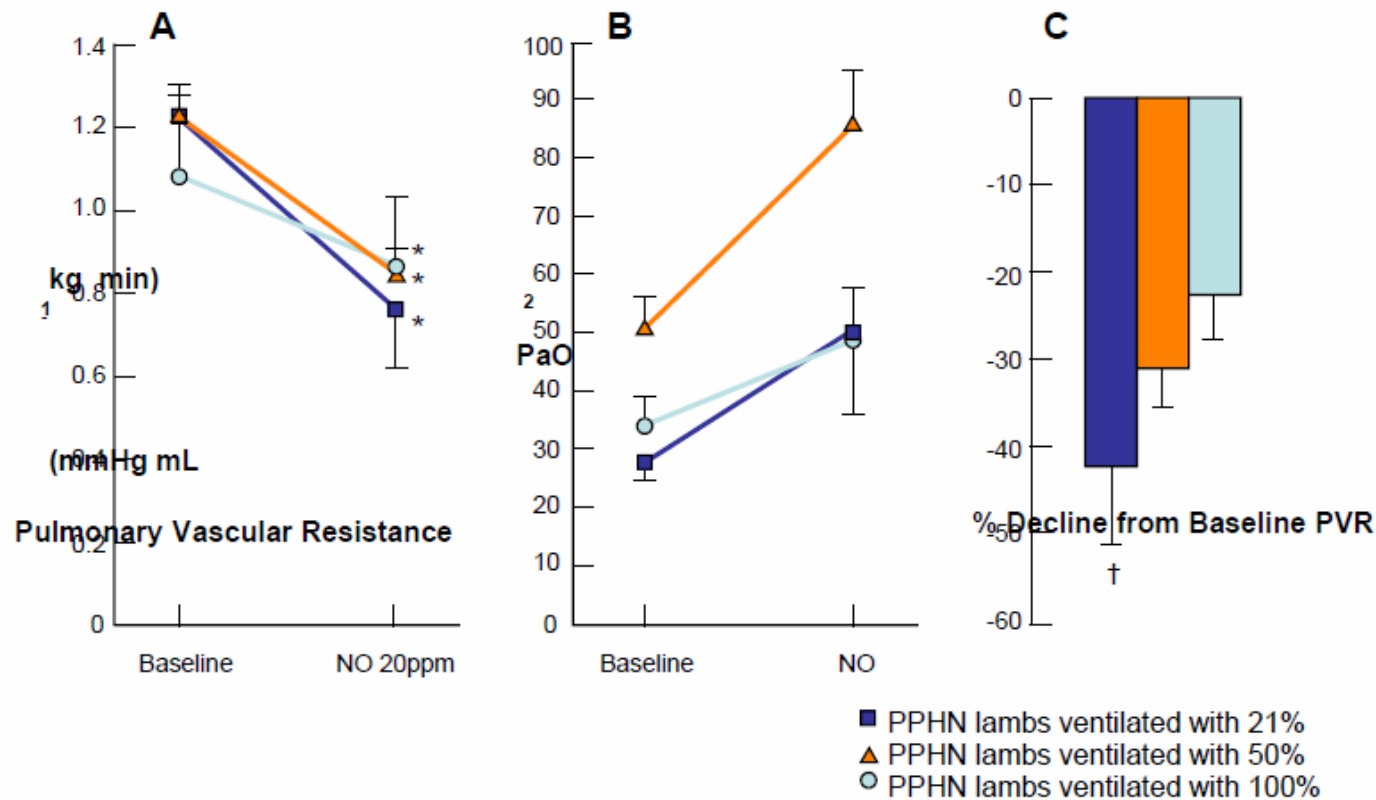


Lakshminrusimha et al. Pediatr. Res. 66: 539-544, 2009

Persistent Pulmonary Hypertension in Newborn

Recent Advances in Management

Changes in Pulmonary Vascular Resistance in Lambs PPHN Ventilated with 21%, 50% or 100% O₂



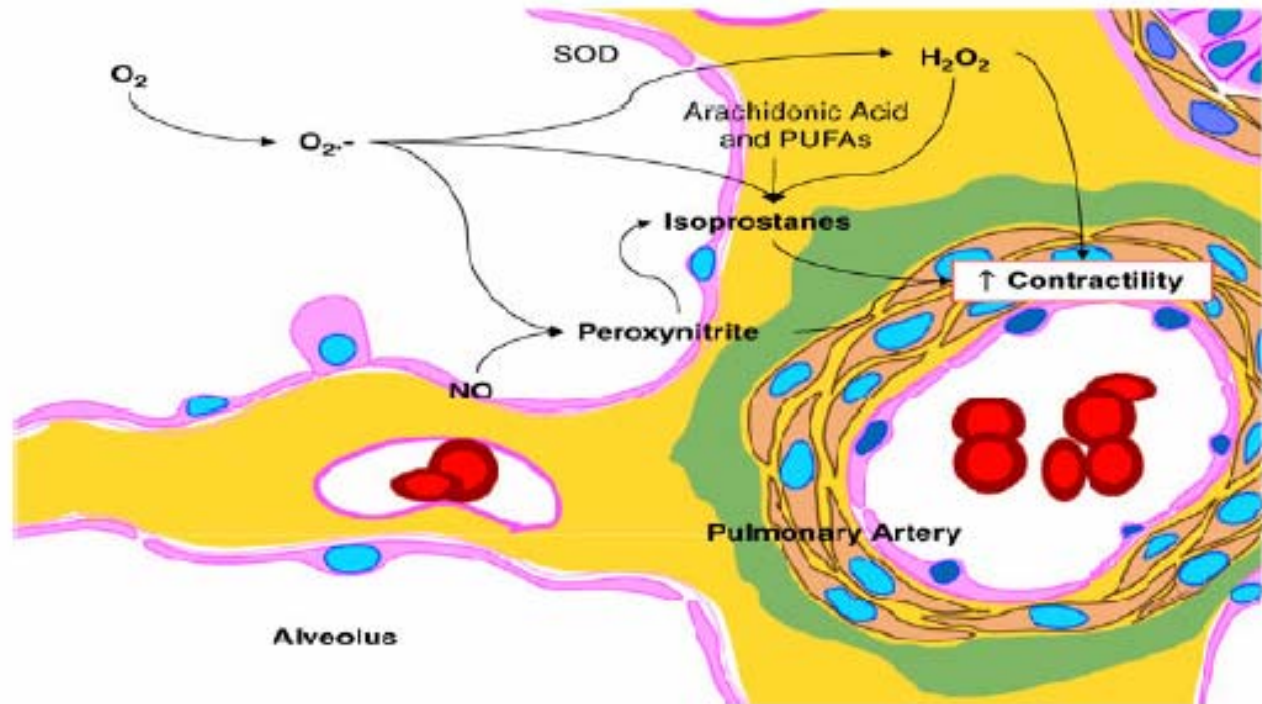
Lakshminrusimha et al. *Pediatr. Res.* 66: 539-544, 2009

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Nitric Oxide and Superoxide Radical

Superoxide radical is produced by NADPH oxidase, xanthine oxidase, *eNOS* or mitochondria

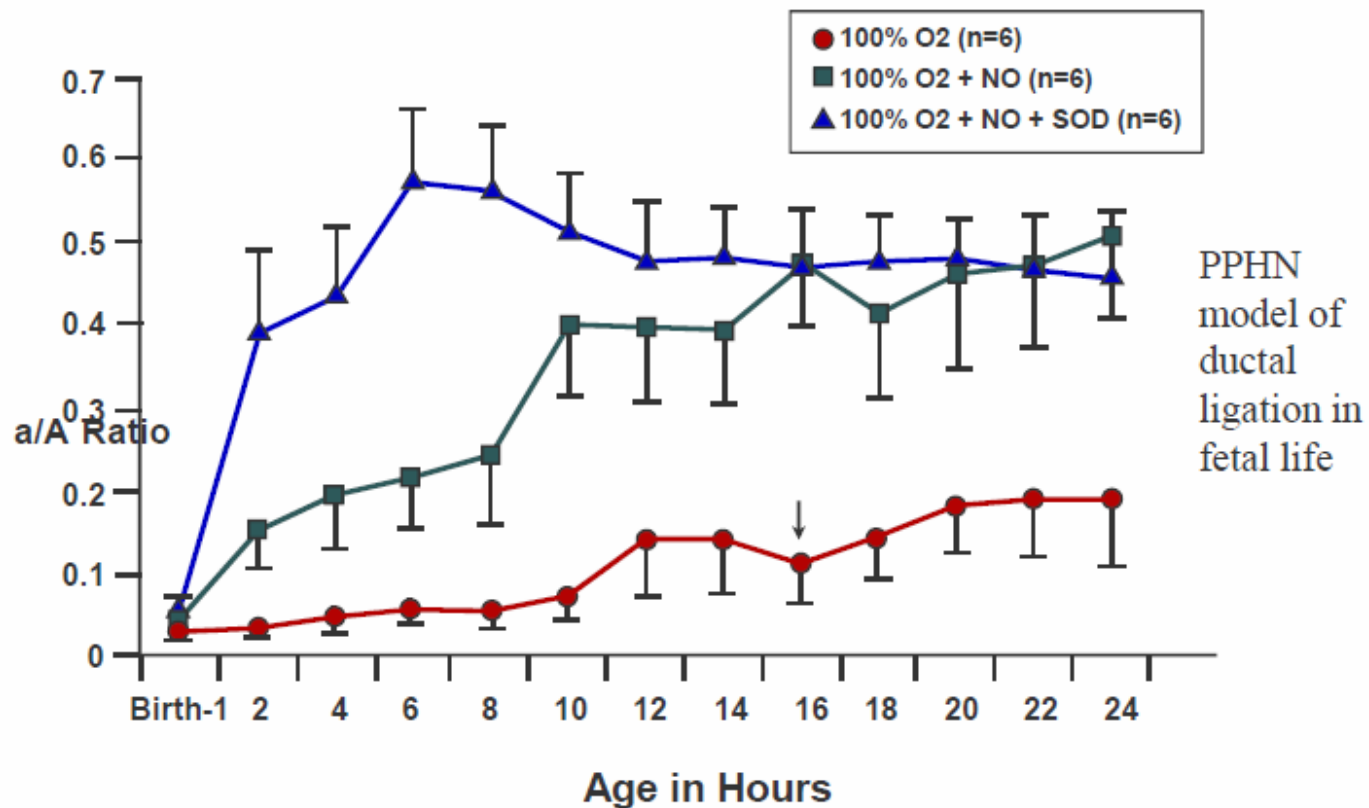


Steinhorn R J Perinatology 28: S67, 2008

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Combinational effects of SOD and NO in lambs with PPHN

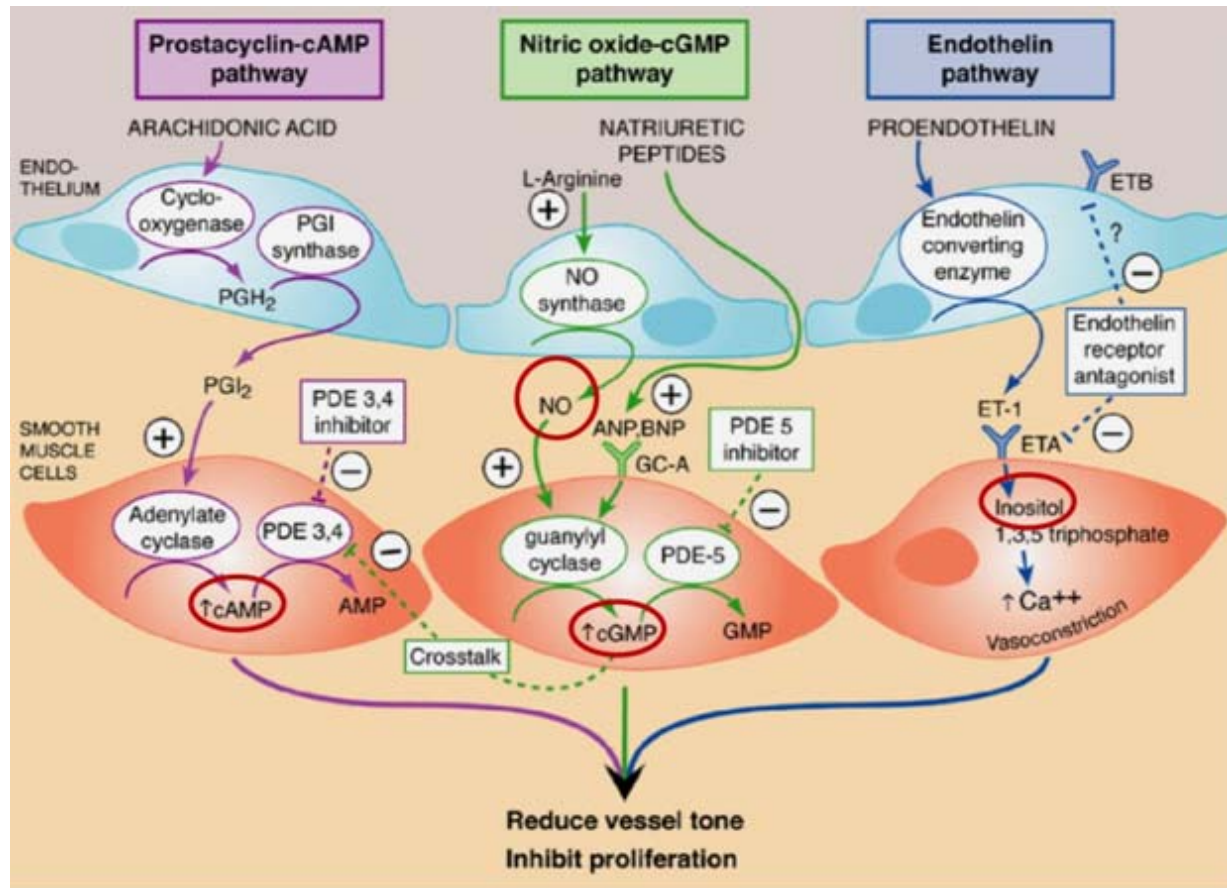


Lakshminrusimha S et al. Am J. Resp. Crit Care Med. 174: 1370-1377, 2006

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Regulation of Pulmonary Vascular Tone



Persistent Pulmonary Hypertension in Newborn

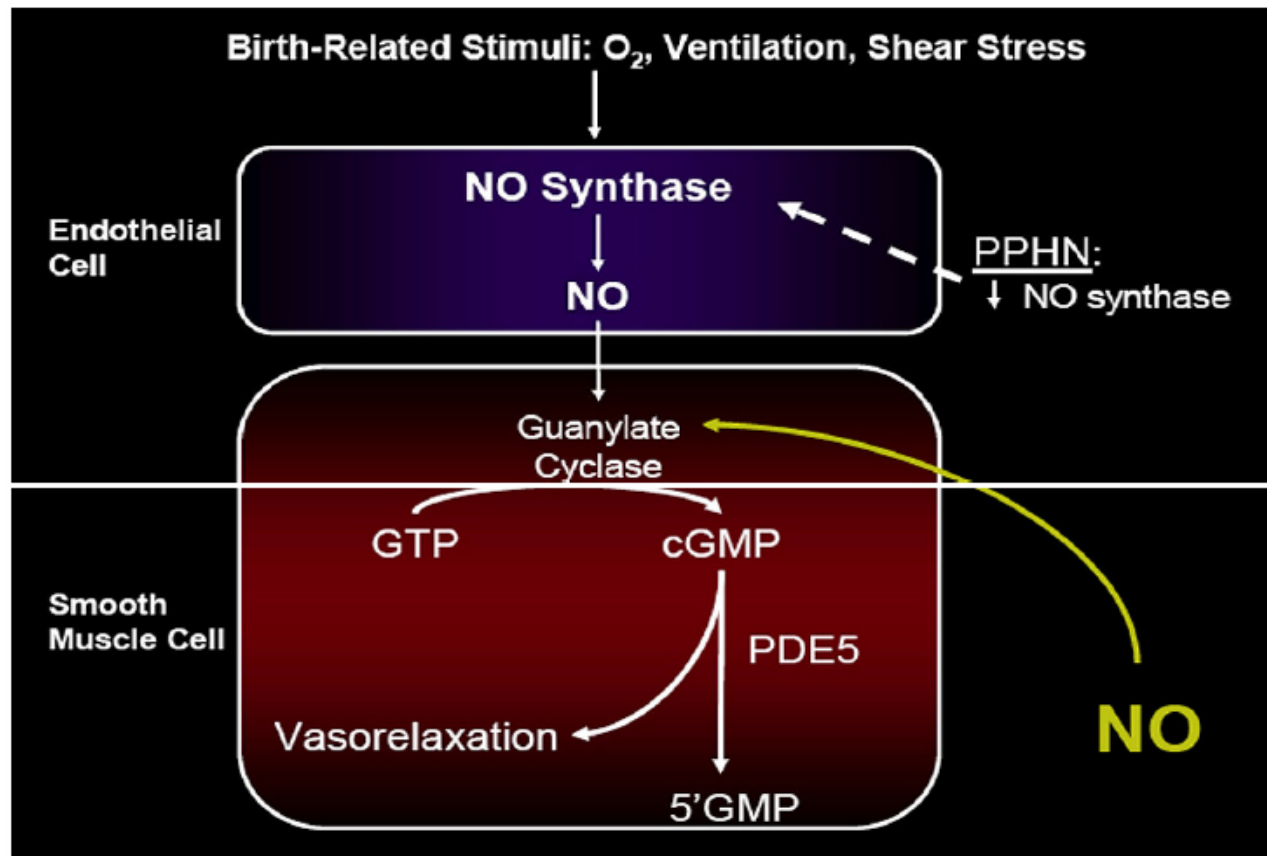
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Nitric Oxide



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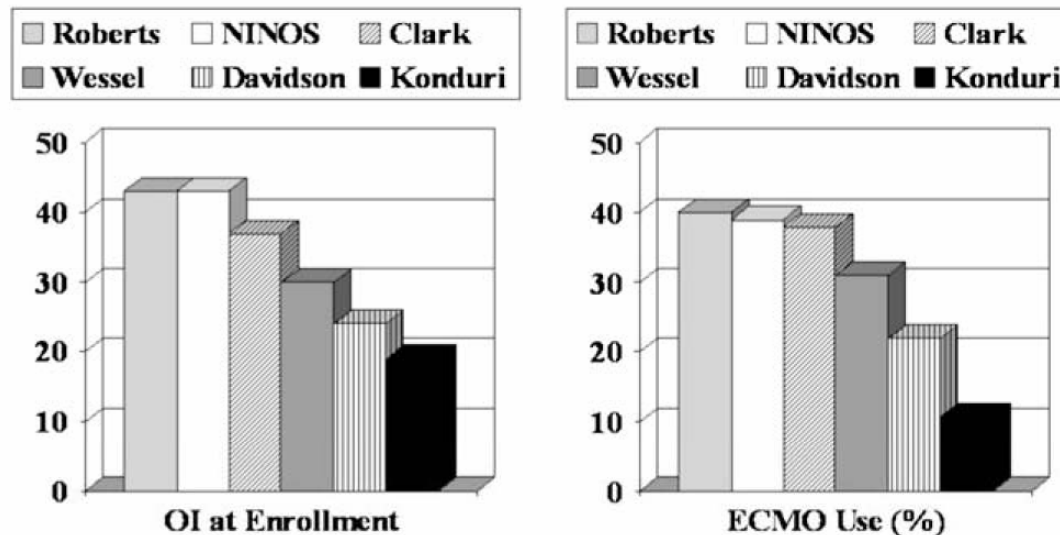
Guidelines for Using NO

- ✧ Current recommended starting dose is 20 ppm.
- ✧ Higher doses carry an increased risk of methemoglobinemia and are not more effective.
- ✧ Lower doses (e.g., 5 ppm) may be effective in many infants.
- ✧ Strategies that improve alveolar ventilation enhance the response to NO
- ✧ Avoidance of atelectasis is important; therefore use of NO (OI 20) before severe respiratory failure ensues is important
(RCTs suggest the the need for ECMO may be reduced with earlier use)

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Initiation of INO and ECMO



Relationship of the severity of respiratory failure, defined by the OI at the time of initiation of iNO therapy, to the ECMO rates observed in these neonates. Data are from six randomized trials in term or near-term neonates for babies assigned to the iNO arm in these trials.^{6,67,84,86,87,89} The trials are labeled by the name of the first investigator and are shown in the order of highest to lowest OI. NINOS, Neonatal Inhaled Nitric Oxide Study Group. The ECMO rate correlates with the severity of respiratory failure at the time of iNO initiation.

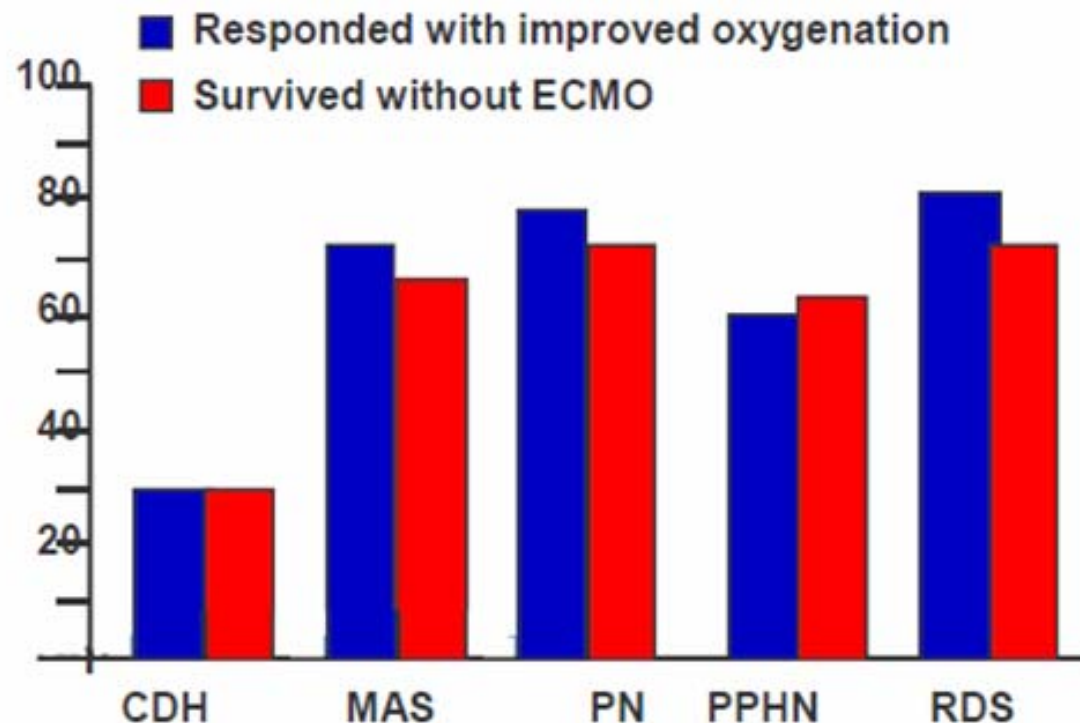
G.G. Konduri. *Pediatr Clin N Am* 56 (2009) 579–600

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Recent Advances in Management

Response Rate by Diagnoses

Percent who responded



Mechanisms for Poor NO Response

- ❄ Poor lung inflation
- ❄ Anatomic lung disease
- ❄ Anatomic heart disease
- ❄ Right or left ventricular failure

Persistent Pulmonary Hypertension in Newborn

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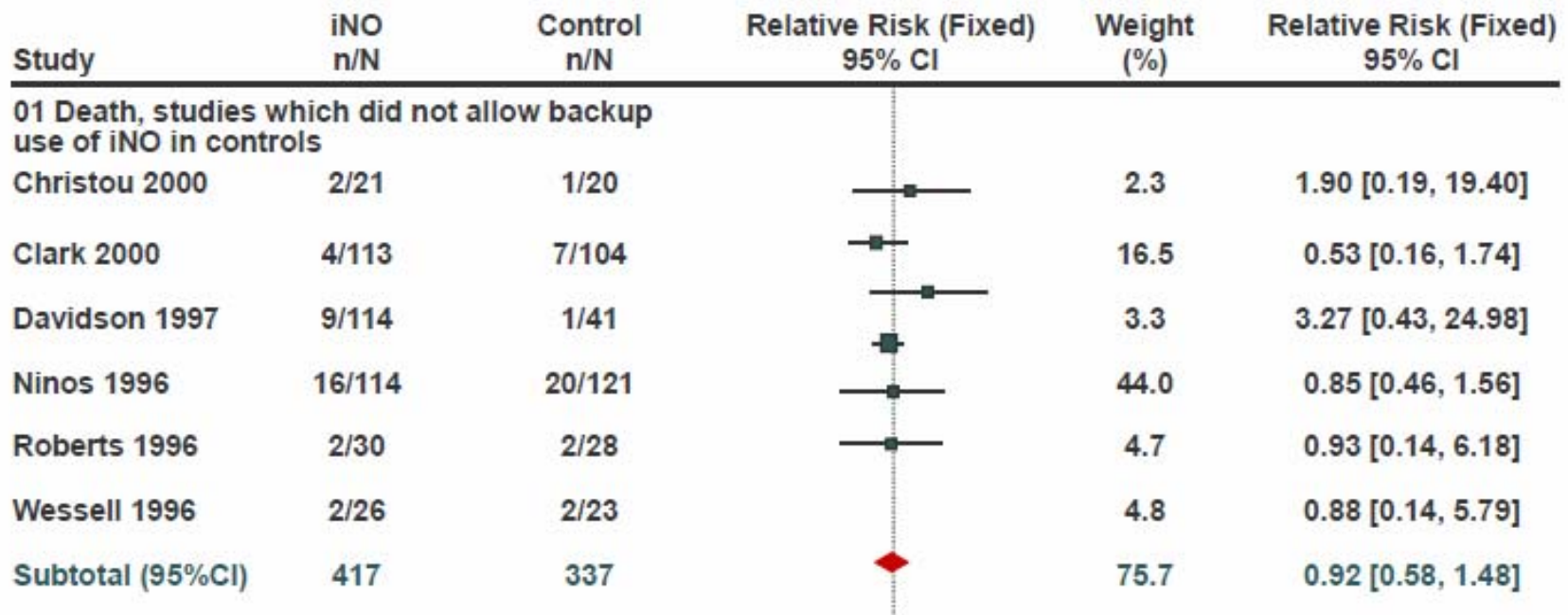
Inhaled NO vs Control: Outcome Requirement for ECMO

Study	iNO n/N	Control n/N	Relative Risk (Fixed) 95% ^{CI}	Weight (%)	Relative Risk (Fixed) 95% ^{CI}
01 Requirement for ECMO, studies which did not allow backup use of iNO in controls					
Christou 2000	3/21	11/20		5.6	0.26 [0.00,0.80]
Clark 2000	36/113	62/104		32.3	0.53 [0.39, 0.73]
Davidson 1997	25/114	14/41		10.3	0.64 [0.37, 1.11]
Ninos 1996	44/114	66/121		32.0	0.71 [0.53, 0.94]
Roberts 1996	12/30	20/28		10.3	0.56 [0.34, 0.92]
Wessell 1996	8/26	8/23		4.2	0.88 [0.40, 1.98]
Subtotal (95%CI)	418	337		94.8	0.61 [0.51, 0.72]

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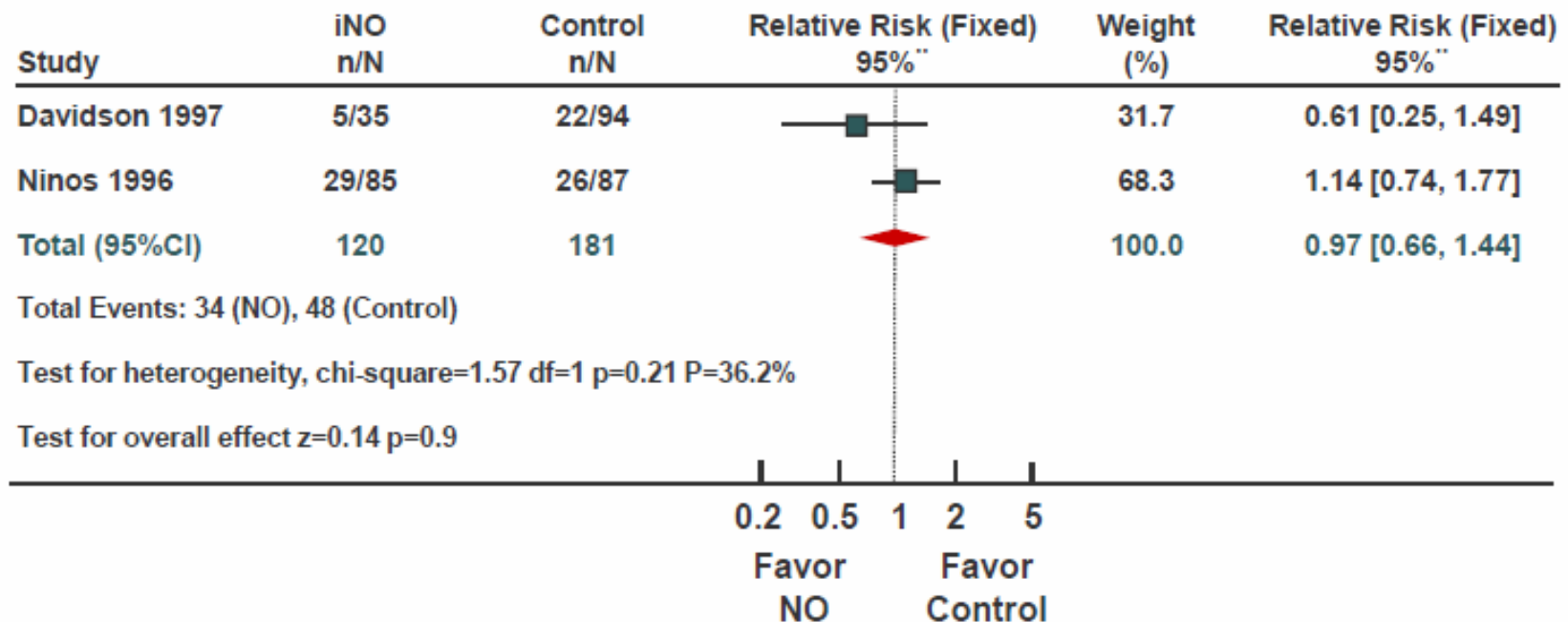
Comparison Inhaled NO vs Control, Outcome Death



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Inhaled NO vs Control: Outcome Neurodevelopmental Disability at 18 to 24 Months Among survivors



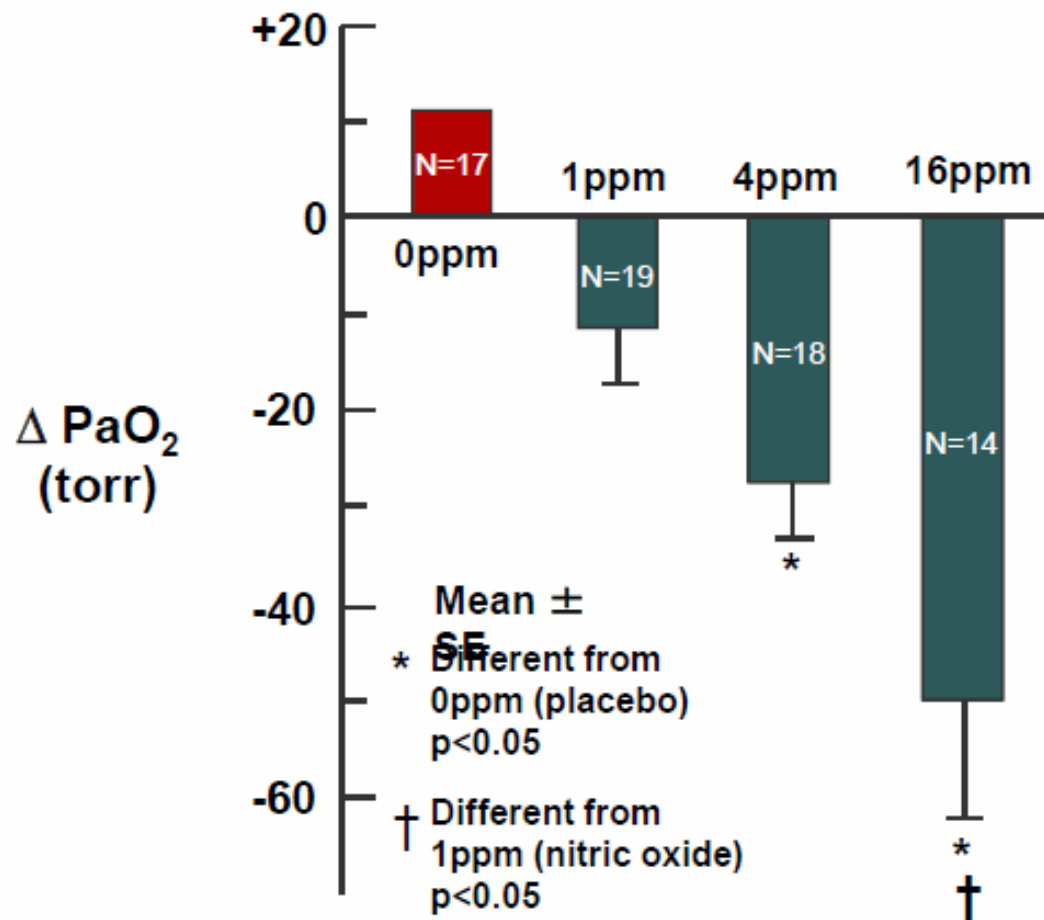
Discontinuing Nitric Oxide

- ❖ Dramatic increases in pulmonary vascular resistance can occur with abrupt withdrawal of nitric oxide.
- ❖ Mechanisms: 1) down regulation of endogenous NO production, 2) decreased vascular sensitivity to NO (due to decreased guanylate cyclase or increased PDE5)
- ❖ Most of the infants respond to an increase in FiO_2
- ❖ Infants with higher pulmonary artery pressure at the time of iNO withdrawal are at greatest risk of “rebound”.
- ❖ NO ought to be weaned gradually at doses ≤ 5 ppm

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No Levels Before Stopping Treatment



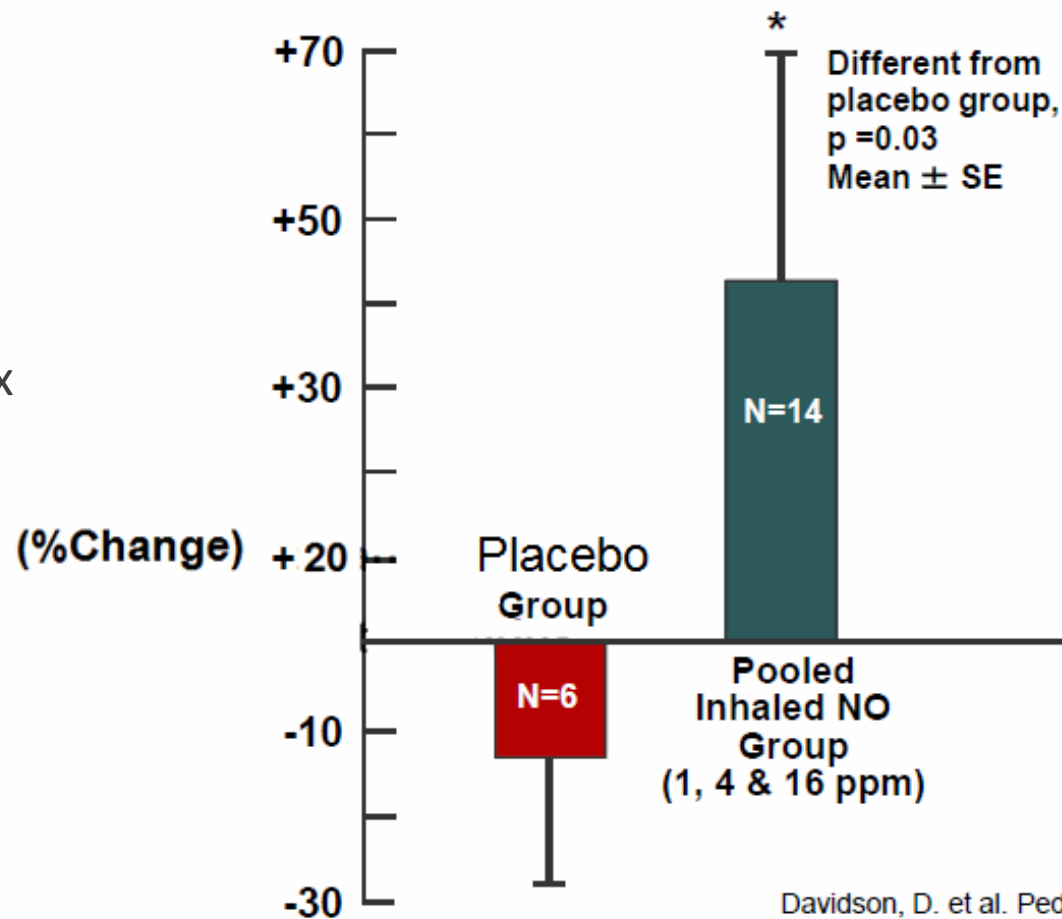
Davidson, D. et al. Pediatrics 1999;104:231-236

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Recent Advances in Management

Oxygenation Index

Oxygenation Index



Davidson, D. et al. Pediatrics 1999;104:231-236

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Post Nitric Oxide Era






Persistent Pulmonary Hypertension in Newborn

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Post – INO Era

- Questionnaire to 220 neonatologists in Canada, Australia, New Zealand
- High Likelihood of using other treatments for PPHN

Practice	Response, <i>n</i> (%)
Other treatments for pulmonary hypertension	
Sildenafil oral (206)	166 (81) 
Milrinone intravenous (204)	121 (59) 
Prostacyclin intravenous (200)	110 (55) 
Magnesium sulfate (195)	63 (32)
Sodium nitroprusside (190)	45 (24)
Vasopressin (195)	25 (13)
Prostacyclin inhaled (190)	25 (13)
Tolazoline (196)	27 (14)
Adenosine (191)	14 (7)
Levosimendan (191)	7 (4)

Shivananda, 2012

Persistent Pulmonary Hypertension in Newborn

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Use of Sildenafil in PPHN

- ❖ Sildenafil is a potent (highly specific) PDE5 inhibitor approved for treatment of pulmonary hypertension in adults.
- ❖ Effective in animal models of PPHN and may attenuate rebound pulmonary hypertension after withdrawal of NO.
- ❖ An intravenous form has recently become available and a phase 1 study has been completed.

Persistent Pulmonary Hypertension in Newborn

Recent Advances in Management

Viagra used first time in the world successfully in severe PPHN Dr Rajiv and team June 2002

Viagra used to save lives of 2 new-born kids in AIMS

Express News Service

Kochi, June 22: In a major medical breakthrough, Viagra, currently used to treat erectile dysfunction, has been successfully used to save the lives of two new-born babies at Amrita Institute of Medical Sciences and Research Centre (AIMS), here.

After all methods to improve the blood levels of oxygen to acceptable levels failed, the team of doctors headed by Dr P K Rajiv, Head, Department of Newborn Intensive Care, AIMS, decided to use small doses of Viagra, also known by the name 'Sildenafil Citrate', along with nitric oxide to treat the babies.

Addressing a Press conference here along with A P S Krishnan, vice-president, AIMS, Dr Rajiv said there has been similar usage of Viagra, coincidentally at the same time in Canada, as an alternative to nitric oxide to treat pulmonary hypertension, which results in extreme narrowing of the blood vessels supplying the lung causing severe breathing difficulty.

The babies showed a remarkable improvement after giving 0.3 to 0.5 mg/kg Viagra dosage on them every eight hours.

"They could leave the hospital in five days," Dr Rajiv said adding that the Viagra had helped in improving the blood levels of oxygen.

In the first case, a newborn baby girl Sunitha from Mavelikkara was brought to AIMS with severe breathing difficulties due



Dr Rajiv (right), head of the department of Newborn Intensive Care, AIMS, Kochi, and a colleague monitor a baby who was successfully treated for severe pulmonary hypertension using small dosage of Viagra, at the intensive care unit.

Dr Rajiv said there has been similar usage of Viagra, coincidentally at the same time in Canada, as an alternative to nitric oxide, to treat pulmonary hypertension, which results in narrowing of the blood vessels supplying the lung

to pneumonia and pulmonary hypertension.

As is the practice, the baby was put on conventional ventilation, which did not bring up the blood level of oxygen to a viable range compatible with life. By midnight, the baby was put on high frequency ventilation, which also did not improve the level of oxygenation significantly.

Dr Rajiv said the baby

showed signs of severe respiratory failure and nitric oxide was infused through the ventilator. However, this also did not produce the desired results.

Finally, using the information received through the internet that Viagra had been successfully tried in the West on adults, the AIMS doctor gave the child small dosage of Viagra, which produced dramatic

results and the baby was discharged from the hospital after five days of treatment.

In the second case, another baby girl Dhanya was cured of a severe pulmonary hypertension with Viagra alone, as against the first case where nitric oxide was also given.

In this case, the baby was also administered small doses of Viagra orally along with nitric oxide. "However, the nitric oxide therapy had to be stopped due to equipment problem.

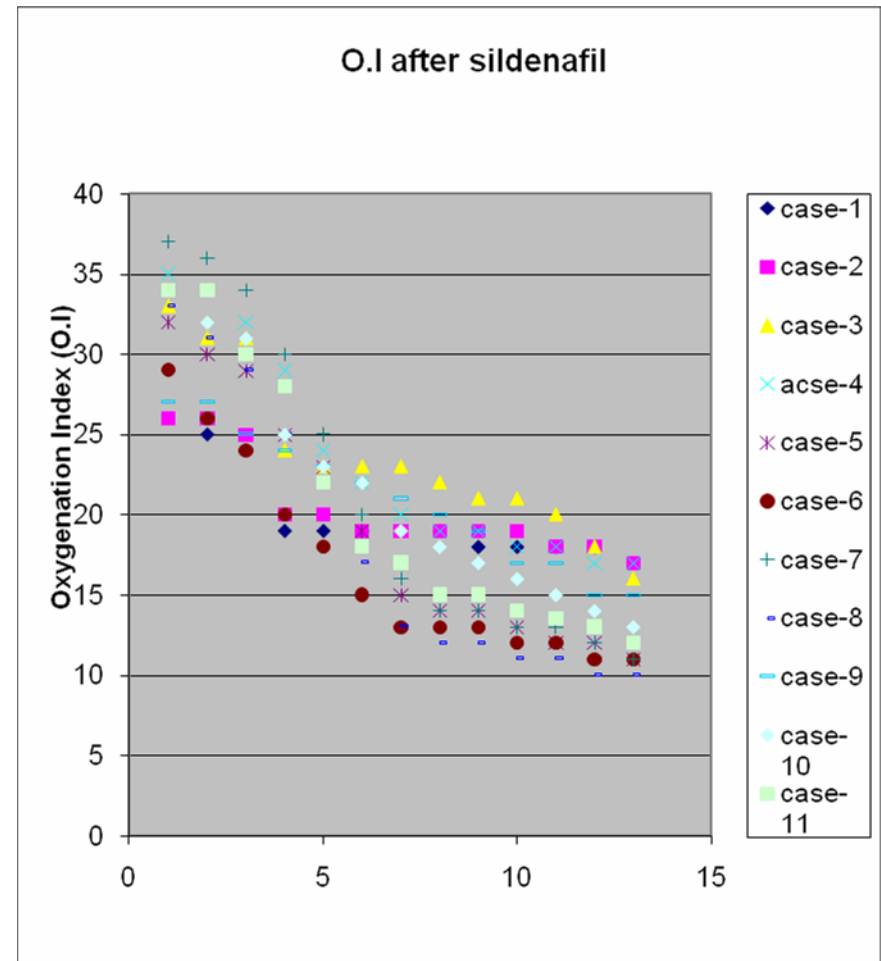
Two to three doses of Viagra were given to the baby and her condition improved," Dr Rajiv explained adding that this showed that the discontinuation of nitric oxide did not lead to any deterioration in the baby's oxygenation.

Persistent Pulmonary Hypertension in Newborn

Recent Advances in Management

Viagra on Pulmonary Hypertension

Hour of age after sildenafil	0hr	6hr	12 hr	18hr
case-1	29	25	24	19
case-2	26	26	25	20
case-3	33	31	31	24
case-4	35	34	32	29
case-5	32	30	29	25
case-6	29	26	24	20
case-7	37	36	34	30
case-8	33	31	29	25
case-9	27	27	25	24
case-10	34	32	31	25
case-11	34	34	30	28

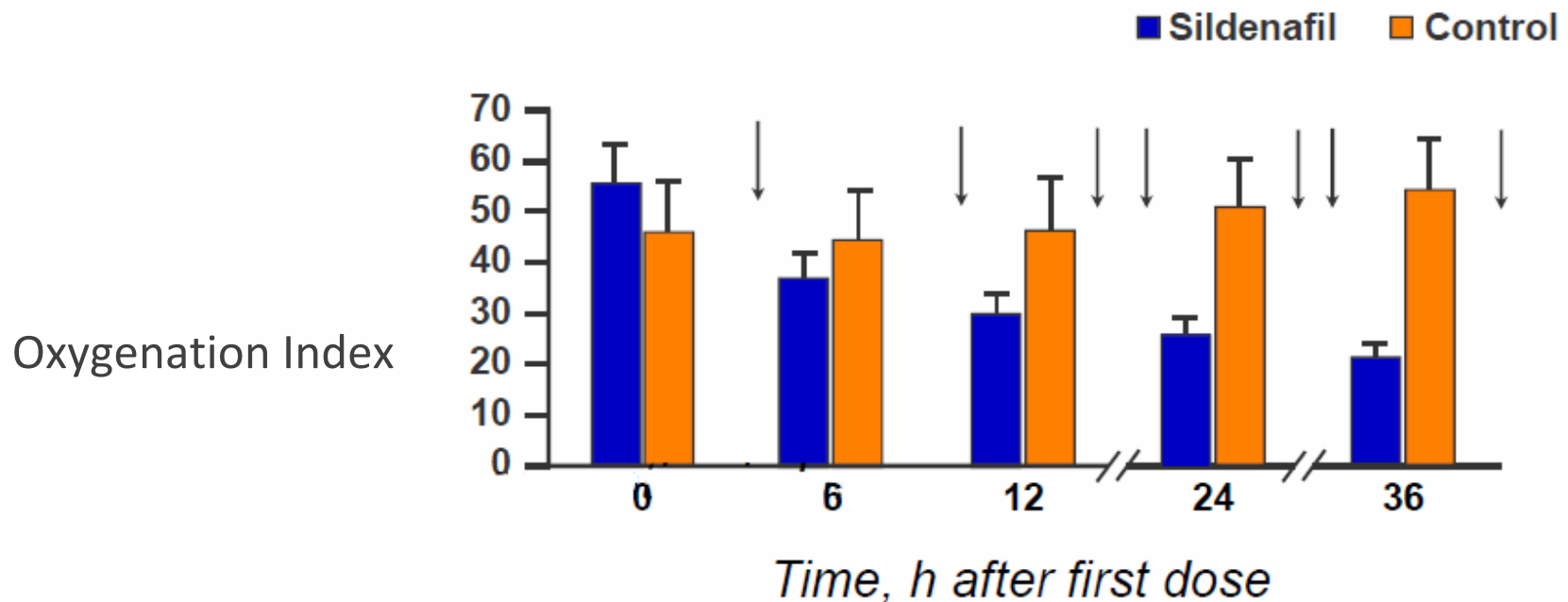


Rajiv et al BMJ. june 2002

Persistent Pulmonary Hypertension in Newborn

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Oral Sildenafil Produced Significant Changes in OI



Randomized blinded trial in infants > 35.5 weeks with severe PPHN

Baquero, H. et al. Pediatrics 2006;117:1077-1083

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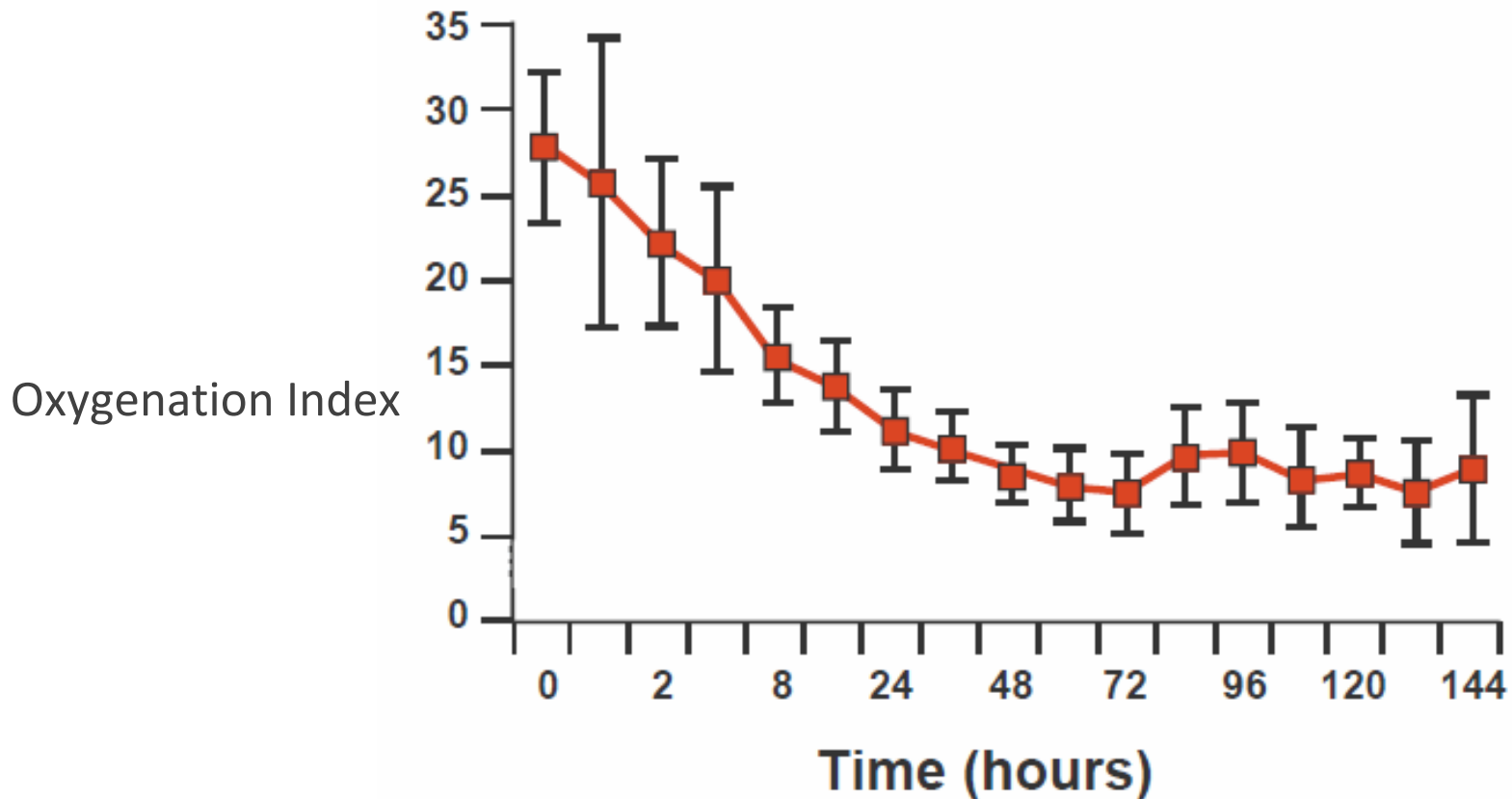
Intravenous Sildenafil in PPHN

- ❖ Five centers enrolled 36 neonates with PPHN or hypoxemic respiratory failure in eight “step-up” treatment groups.
- ❖ Mean gestational age 39 ± 2 weeks, mean weight $3.44 \pm .51$ kg and age of enrollment 34 ± 17 hours
- ❖ 29/36 infants were already receiving NO

Persistent Pulmonary Hypertension in Newborn

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Oxygenation Index Over Time with Intravenous Sildenafil



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Intravenous Sildenafil

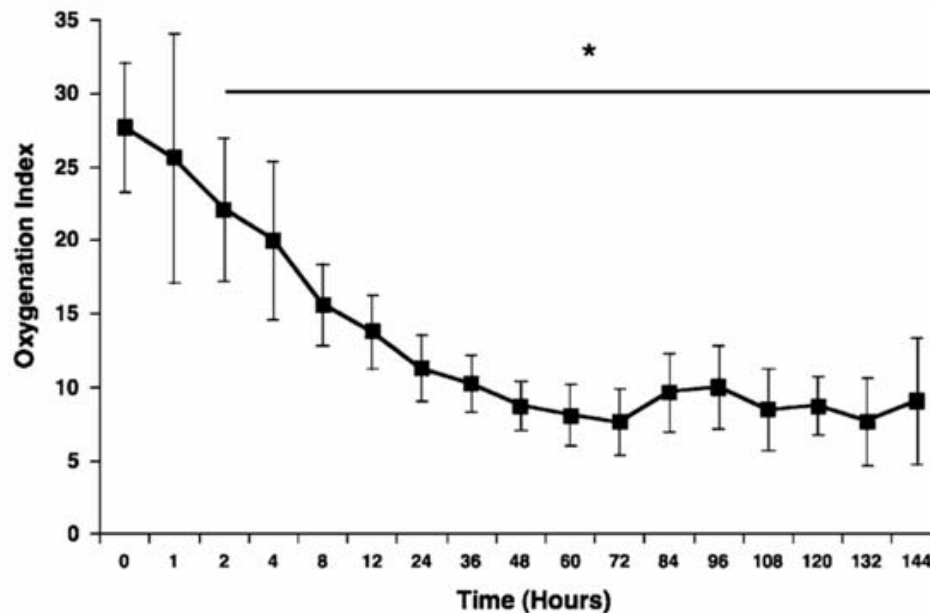


Figure 1. OI over time. For the entire group of infants (n = 36), mean OI before the initiation of sildenafil was 27.7 ± 4.2 . OI improved significantly over the initial 24 hours of sildenafil infusion (11.3 ± 2.3 ; $P < .0001$, based on 34 remaining observations at 24 hours), and improvements were sustained over the course of therapy. By 144 hours, only 5 infants were still receiving sildenafil.

Persistent Pulmonary Hypertension in Newborn

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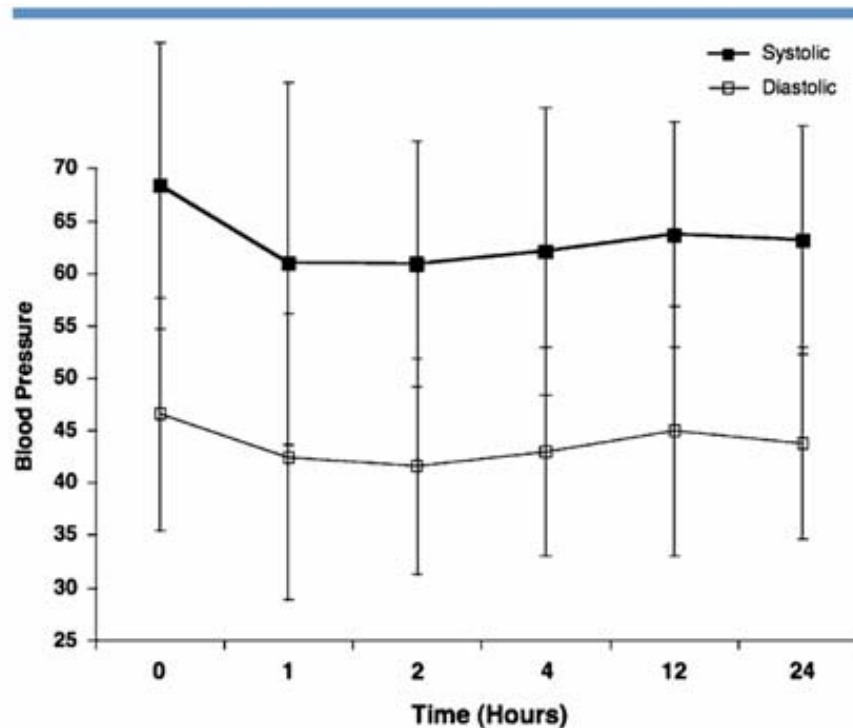


Figure 2. Blood pressure over time. For the entire group of infants ($n = 36$), systolic blood pressure before the initiation of sildenafil was 68.4 ± 13.7 mm Hg, and diastolic blood pressure was 46.6 ± 11.2 mm Hg. Two infants were excluded from analysis due to discontinuation of sildenafil and cannulation for ECMO. No significant change in systolic or diastolic blood pressure was observed in the remaining infants after initiation of sildenafil.

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Intravenous Sildenafil in PPHN

Table II. Sildenafil treatment groups and levels after the loading infusion and 24hours of maintenance infusion

Treatment group	Loading dose			Maintenance dose		
	mg/kg	Duration, hours	Blood level, ng/mL	mg/hour	mg/kg/day	Blood level, ng/mL
1 (n = 2)	0.008 ± 0.005	0.03-0.08	2.28 ± 0.92	0.01	0.07	15.2
2 (n = 4)	0.011 ± 0.0005	0.5	2.99 ± 4.82	0.01	0.08 ± 0.003	6.95 ± 2.56
3 (n = 4)	0.027 ± 0.0029	0.5	4.15 ± 5.70	0.025	0.18 ± 0.017	22.69 ± 12.43
4 (n = 6)	0.056 ± 0.006	0.5	13.44 ± 7.51	0.06	0.36 ± 0.034	33.68 ± 23.24
5 (n = 5)	0.117 ± 0.014	0.5	47.36 ± 23.09	0.12	0.75 ± 0.079	73.45 ± 35.31
6 (n = 6)	0.243 ± 0.03	0.5-1	86.95 ± 25.47	0.22	1.59 ± 0.302	161.15 ± 49.8
7 (n = 5)	NA	NA	76.68 ± 38.5*	0.22	1.64 ± 0.230	101.4 ± 44.62
8 (n = 4)	0.427 ± 0.046	3	107.78 ± 37.03	0.22	1.64 ± 0.17	246.28 ± 177

NA indicates not applicable.

*Group 7 had no loading infusion; thus, the first level was obtained at 6 hours after the start of the maintenance infusion.

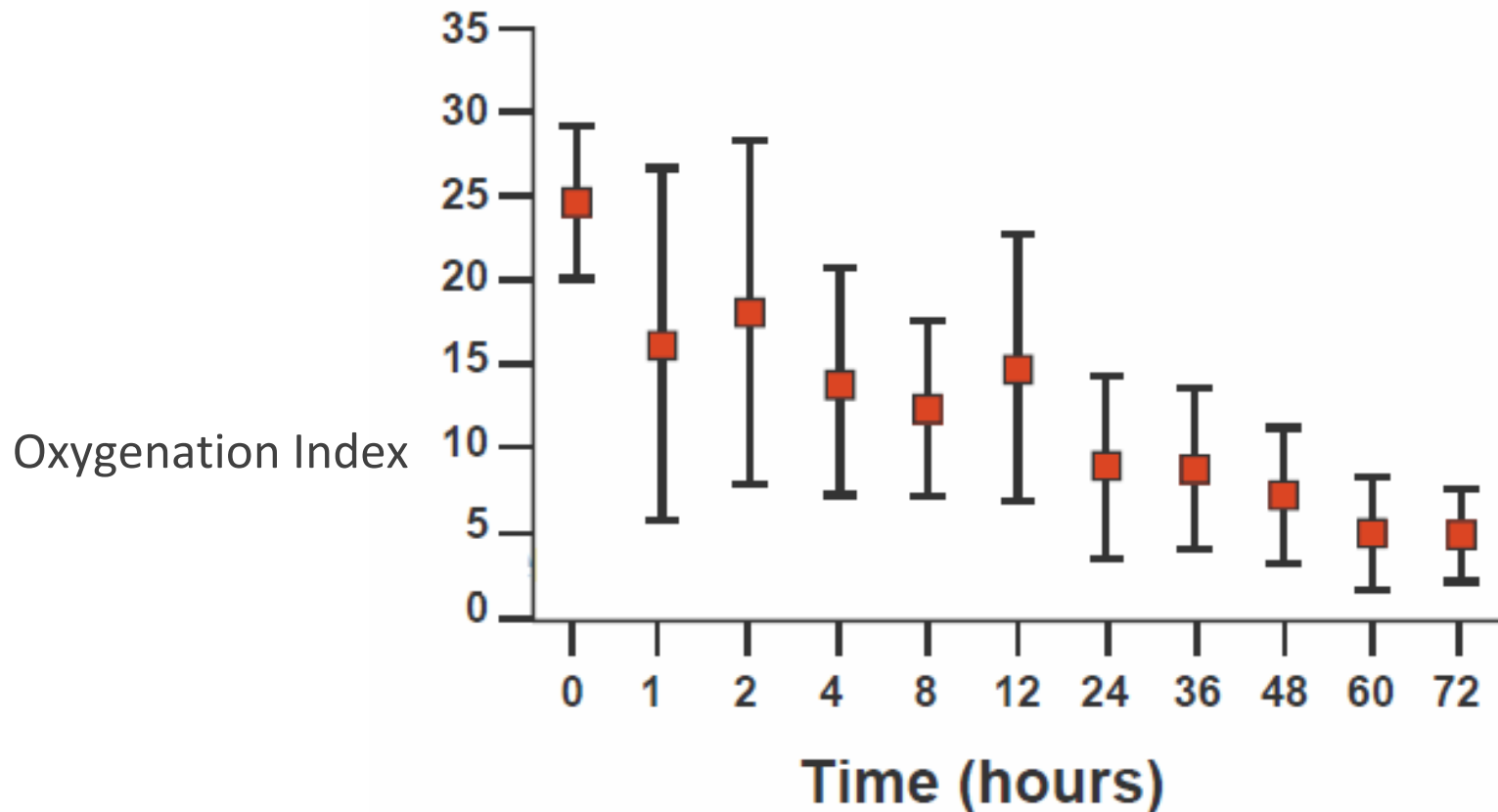
Steinhorn (*J Pediatr* 2009;155:841-7).

Blood pressure did not drop abruptly if loading dose was given over 3 hours

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Response to Sildenafil Infusion without iNO



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PDE 5 Inhibitor - Sildenafil

- In PPHN, sildenafil may:
 - facilitate weaning from INO
 - Decreases duration of mechanical ventilation, hospital stay
- Sildenafil in combination with:
 - INO did not result in significant ↓ in systemic BP & actually improved oxygenation
 - Milrinone (n=10) was not associated with hypotension or other adverse events

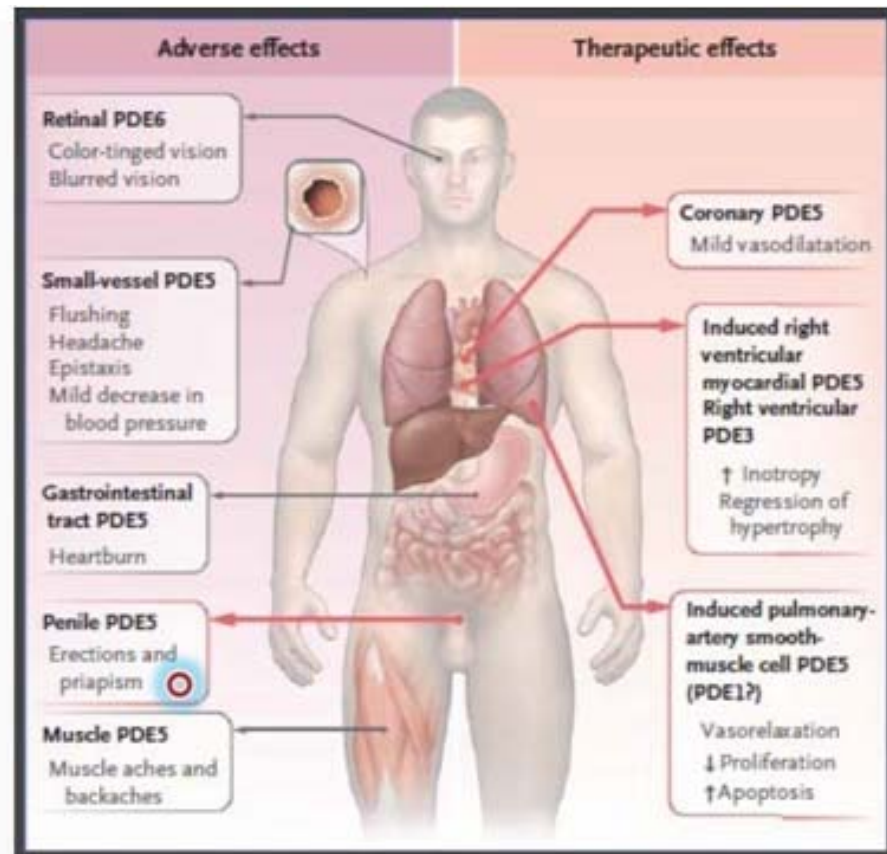
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PDE – 5 Inhibitor - Sildenafil

■ Potential AEs

- delayed gastric emptying
- hypotension
- PDE6 inhibition - retinal damage
- Severe ROP - one PT infant
- Adults- CNS effects – emotional, psychological disturbances, amnesia, loss of consciousness, aggressive behavior, ICH

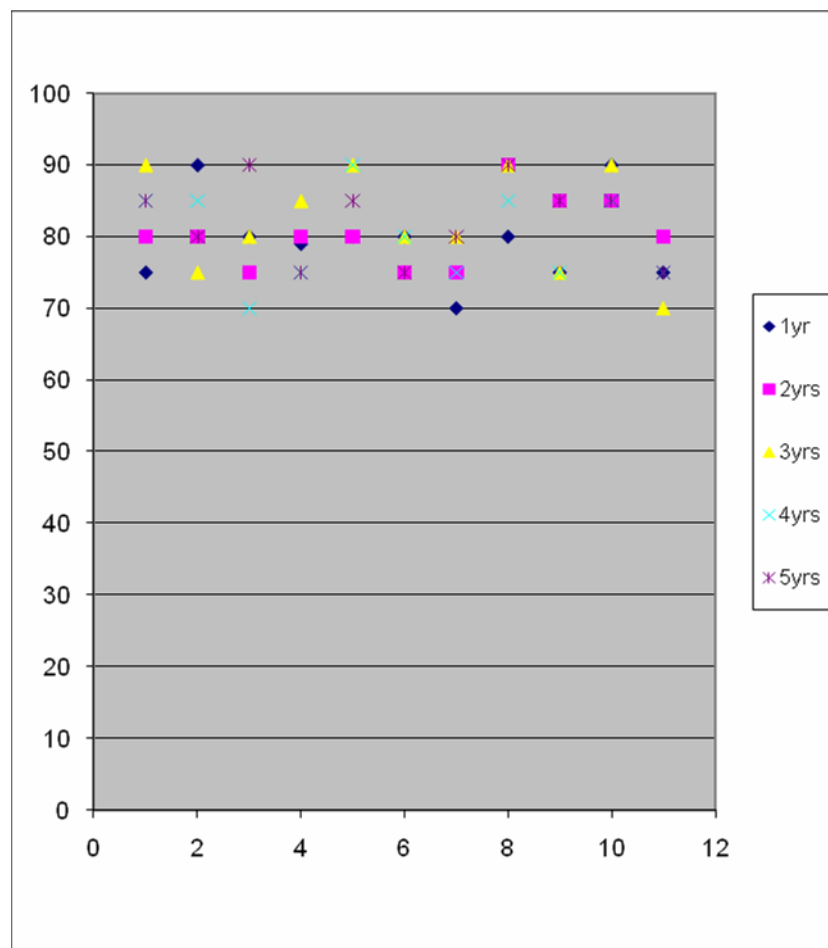


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Viagra and HIE Follow up

Age in yrs	1yr	2yrs	3yrs	4yrs	5yrs
case-1	75	80	90	85	85
case-2	90	80	75	85	80
case-3	80	75	80	70	90
case-4	79	80	85	75	75
case-5	80	80	90	90	85
case-6	80	75	80	80	75
case-7	70	75	80	75	80
case-8	80	90	90	85	90
case-9	75	85	75	75	85
case-10	90	85	90	85	85
case-11	75	80	70	75	75



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PDE – 3 Inhibitor - Milrinone

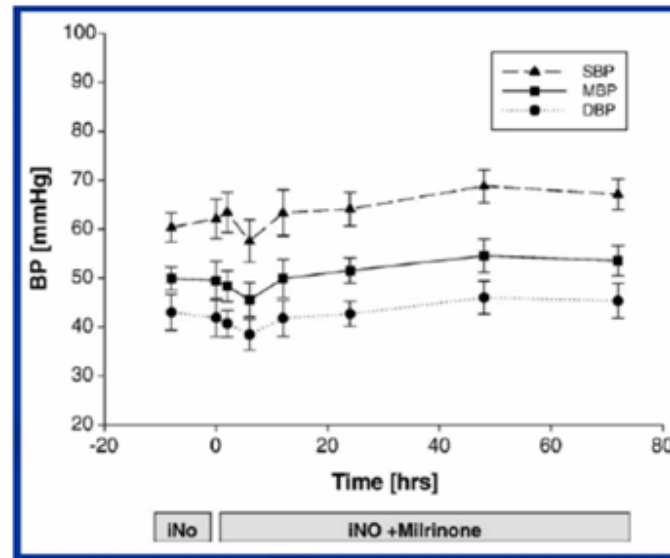
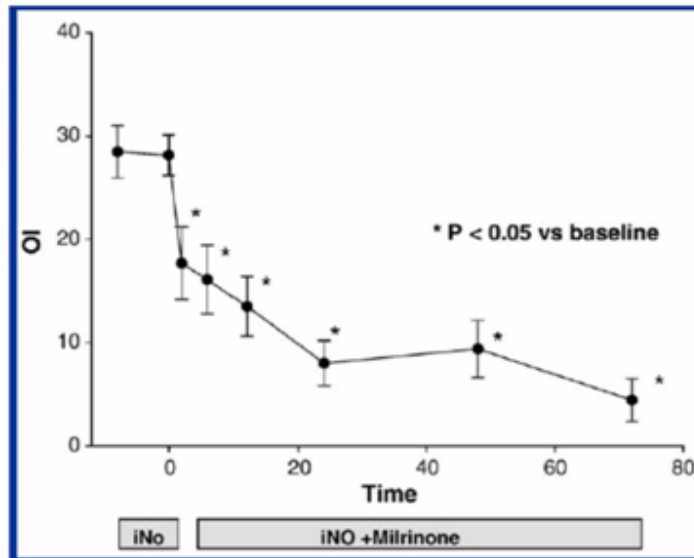
- Primary physiological disturbance in PPHN → ↑ RV afterload
- Traditionally, physicians reluctant to treat PPHN with afterload-reducing agents because of concerns of systemic hypotension & desire to maintain supranormal systemic BP
 - very high-dose vasopressors
 - dopamine or epinephrine may exacerbate PPHN
 - tachycardia, ↑ increasing myocardial O₂ demand,

McNamara, 2006, J Crit Care

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Milrinone Improves Oxygenation in Severe PPHN

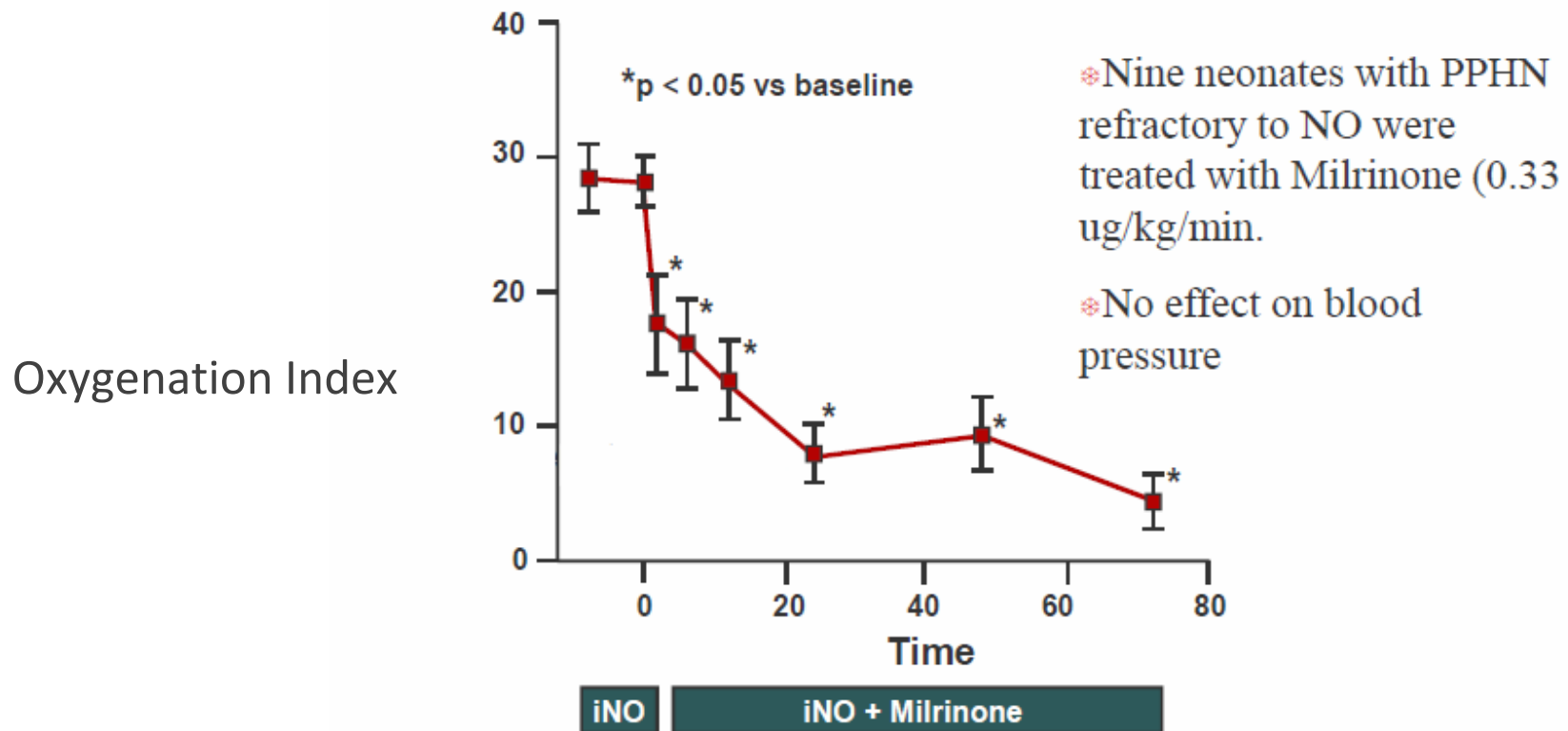


- Routes of administration reported - IV, inhalation
- Potential AEs:
 - Hypotension, thrombocytopenia, intra-cranial bleed

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Milrinone Improves Oxygenation in newborns with Severe PPHN treated with Nitric Oxide

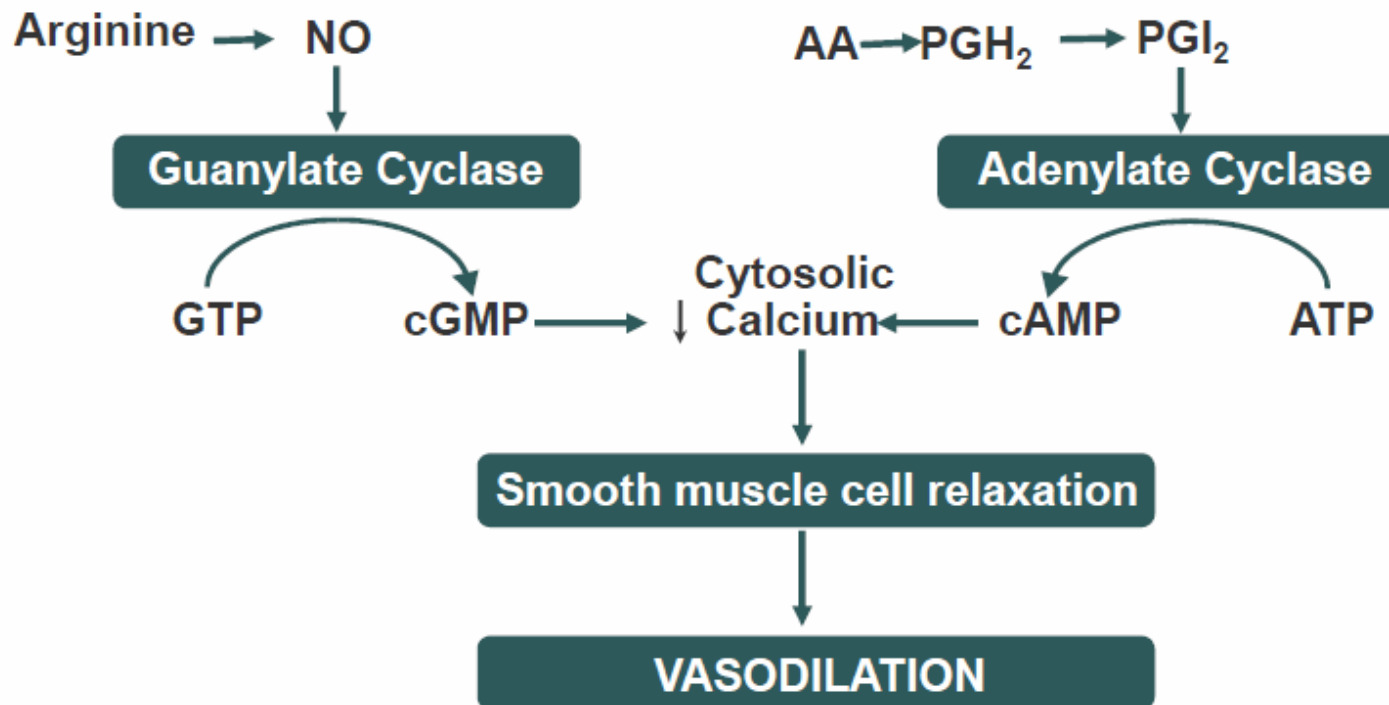


*McNamara PJ et al *Journal of Critical Care* 21: 217-223, 2006

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Prostacyclin: Mechanism of Action

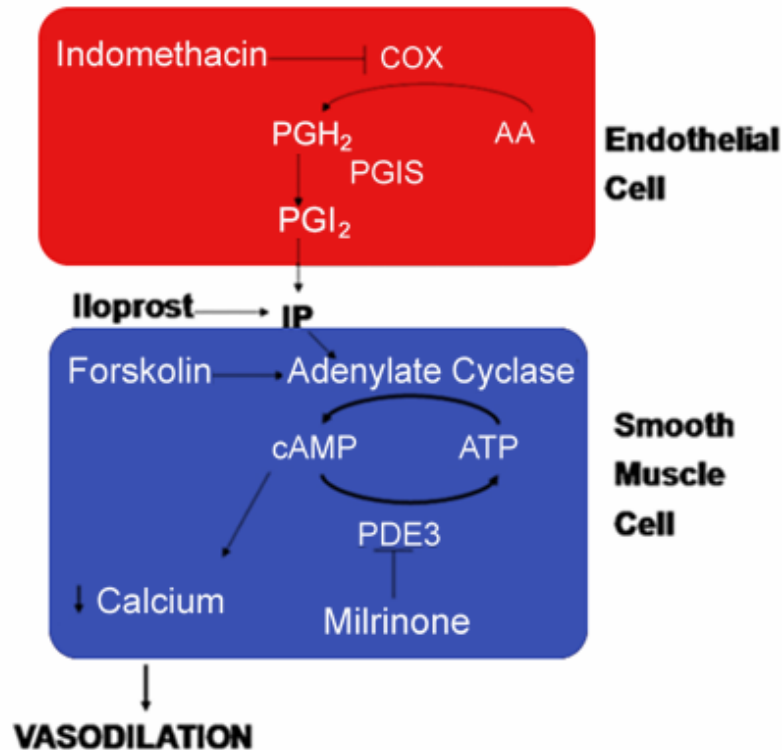


Steinhorn, R. H et al. Neoreviews 2007;8:e14-e21

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PPHN new modalities of treatment



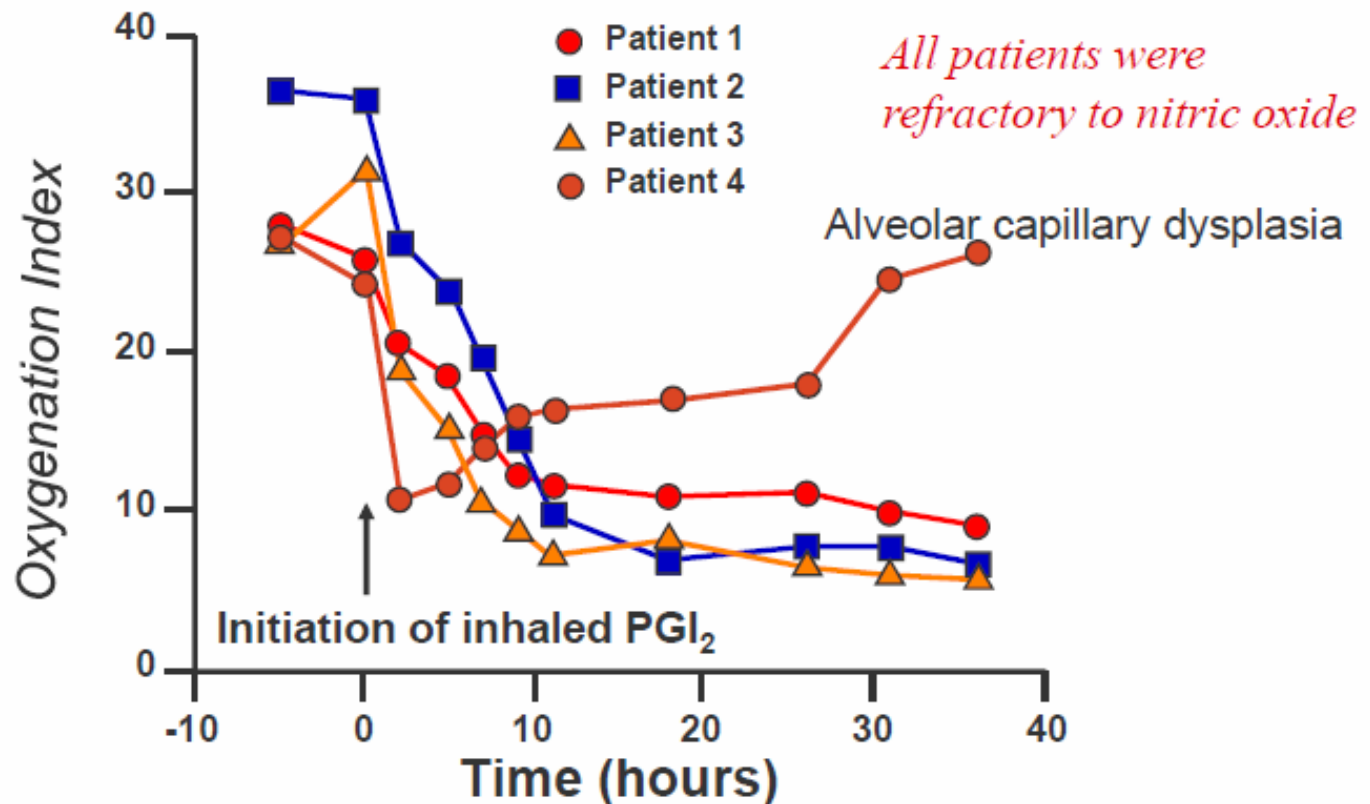
Schematic showing the pathway for synthesis and mode of action of prostacyclin (PGI_2). Various agents used in this study are also shown in the figure. COX, cyclo-oxygenase; AA, arachidonic acid; PGH_2 , prostaglandin H_2 ; PGIS, prostacyclin synthase; IP, prostacyclin receptor; PDE3, phosphodiesterase 3.

Lakshminrusimha et al. *Pediatr Crit Care Med*. 2009 September 10.

Persistent Pulmonary Hypertension in Newborn

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Use of Prostacyclin in PPHN



Kelly LK et al *J Pediatr*. 141: 830, 2002

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Old Wine in New Bottles

PGE1

PGI2

Nitroprusside

Tolazoline

Sildenafil



INTRAVENOUS AGENTS

- Non selective

INHALATION

- Selective
- Pulmonary
- Ventilated regions



Adapted from Sood et al 2010

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SPV – Inhaled Vasodilators

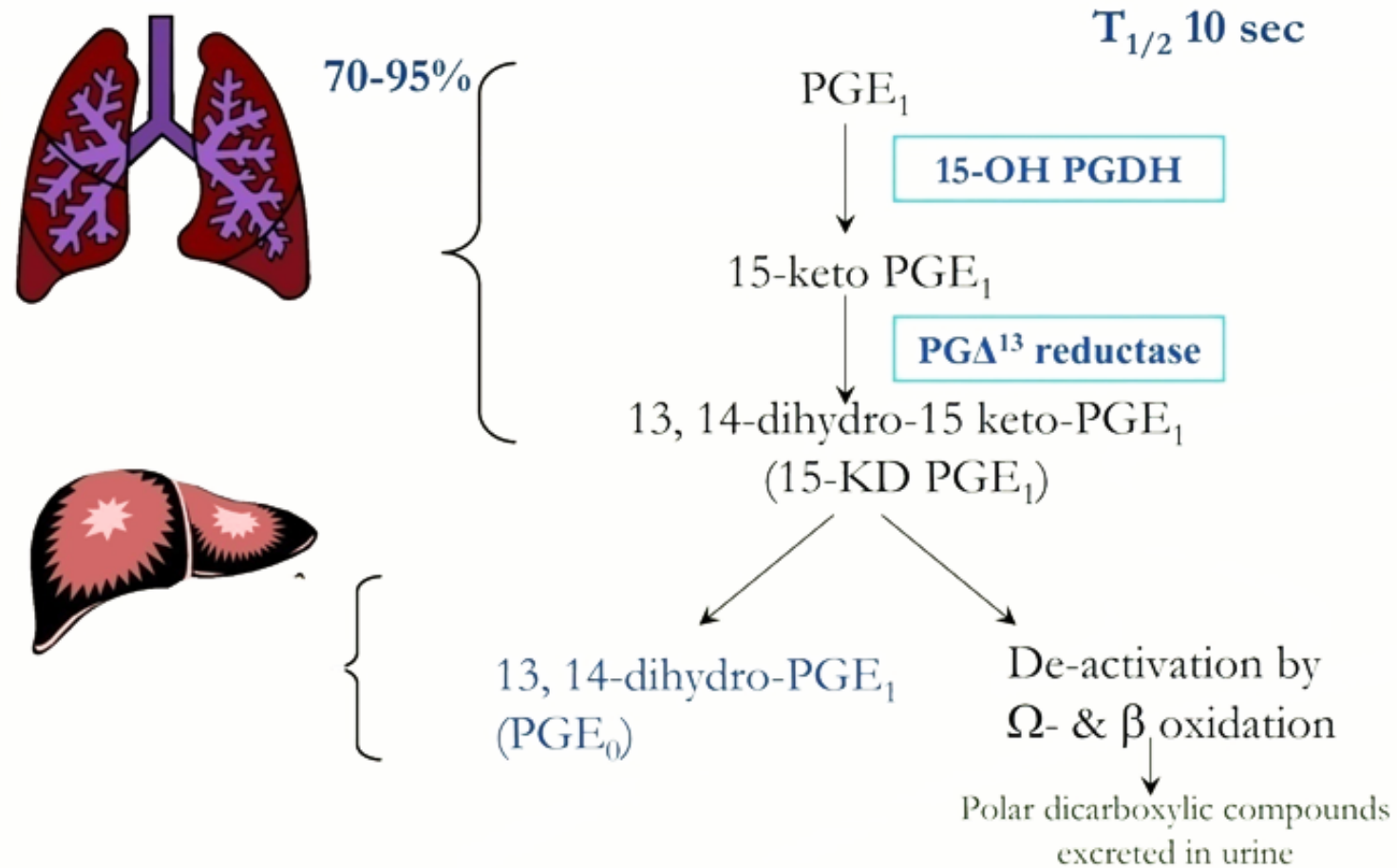
	INO	IPGE ₁	IPGI ₂
Inactivation	Pulmonary	Pulmonary	Hepatic
Half-life	seconds	<30 sec	2-3 min
Physical form	Gas	Aerosol	Aerosol
Buffer	--	Ethanol	Glycine
pKa	--	6.5	10.5
Other effects			
Platelet aggregation	Inhibitor	Inhibitor	Inhibitor
Bronchi	Dilator	Dilator	Constrictor ??
Inflammation	Anti	Anti	--
Proliferation	--	Anti	--
Cytotoxicity	✓	x	x

Adapted from Sood et al 2010

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PGE₁ - Metabolism



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Phase I Clinical Trial of IPGE₁ in NHRF

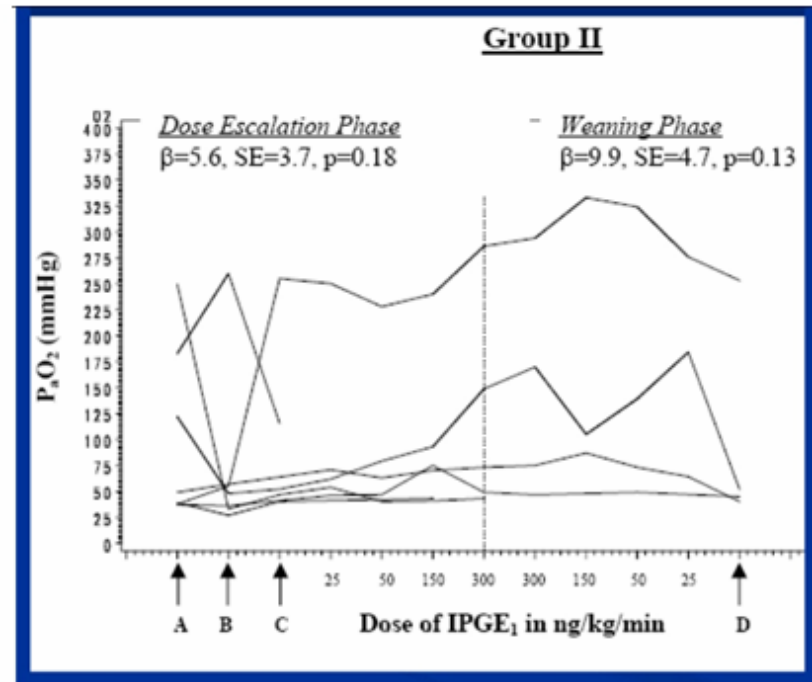
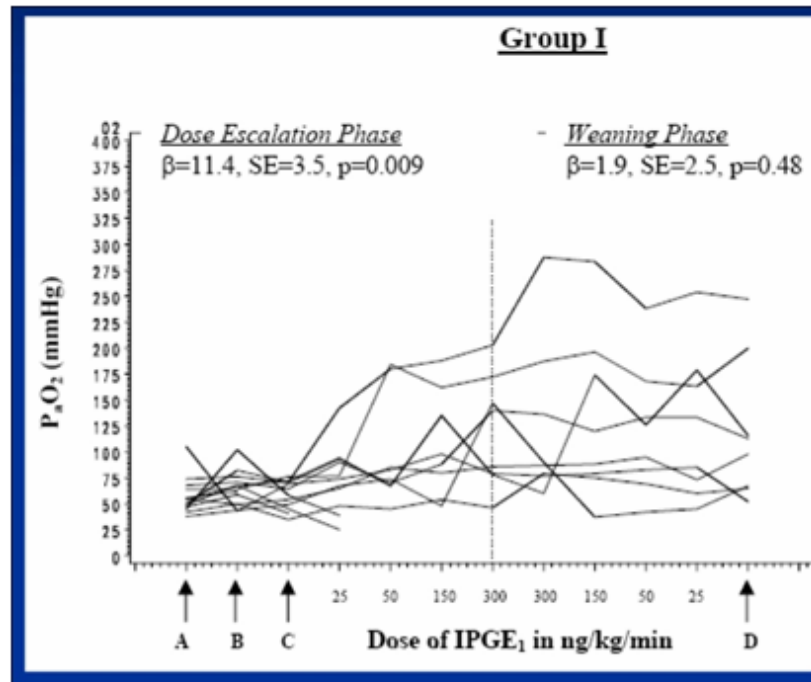
- IPGE₁ doses used
 - 25 ng/kg/min (Dose 1)
 - 50 ng/kg/min (Dose 2)
 - 150 ng/kg/min (Dose 3)
 - 300 ng/kg/min (Dose 4)
- Escalation phase (30 min each); Weaning phase (15 min each)
- Total duration – max 3 hours
- Two Groups of patients defined based on disease severity
 - Group I OI \geq 20, pre-INO n=13
 - Group II refractory to INO n=7

Sood et al, *Ped Res*, 2004

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Phase I Trial: Change in Pa O₂



Δ PaO ₂	64.4 ± 71.6	0.038
Δ OI	-14.6 ± 10.0	0.004

Δ PaO ₂	43.5 ± 51.8	NS
OI	-9.0 ± 11.9	NS

Sood et al, *Ped Res*, 2004

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Phase I Trial: Dose Response

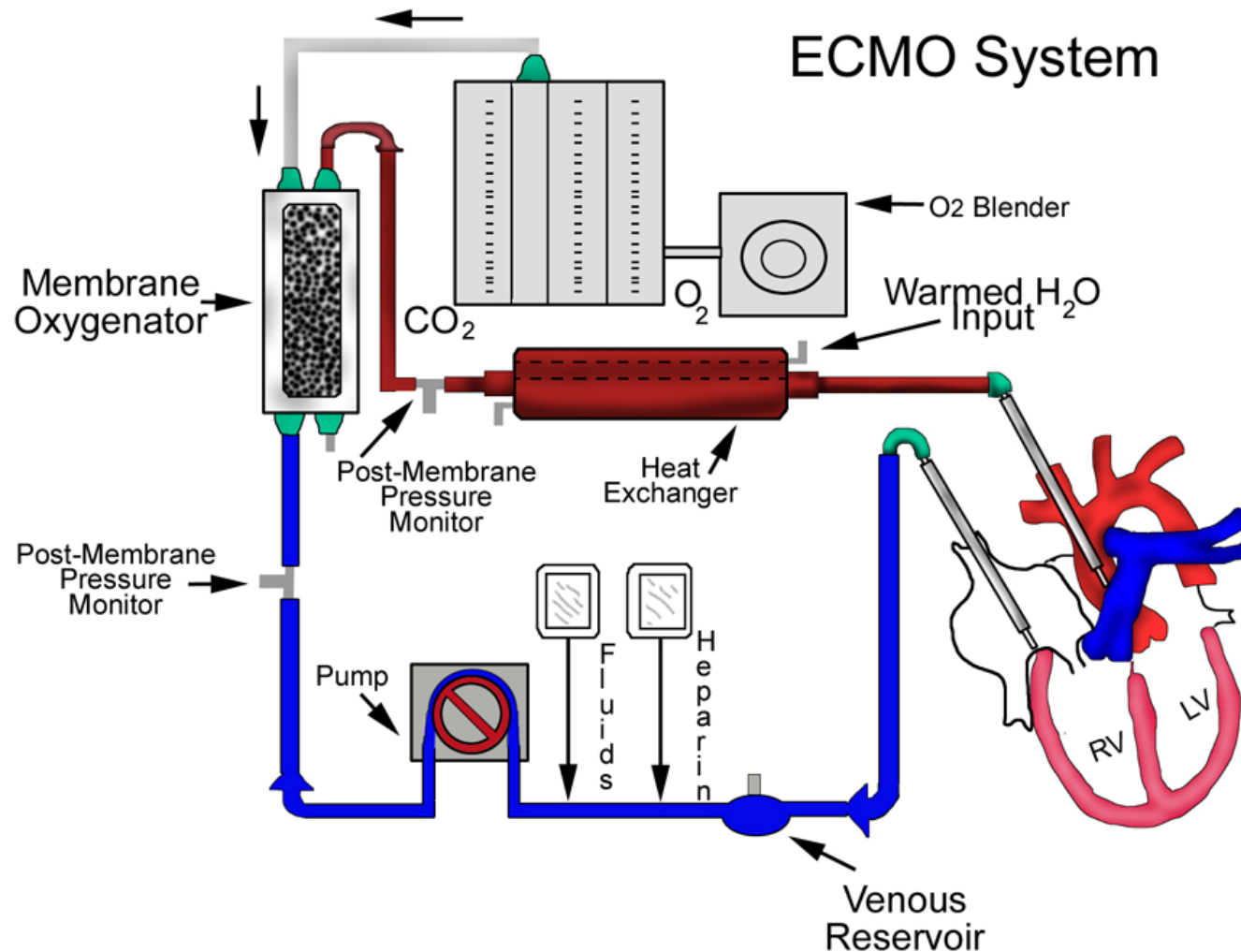
Dose ng/kg/min	Full Response (%)	
	Group I n=8	Group II n=4
25		
50	50	25
150	87.5	50
300	87.5	75
Weaning	100	75

The findings of this small unblinded study need to be validated in large-scale prospective randomized controlled trials

Sood et al, *Ped Res*, 2004

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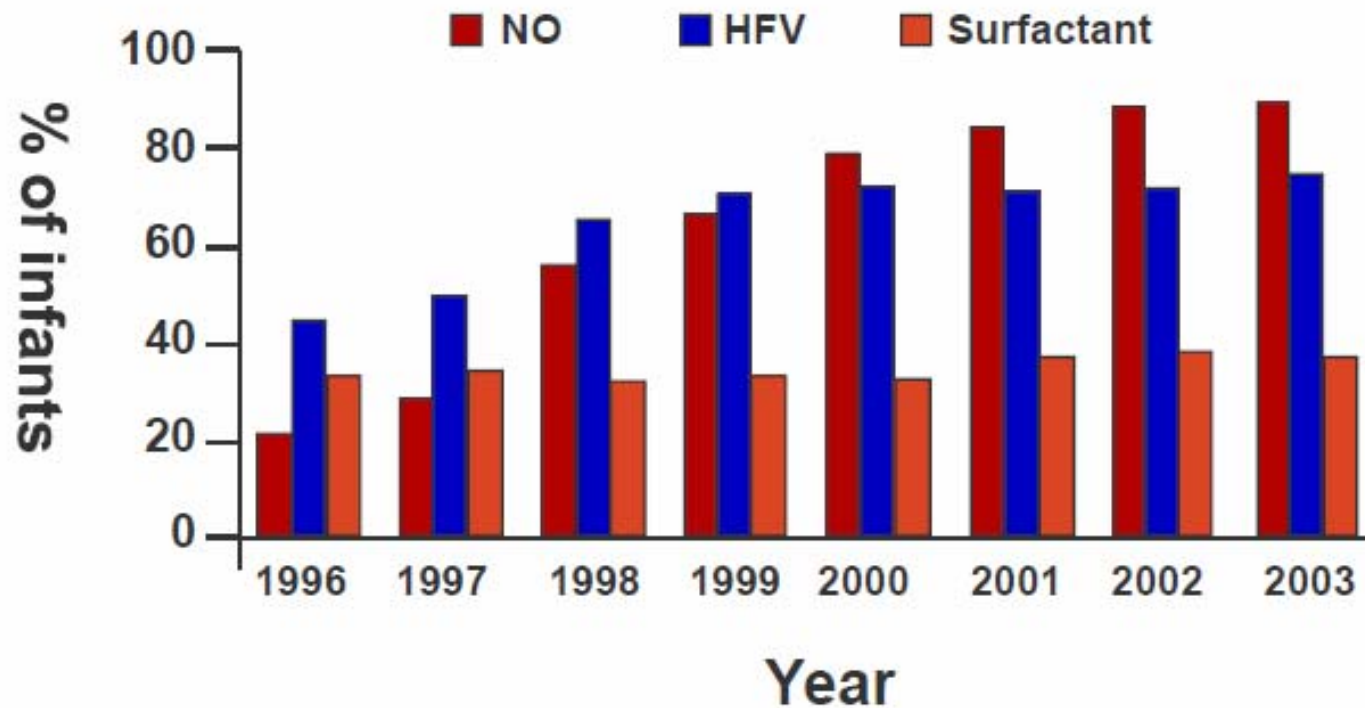
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Therapies Prior to ECMO



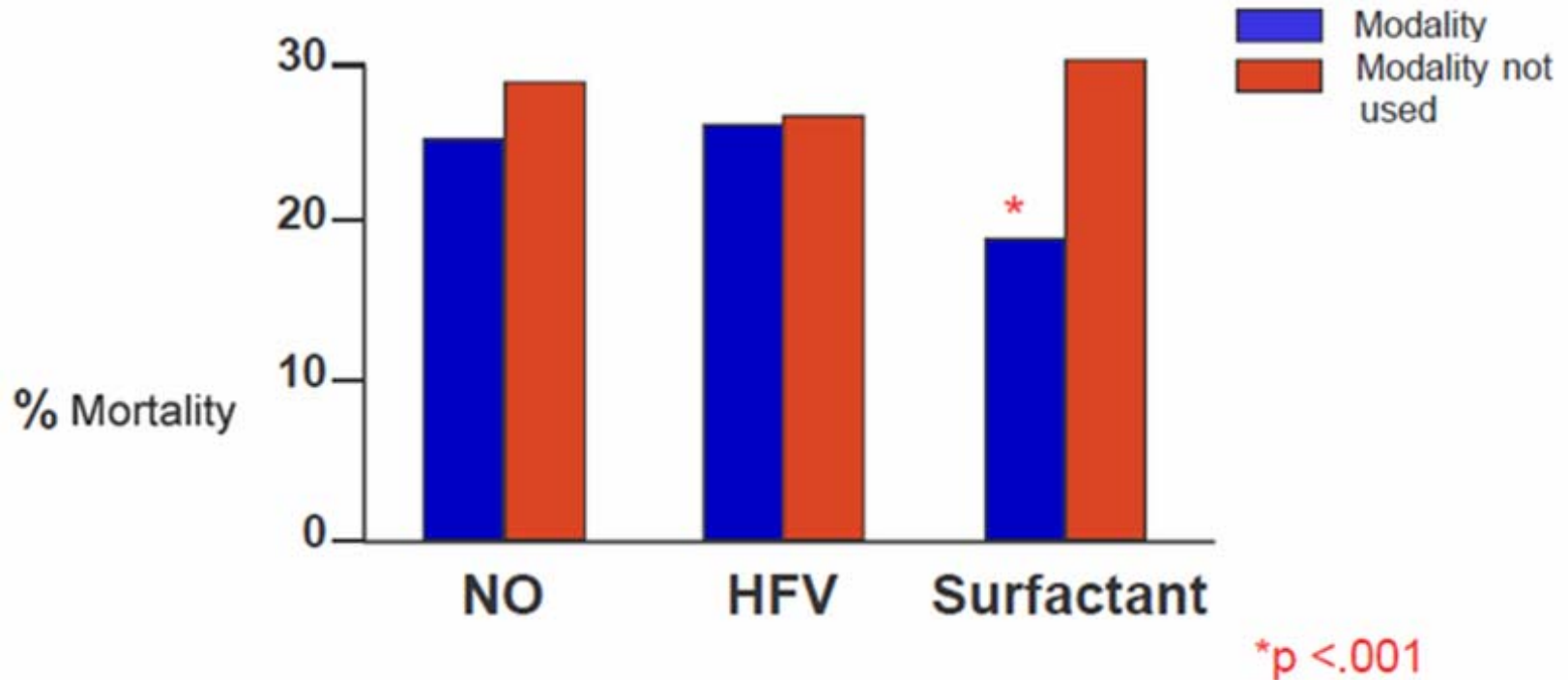
Fliman et al. *J Pediatr*. 2006

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Effect of Therapy on ECMO Mortality

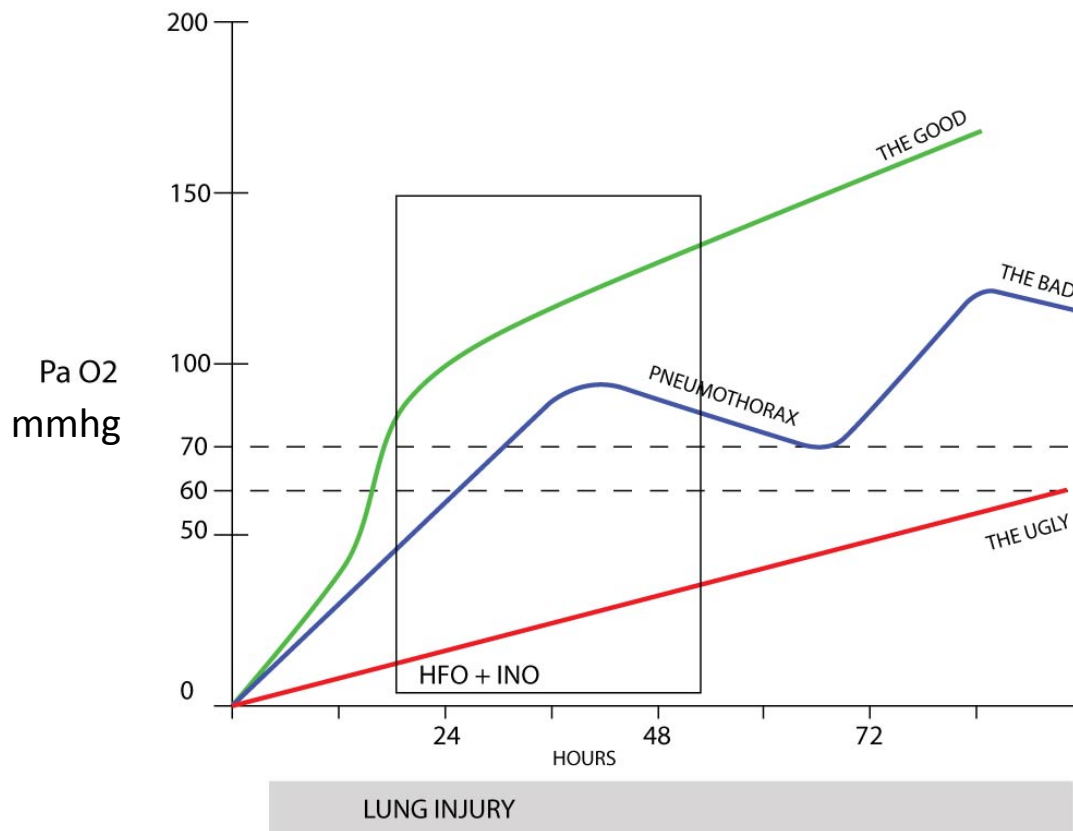
ELSO registry reviewed for years 1996-2003



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What did you do Rajiv

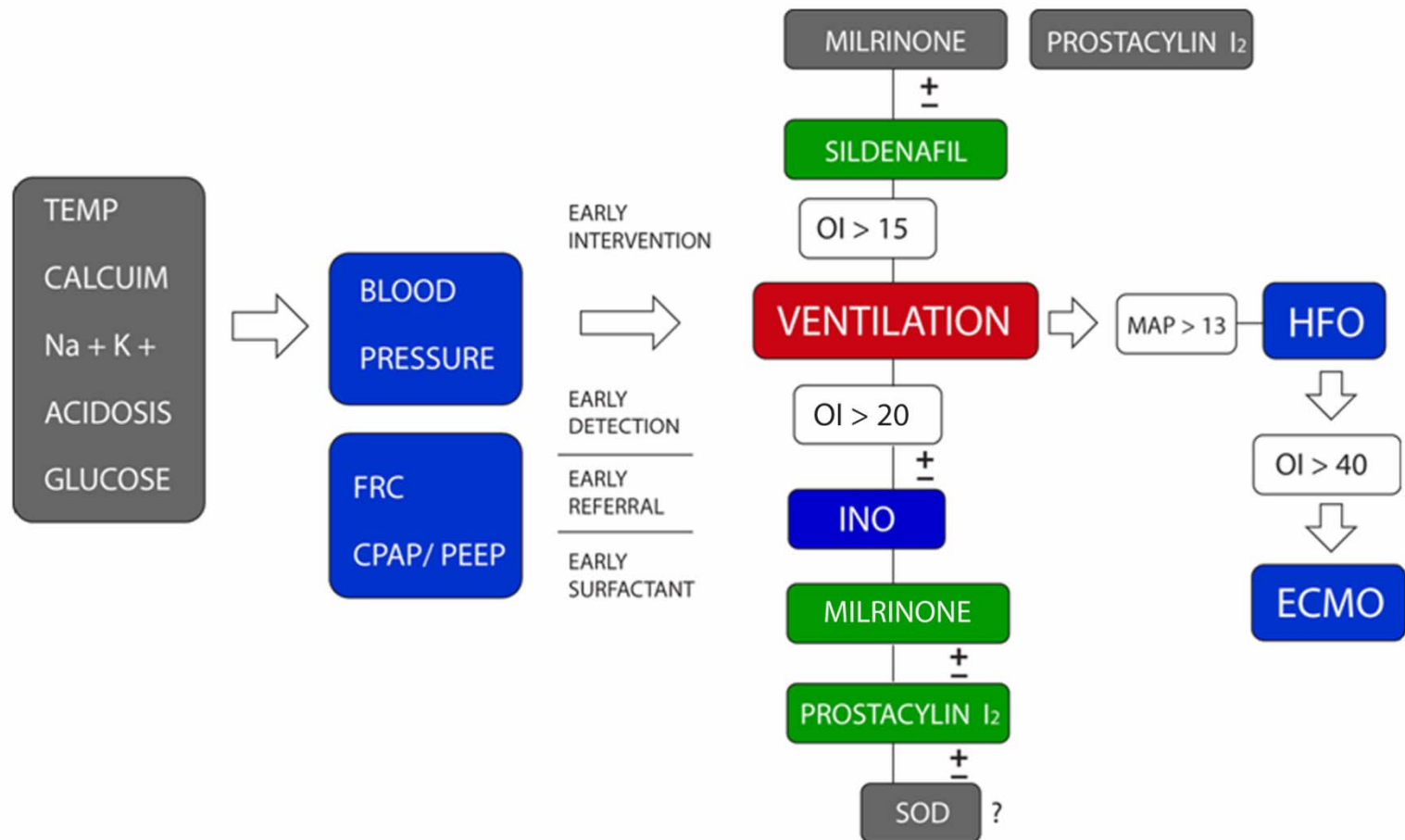


DON'T USE REMOTE CONTROL
DON'T CHANGE PEEP INADVERTENTLY
AVOID PEEP PHOBIA
Keep Ph > 7.25
KEEP PaO₂ > 50 – 70 mmHg
Keep Paco₂ < 55 mmHg target
paco₂ 40-45 mmHg
Tidal volume ④-5 ml / kg
Reduce Fio₂ at earliest signs of pao₂ stability
Use pulmonary mechanics judiciously.

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Alogarithmic Approach to PPHN



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PPHN new modalities of treatment

Recommendations for Treatment of Neonatal Pulmonary Hypertension

Pulmonary Vasodilators:

Inhaled Nitric Oxide:

Inhaled Nitric Oxide should be initiated at 20 ppm for neonates with PPHN or hypoxemic respiratory failure when the oxygenation index exceeds 25. (Class I, Level A)

Sildenafil:

Limited evidence suggests that sildenafil may produce selective vasodilation in infants with PPHN. (Class IIb, Level B)

Other Supportive Modalities

Extracorporeal Life Support (ECLS or ECMO):

Cannulation for ECMO support should be considered for term and near-term neonates with pulmonary hypertension and/or hypoxemia that remains refractory to iNO after optimization of respiratory and cardiac function. (Class I, Level A)

High Frequency Ventilation:

In neonates with parenchymal lung disease (eg, meconium aspiration syndrome, respiratory distress syndrome, pneumonia), high frequency ventilation is often useful to promote lung expansion and enhance the effect of inhaled nitric oxide in infants. (Class IIa, Level B)

Surfactant:

Administration of surfactant may promote lung expansion and reverse surfactant inactivation associated with parenchymal lung disease. (Class IIa, Level A).

Alkalosis:

Alkalosis induced by hypocarbia or infusions of alkali may result in transient improved oxygenation. However, this practice is not recommended because of the lack of demonstrated benefit, and the potential for lung and cerebral injury. (Class III, Level B).

Steinhorn *Pediatr Crit Care Med*. 2011 March 1.



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Emerging Therapies for Treatment of PPHN

Emerging Therapies for Treatment of PPHN

Enhancers of NOS Activity

Direct soluble guanylate cyclase activators

Phosphodiesterase inhibitors

Prostacyclin analogues

Rho-kinase inhibitors

Antioxidants

Steinhorn *Pediatr Crit Care Med.* 2011 March 1.



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Conclusions



- ❖ PPHN is an abnormal physiologic response to diverse causes; treatment of the underlying disorder and correction of hemodynamic derangements are critical.
- ❖ Nitric oxide is effective in many infants, but ought to be reserved for infants with extreme lability or an inability to oxygenate ($\text{PaO}_2 \geq 50 \text{ mmHg}$) or an OI (oxygenation index) ≥ 25 .
- ❖ In infants with parenchymal disease, atelectasis should be corrected (with HFOV) if necessary. (overdistention should be avoided)
- ❖ NO should be weaned gradually when the inhaled concentration is $< 5 \text{ ppm}$.

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Anticipation Balance Strategy Skill God

